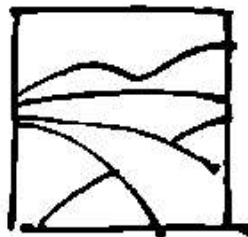


# **Federal Funding Sources for Rural Areas: Fiscal Year 2003**

**Compiled by M. Louise Reynnells  
Rural Information Center**

**Rural Information Center Publication Series, No. 76  
Revised Edition**



**Rural  
Information  
Center**



The **Rural Information Center** (RIC) is a joint project of the USDA Cooperative State Research, Education & Extension Service and the National Agricultural Library (NAL). RIC provides information and referral services to local government officials, community organizations, health professionals and organizations, cooperatives, libraries, businesses, and rural citizens working to maintain the vitality of America's rural areas. The Center combines the technical, subject-matter expertise of Extension's nationwide educational network with the information specialists and resources of the world's foremost agricultural library.

#### SERVICES:

**Provide** customized information products to specific inquiries including assistance in economic revitalization issues; local government planning projects; funding sources; and other related issues for the purpose of monitoring the quality of rural life.

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- ! Recycling programs
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- ! Technology transfer to rural areas
- ! Agricultural health and safety

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## INTRODUCTION

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The federal funding programs available to rural areas included in this document were selected from the *Catalog Of Federal Domestic Assistance 2002*.

This information was obtained from the online system known as FAPRS (Federal Assistance Programs Retrieval System) and the print version of this database known as the *Catalog Of Federal Domestic Assistance*, which was compiled and edited for the Executive Office of the President by the Office of Management and Budget and the General Services Administration. Both of these services are provided by the Federal Domestic Assistance Catalog Staff of the General Services Administration.

The *Catalog* is an annual that provides extensive listings of federal assistance programs; national, regional, and local office contacts; and grant application procedures. For further information on the FAPRS database write the Federal Domestic Assistance Catalog Staff (WKU), General Services Administration (GSA), Reporter's Bldg, Room 101, 300 7th Street, S.W., Washington, D.C. 20407 or call **1-800-669-8331**. For obtaining printed copies of the *Catalog Of Federal Domestic Assistance*, write the Superintendent of Documents, PO Box 371954, Pittsburgh, PA 15250-7954. The approximate price is \$63.00. For more information on FAPRS and federal programs, consult the GSA Web site: <<http://www.cfda.gov/>>.

Local governments should check with their state or regional offices about programs in this document. Many federal programs that are developed to provide assistance to local governments, are distributed through state or regional offices. State, local or regional office information is not provided in this document, but can be obtained in your local telephone directory, in the *Catalog of Federal Domestic Assistance* or by contacting the **Rural Information Center** at **1-800-633-7701** or <<http://www.nal.usda.gov/ric/>>. If you have any specific questions about any of these programs, use the *Information Contact* section of the listed program for the appropriate office. Federal offices have their own *INTERNET* Web site. In the *Table of Contents* of this publications, next to each department, is an address of the general federal home pages available over the World Wide Web. This publication supersedes all previous issues and is available full-text and keyword searchable via the Internet on the Rural Information Center web site at: <http://www.nal.usda.gov/ric/ricpubs/funding/federalfund/ff.html>



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### DEPARTMENT OF AGRICULTURE

#### 10.167 TRANSPORTATION SERVICES

##### **FEDERAL AGENCY: AGRICULTURAL MARKETING SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** The Transportation Services program develops and promotes efficient agriculture transportation systems to help improve farm income, expand exports and meet the needs of rural America. The program provides technical and administrative direction, coordination, and leadership in the development and execution of agricultural transportation policies. Transportation Services provides a basis for Federal-State decision-makers in regulatory, policy and legislative matters in order to assure the transportation needs of rural communities and agriculture.

**TYPES OF ASSISTANCE:** Advisory Services and Counseling; Training.

**USES AND USE RESTRICTIONS:** Assistance provided where it is determined that there is a significant regional impact at stake or significant policy at issue with potentially broad implications.

**Applicant Eligibility:** Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service.

##### **INFORMATION CONTACTS:**

**Headquarters Office:** Director, Transportation and Marketing Division, Agricultural Marketing Service, USDA, Washington, DC 20250. Telephone: (202) 690-1300.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

#### 10.212 SMALL BUSINESS INNOVATION RESEARCH (SBIR Program)

##### **FEDERAL AGENCY: COOPERATIVE STATE RESEARCH, EDUCATION AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To stimulate technological innovation in the private sector, strengthen the role of small businesses in meeting Federal research and development needs, increase private sector commercialization of innovations derived from USDA-supported research and development efforts, and foster and encourage participation, by women-owned and socially disadvantaged small business firms in technological innovation.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The selected areas for research are forests and related resources; plant production and protection; animal production and protection; air, water, and soils; food science and nutrition; rural and community development; aquaculture; industrial applications; and marketing and trade. The Small Business Innovation Research Program will be carried out in three separate phases: Phase I, USDA anticipates making awards during fiscal year 1998 to small businesses in amounts ranging up to \$65,000 over periods normally not to exceed six months each. Phase I is to determine the scientific or technical feasibility of ideas submitted by proposers on the selected research areas; Phase II awards will be made during fiscal year 1998 to firms with approaches that appear sufficiently promising as a result of phase I studies. Only those small businesses previously receiving phase I awards in either fiscal years 1996 or 1997 are eligible to submit phase II

proposals in fiscal year 1998. Phase II projects are limited to \$250,000 with the average award being about \$200,000, for a period normally not to exceed 24 months; phase III is to be conducted by the small business concern (including joint ventures and limited partnerships), and will be non-SBIR funded through the exercising of a follow-on funding commitment. The purpose of phase III is to stimulate technological innovation and the national return on investment from research through the pursuit of commercialization objectives resulting from the USDA-supported work carried out in phases I and II.

**Applicant Eligibility:** Small businesses which: (a) Are organized for profit, independently owned or operated, are not dominant in the proposed research field, have their principal places of business located in the United States, have a number of employees not exceeding 500 in all affiliated firms owned or controlled by a single parent concern, and meet the other regulatory requirements outlined in 13 CFR Part 121, as amended; (b) are at least 51 percent owned, or in the case of a publicly owned business, at least 51 percent of its voting stock is owned, by U.S. citizens or lawfully admitted permanent resident aliens; (c) are the primary source of employment for the principal investigator of the proposed effort at the time of award and during the actual conduct of proposed research; (d) are the primary performer of the proposed research effort. Because this program is intended to increase the use of small business firms in Federal research or research and development, the term "primary performer" means that a minimum of two-thirds of the research or analytical work, as determined by budget expenditures, must be performed by the proposing organization under phase I grants. For phase II awards, a minimum of one-half of the research or analytical effort must be conducted by the proposing firm.

##### **INFORMATION CONTACTS:**

**Headquarters Office:** SBIR Director, Cooperative State Research, Education, and Extension Service, Department of Agriculture, Ag Box 2243, 14th and Independence Ave., SW., Washington, DC 20250-2243. Telephone: (202) 401-4002.

**EXAMPLES OF FUNDED PROJECTS:** Needleless Jet Injection System for Use in Cattle Injection Programs; A Comprehensive Model of Biomass Pyrolysis; Marketing Resources for Rural Enterprise Development; Practical Detection of Food-Borne Pathogens Utilizing Biochemically Stable Bacteriophage.

#### 10.215 SUSTAINABLE AGRICULTURE RESEARCH AND EDUCATION

##### **FEDERAL AGENCY: COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To facilitate and increase scientific investigation and education in order to reduce the use of chemical pesticides, fertilizers and toxic materials in agricultural production; to improve management of on-farm resources to enhance productivity, profitability and competitiveness; to promote crop, livestock and enterprise diversification and to facilitate the conduct of research projects in order to study agricultural production systems that are located in areas that possess various soil, climatic, and physical

## Federal Funding Sources For Rural Areas

characteristics; to study farms that have been and continue to be managed using farm production practices that optimize the use of on-farm resources and conservation practices; to take advantage of the experience and expertise of farmers and ranchers through their direct participation and leadership in projects; to transfer practical, reliable and timely information to farmers and ranchers concerning sustainable practices and systems; and to promote a partnership between farmers, nonprofit organizations, agribusiness and public and private research and extension institutions.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds may not be used to pay indirect costs or tuition. Funds may be used for transportation, per diem, salaries, office supplies, printing, and other direct costs for conducting approved activities.

**Applicant Eligibility:** Land-grant colleges or universities, other universities, State agricultural experiment stations, State cooperative extension services, nonprofit organizations, and individuals with demonstrable expertise, or Federal or State governmental entities.

### INFORMATION CONTACTS:

**Headquarters Office:** Cooperative State Research, Education, and Extension Service, Department of Agriculture, Ag Box 2201, Washington, DC 20250-2201. Contact: Acting Administrator. Telephone: (202) 720-4423.

**EXAMPLES OF FUNDED PROJECTS:** A funded project has helped farms use rotations to boost corn profits by \$30 to \$115 per acre, while protecting the environment. The rotation systems eliminate the need for corn rootworm insecticide, saving \$15 to \$20 per acre. When farmers used a 3-year rotation of soybeans, winter wheat with clover, and corn, the corn yields were boosted by 20 to 25 bushels per acre. This approach also reduced the need for commercial nitrogen fertilizer by an average of 30 percent.

### 10.221 TRIBAL COLLEGES EDUCATION EQUITY GRANTS

**FEDERAL AGENCY:** COOPERATIVE STATE RESEARCH, EDUCATION AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To enhance educational opportunities at the 29 Tribal Colleges designated as the 1994 Land-Grant Institutions by strengthening their teaching programs in the food and agricultural sciences in targeted need areas.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Public Law 103-382 targeted funds to benefit those schools specifically listed as the 1994 Land-Grant Institutions. Funds may be used to support teaching programs in the food and agricultural sciences in the targeted need areas of: 1) Curricula design and instructional materials development; 2) faculty development and preparation for teaching; 3) instruction delivery systems; 4) student experiential learning; 5) equipment and instrumentation for teaching, and 6) student recruitment and retention.

**Applicant Eligibility:** Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dullknife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort

Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stonechild Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crownpoint Institute of Technology, Haskell Indian Nations University, Leech Lake Tribal College, and College of the Menominee Nation.

### INFORMATION CONTACTS:

**Headquarters Office:** Higher Education Programs, Science and Education Resources Development, CSREES, USDA, Room 3912-S, Washington, DC 20250-2251. Telephone: (202) 720-7854.

**EXAMPLES OF FUNDED PROJECTS:** Center for Integrated Rural Development Studies; Agricultural Degree Development Project; Implementation of a Comprehensive Horticulture Program; Educational Program in Nutrition; Strengthening Curricula and Services for Students in the Food and Agricultural Sciences.

### 10.222 TRIBAL COLLEGES ENDOWMENT PROGRAM (TRIBAL COLLEGES ENDOWMENT PROGRAM)

**FEDERAL AGENCY:** COOPERATIVE STATE RESEARCH, EDUCATION AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To enhance educational opportunities at the 30 Tribal colleges designated as the 1994 Land-Grant Institutions by strengthening their teaching programs in the food and agricultural sciences in targeted need areas.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Public Law 103-382 established an endowment fund corpus, the interest of which was to be used to benefit the 1994 Land-Grant Institutions. Funds may be used to support teaching programs in the food and agricultural sciences in the targeted need areas of: 1) Curricula design and instructional materials development; 2) faculty development and preparation for teaching; 3) instruction delivery systems; 4) student experiential learning; 5) equipment and instrumentation for teaching; and, 6) student recruitment and retention.

**Applicant Eligibility:** Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dullknife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stonechild Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crownpoint Institute of Technology, Haskell Indian Nations University, Leech Lake Tribal College, College of the Menominee Nation; and Little Priest College.

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### INFORMATION CONTACTS:

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Higher Education Programs, Science and Education Resources Development, CSREES, USDA, Room 3912-S, Washington DC 20250-2251. Telephone: (202) 720-7854.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 10.225 COMMUNITY FOOD PROJECTS

#### (Community Food Project Program)

**FEDERAL AGENCY:** COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To support the development of community food projects designed to meet the food needs of low-income people; increase the self-reliance of communities in providing for their own needs; and promote comprehensive responses to local food, farm, and nutrition issues.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Community food projects are intended to take a comprehensive approach to developing long-term solutions that help to ensure food security in communities by linking the food sector to community development, economic opportunity, and environmental enhancement. Comprehensive solutions may include elements such as: (1) Improved access to high quality, affordable food among low-income households; (2) support for local food systems, from urban gardening to local farms that provide high quality fresh food, ideally with minimal adverse environmental impact; and (3) expanded economic opportunities for community residents through local business or other economic development, improved employment opportunities, job training, youth apprenticeship, school-to-work transition, and the like. Any solution proposed must tie into community food needs. Successful applicants must provide matching funds, either in cash or in-kind amounting to at least 50 percent of the total cost of the project during the term of the grant award.

**Applicant Eligibility:** Proposals may be submitted by private nonprofit entities. Because projects must promote comprehensive responses to local food, farm, and nutrition issues, applicants are encouraged to seek and create partnership among public, private nonprofit and private for-profit organizations or firms. To be further eligible for a grant, a private nonprofit applicant must meet three mandatory requirements: 1. Have experience in the area of: (a) community food work, particularly concerning small and medium-sized farms, including the provision of food to people in low-income communities and the development of new markets in low-income communities for agricultural producers; or (b) job training and business development activities in low-income communities; 2. demonstrate competency to implement a project, provide fiscal accountability and oversight, collect data, and prepare reports and other appropriate documentation; and 3. demonstrate a willingness to share information with researchers, practitioners, and other interested parties.

### INFORMATION CONTACTS:

**Headquarters Office:** Administrator, Cooperative State Research, Education, and Extension Service, Department of Agriculture, Stop 2201, Washington, DC 20250-2201.

Telephone: (202) 720-4423.

**EXAMPLES OF FUNDED PROJECTS:** Connecting Small Farmers with Low- Income Communities of Color; Garden City Harvest Project; New Farmers/New Farms Project; Urban/Rural Community Food Project.

### 10.405 FARM LABOR HOUSING LOANS AND GRANTS (Labor Housing)

**FEDERAL AGENCY:** RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To provide decent, safe, and sanitary low-rent housing and related facilities for domestic farm laborers.

**TYPES OF ASSISTANCE:** Project Grants; Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** The loans and grants may be used for construction, repair, or purchase of year-round or seasonal housing; acquiring the necessary land and making improvements on land for housing; and developing related support facilities including central cooking and dining facilities, small infirmaries, laundry facilities, day care centers, other essential equipment and facilities or recreation areas. Funds may also be used to pay certain fees and interest incidental to the project. Restrictions on the use of funds are: The housing must be of a practical type and must be constructed in an economical manner and not of elaborate material or extravagant design. Housing financed with labor housing loan or grant funds must be occupied by domestic farm laborers and their families.

**Applicant Eligibility:** Loans are available to farmers, family farm partnership, family farm corporation, or an association of farmers. Loans and grants are available to States, Puerto Rico, the U.S. Virgin Islands, political subdivisions of States, broad-based public or private nonprofit organizations, federally recognized Indian Tribes and non-profit corporations of farm workers. Grants are available to eligible applicants only when there is a pressing need and when it is doubtful that such facilities could be provided unless grant assistance is available.

### INFORMATION CONTACTS:

**Regional or Local Office:** Consult your local telephone directory for RD District Office number.

**Headquarters Office:** Multi-Family Housing Processing Division, Rural Housing Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1604.

**EXAMPLES OF FUNDED PROJECTS:** Examples of funded projects are the projects sponsored by the Homestead Housing Authority in Homestead, Florida, or The Boulder County Housing Authority, Boulder, Colorado.

### 10.406 FARM OPERATING LOANS

**FEDERAL AGENCY:** FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To enable operators of not larger than family farms through the extension of credit and supervisory assistance, to make efficient use of their land, labor, and other resources, and to establish and maintain financially viable farming and ranching operations.

**TYPES OF ASSISTANCE:** Direct Loans; Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** Loan funds may be used

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to: (1) Purchase livestock, poultry, fur bearing and other farm animals, fish, and bees; (2) purchase farm equipment; (3) provide operating expenses for farm enterprise; (4) meet family subsistence needs and purchase essential home equipment; (5) refinance secured and unsecured debts subject to certain restrictions; (6) pay property taxes; (7) pay insurance premiums on real estate and personal property; and (8) finance youth projects. Use restrictions are shown under Applicant Eligibility.

**Applicant Eligibility:** Except for youth loans, individual applicants must: 1) Have the necessary education and/or farm experience or training (1 year's complete production and marketing cycle within the last 5 years) 2) Do not exceed the limitation on the number of years that assistance may be received; 3) possess the legal capacity to incur the obligations of the loan; 4) be unable to obtain sufficient credit elsewhere at reasonable rates, and terms; 5) project the ability to repay the loan; 6) be a citizen or permanent resident of the United States; 7) after the loan is closed, be an owner/tenant operator of a family farm; and 8) comply with the highly erodible land and wetland conservation provisions of Public Law 99-198 of the Food Security Act of 1985 (FSA); Certain corporations, cooperatives, partnerships and joint operations ("entities") operating family-sized farms are also eligible for farm operating loans. In brief, entity applicants must meet some of the same eligibility requirements as individual applicants. In addition, if members, stockholders or shareholders of the entity are related by blood or marriage, at least one stockholder, shareholder partner or joint operator must operate the family-sized farm. In the case when members are not related by blood or marriage, the majority interest holders in the entity must actually operate the family-sized farm to be eligible. The entity must be authorized to operate a farm in the State in which it is located. Limited resource applicants must meet the above requirements. In addition, they must have a low income and show a need for increased farm income. In the case of limited resource entities, all the partners, joint operators, members, or stockholders must be citizens and the entity must be the owner-operator of the family farm with at least one partner, joint operator, member or stockholder operating the farm. Assistance is authorized for eligible applicants in the 50 States, the Commonwealth of Puerto Rico, the Virgin Islands of the United States, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and to the extent the Secretary determines it to be feasible and appropriate, the Trust Territories of the Pacific Islands. To be eligible to obtain a direct or guaranteed loan, a borrower must agree to abide by any "borrower training" requirements.

### INFORMATION CONTACTS:

**Regional or Local Office:** Contact the appropriate FSA State Office listed in your local telephone directory.

**Headquarters Office:** Department of Agriculture, Farm Service Agency, Director, Loan Making Division, Ag Box 0522, Washington, DC 20250. Telephone: (202) 720-1632.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 10.407 FARM OWNERSHIP LOANS

**FEDERAL AGENCY: FARM SERVICE AGENCY  
DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To assist eligible farmers, ranchers, and aquaculture operators, including farming cooperatives,

corporations, partnerships, and joint operations, through the extension of credit and supervisory assistance to: Become owner-operators of not larger than family farms; make efficient use of the land, labor, and other resources; carry on sound and successful farming operations; and enable farm families to have a reasonable standard of living.

**TYPES OF ASSISTANCE:** Direct Loans; Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** Loan funds may be used to: (1) Enlarge, improve, and buy family farms; (2) provide necessary water and water facilities; (3) provide basic soil treatment and land conservation measures; (4) construct, repair, and improve essential buildings needed in the operation of a family farm; (5) construct or repair farm dwellings; (6) provide facilities to produce fish under controlled conditions.

**Applicant Eligibility:** An applicant must: (1) Be unable to obtain suitable credit from other sources at reasonable rates and terms; (2) be a U.S. citizen or permanent resident and possess the legal capacity to incur the obligations of the loan; (3) have the necessary education and/or experience, training, and managerial ability to operate a family farm; (4) realistically project the ability to repay the loan; (5) be the owner-operator of a not larger than family farm after the loan is closed; and (6) if an individual, the applicant must not have a combined farm ownership, soil and water, and recreation loan indebtedness to FSA of more than \$200,000, for direct loans; and \$300,000 for a guaranteed loan(s), or a combination of direct and guaranteed indebtedness; or a total indebtedness against the property securing the loan(s) of more than the market value of the security, whichever is the lesser amount. If a cooperative, corporation, joint operation or partnership, the entity must be controlled by farmers or ranchers engaged primarily and directly in farming in the U.S., after the loan is made, and must consist of members, stockholders, partners, or joint operators (7) have a minimum of 3 years farming experience. Assistance is authorized for eligible applicants in the 50 States, the Commonwealth of Puerto Rico, the Virgin Islands of the United States, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and, to the extent the Secretary determines it to be feasible and appropriate, the Trust Territories of the Pacific Islands. Applicants must also comply with the highly erodible land and wetland conservation provisions of Public Law 99-198 of the Food Security Act of 1985 (FSA). To be eligible to obtain a direct or guaranteed loan, a borrower must abide by the Agency's borrower training requirements.

### INFORMATION CONTACTS:

**Regional or Local Office:** Contact the appropriate FSA State Office listed in your local telephone directory.

**Headquarters Office:** Department of Agriculture, Farm Service Agency, Director, Loan Making Division, Ag Box 0522, Washington, DC 20250. Telephone: (202) 720-1632.

### 10.410 VERY LOW TO MODERATE INCOME HOUSING LOANS (Section 502 Rural Housing Loans)

**FEDERAL AGENCY: RURAL HOUSING SERVICE  
(RHS), DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To assist lower-income rural families through direct loans to buy, build, rehabilitate, or improve and to



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provide the applicant with modest, decent, safe, and sanitary dwellings and related facilities as a permanent residence. Subsidized funds are available only on direct loans for low and very low-income applicants. Nonsubsidized funds (loan making) are available for very low and low-income applicants who are otherwise eligible for subsidy, but at the present time, the subsidy is not needed. Loan guarantees are also available to assist moderate income rural families in home acquisition.

**TYPES OF ASSISTANCE:** Direct Loans; Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** Direct loans may be used for construction, repair or purchase of housing; to provide adequate sewage disposal facilities and/or safe water supply for the applicant's household; for weatherization; to purchase or install essential equipment if the equipment is normally sold with dwellings in the area; to buy a minimum adequate site on which to place a dwelling for the applicant's own use; and under certain conditions to finance a manufactured home and its site. Housing debts may under certain circumstances be refinanced with direct loans. Dwellings financed must be modest, decent safe and provide sanitary housing. Cost of dwelling financed cannot exceed the maximum dollar limitation established under section 2036(b) of the National Housing Act for the area in which the property is located. The property must be located in a place that is rural in character and does not exceed 10,000 population or in certain cases a place whose population exceeds 10,000 but is not in excess of 25,000, provided the place has a serious lack of mortgage credit for low and moderate-income families as determined by the Secretary of agriculture and the Secretary of Housing and Urban Development. Assistance is available in the States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Marianas, and the Trust Territories of the Pacific Islands. Loans are made at the interest rate(s) specified in RD Instruction 440.1, Exhibit B (available in any RD local office) for the type of assistance involved, and are repaid over an amortization period of up to 33 years for regular loans and 38 years for loans to applicants whose adjusted annual income do not exceed 60 percent of the area median income, if necessary to show repayment ability. Payment assistance is granted annually which would reduce the monthly installment on the note to an amount equal to what it would be if the note were amortized to as low as one percent, depending on the loan amount, the size and income of the family. Payment assistance is subject to recapture by the government upon liquidation of the account. The Deferred Mortgage Demonstration Program was available during fiscal years 1991-1995 however, there was no funding provided for deferred mortgage authority or loans for deferred mortgage assumptions. Deferred mortgage payment assistance is available to make home ownership affordable for a greater number of very low-income families who lack repayment for the mortgage when a mortgaged at 1 percent for a 38 year period, or 30 years for a manufactured home. The guaranteed program is an acquisition only program. Guaranteed loans are amortized over 30 years. The interest rate is negotiated with the lender.

**Applicant Eligibility:** Applicants must be without adequate resources to obtain housing, or related facilities. The applicants must be unable to secure the necessary credit from other sources at prevailing terms and conditions for residential type financing; be a natural person (individual) who resides as a citizen in the United States or its Territories after having been

legally admitted for permanent residence or on indefinite parole; have adequate and dependable available income to meet family living expenses, including taxes, insurance and maintenance, and repayments on debts including the proposed loan. For direct loans, applicants must be eligible for payment assistance and income may not exceed the low-income limits set forth in RD Instructions. The income limits are according to the size of household as is established by the Department of Housing and Urban Development (HUD) for the county or MSA where the property is or will be located. For a guaranteed loan the applicant's income may not exceed the moderate income limit.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Consult your local telephone directory under United States Department of Agriculture for RD county office number.

**Headquarters Office:** Director, Single Family Housing, Processing Division, Rural Housing Service (RHS), Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **10.411 RURAL HOUSING SITE LOANS AND SELF HELP HOUSING LAND DEVELOPMENT LOANS (Section 523 and 524 Site Loans)**

**FEDERAL AGENCY: RURAL HOUSING SERVICE  
(RHS), DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To assist public or private nonprofit organizations interested in providing sites for housing; to acquire and develop land in rural areas to be subdivided as adequate building sites and sold on a cost development basis to families eligible for low and very low income loans, cooperatives, and broadly based nonprofit rural rental housing applicants.

**TYPES OF ASSISTANCE:** Direct Loans.

**USES AND USE RESTRICTIONS:** For the purchase and development of adequate sites, including necessary equipment which becomes a permanent part of the development; for water and sewer facilities if not available; payment of necessary engineering, legal fees, and closing costs; for needed landscaping and other necessary facilities related to buildings such as walks, parking areas, and driveways. Restrictions: loan limitation of \$200,000 without national office approval; loan funds may not be used for refinancing of debts, payment of any fee, or commission to any broker, negotiator, or other person for the referral of prospective applicant or solicitation of a loan; no loan funds will be used to pay operating costs or expenses of administration other than actual cash cost of incidental administrative expenses if funds to pay those expenses are not otherwise available. Repayment of loan is expected within two years.

**Applicant Eligibility:** A private or public nonprofit organization that will provide the developed sites to qualified borrowers on a cost of development basis in open country and towns of 10,000 population or less and places up to 25,000 population under certain conditions. Applicants from towns of 10,000 to 25,000 population should check with local RD office to determine if agency can serve them. Assistance is available to eligible applicants in States, Puerto Rico, the Virgin Islands, Guam, and the Northern Marianas.

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### INFORMATION CONTACTS:

**Regional or Local Office:** Consult your local telephone directory for RD District Office number.

**Headquarters Office:** Director, Single-Family Housing Processing Division, Rural Housing Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474.

**EXAMPLES OF FUNDED PROJECTS:** Caver County Housing and Redevelopment Agency, Chanhassen, Minnesota.

### 10.415 RURAL RENTAL HOUSING LOANS

#### FEDERAL AGENCY: RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To provide economically designed and constructed rental and cooperative housing and related facilities suited for rural residents.

**TYPES OF ASSISTANCE:** Direct Loans.

**USES AND USE RESTRICTIONS:** Loans can be used to construct, purchase and substantially rehabilitate rental or cooperative housing or to develop manufactured housing projects. Housing as a general rule will consist of multi-units with two or more family units and any appropriately related facilities. Funds may also be used to provide approved recreational and service facilities appropriate for use in connection with the housing and to buy and improve the land on which the buildings are to be located. Loans may not be made for nursing, special care, or institutional-type homes.

**Applicant Eligibility:** Applicants may be individuals, cooperatives, nonprofit organizations, State or local public agencies, profit corporations, trusts, partnerships, limited partnerships, and be unable to finance the housing either with their own resources or with credit obtained from private sources. However, applicants must be able to assume the obligations of the loan, furnish adequate security, and have sufficient income for repayment. They must also have the ability and intention of maintaining and operating the housing for purposes for which the loan is made. Loans may be made in communities up to 10,000 people in MSA areas and some communities up to 20,000 population in non-MSA areas. Applicants in towns of 10,000 to 20,000 should check with their local Rural Development office to determine if the agency can serve them. Assistance is available to eligible applicants in States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Northern Marianas, and the Trust Territory of the Pacific Islands.

### INFORMATION CONTACTS:

**Regional or Local Office:** Consult your local telephone directory for RD county or district office number.

**Headquarters Office:** Director, Multi-Family Housing Processing Division, Rural Housing Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1604.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 10.417 VERY LOW-INCOME HOUSING REPAIR LOANS AND GRANTS (Section 504 Rural Housing Loans and Grants)

#### FEDERAL AGENCY: RURAL HOUSING SERVICE (RHS) DEPARTMENT OF

### AGRICULTURE

**OBJECTIVES:** To give very low-income rural homeowners an opportunity to make essential repairs to their homes to make them safe and to remove health hazards to the family or the community.

**TYPES OF ASSISTANCE:** Direct Loans; Project Grants.

**USES AND USE RESTRICTIONS:** To assist very low-income owner occupants in rural areas to repair or improve their dwellings. Grant funds may only be used to make such dwellings safe and sanitary and to remove health and safety hazards. This includes repairs to the foundation, roof or basic structure as well as water and waste disposal systems, and weatherization. Loans bear an interest rate of one percent and are repaid over a period of up to 20 years. In addition to the above purpose, loan funds may be used to modernize the dwelling. Maximum loan amount cannot exceed a cumulative total of \$20,000 to any eligible person and maximum lifetime grant assistance is \$7,500 to any eligible person 62 years of age or older for home improvement. The house must be located in a place which is rural in character and does not exceed 10,000 population. Some places with population between 10,000 and 25,000 may be eligible if not within a Metropolitan Statistical Area (MSA) and has a serious lack of mortgage credit for low and moderate-income families as determined by the Secretary of Agriculture and the Secretary of Housing and Urban Development. Assistance is available in States, the commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Marianas, and the Trust Territories of the Pacific Islands.

**Applicant Eligibility:** Applicants must own and occupy a home in a rural area; and be a citizen of the United States or reside in the United States after having been legally admitted for permanent residence or on indefinite parole. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for that part of the assistance received as a grant. Applicant's income may not exceed the very low-income limit set forth in RD Instructions. Very low-income limits range from \$6,300 to \$22,650 for a single person household, depending on an area's median income.

### INFORMATION CONTACTS:

**Regional or Local Office:** Consult your local telephone directory for RD county office number.

**Headquarters Office:** Director, Single-Family Housing Processing Division, Rural Housing Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 10.420 RURAL SELF-HELP HOUSING TECHNICAL ASSISTANCE (Section 523 Technical Assistance)

#### FEDERAL AGENCY: RURAL HOUSING SERVICE (RHS) DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To provide financial support for programs of technical and supervisory assistance that will aid needy very low and low-income individuals and their families in carrying out mutual self-help housing efforts in rural areas.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Not-for-profit

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organizations may use technical assistance funds to hire the personnel to carry out a program of technical assistance for self-help housing in rural areas; to pay necessary and reasonable office and administrative expenses; to purchase or rent equipment such as power tools for use by families participating in self-help housing construction; and to pay fees for training self-help group members in construction techniques or for other professional services needed. Funds will not be used to hire personnel to perform any construction work, to buy real estate or building materials, or pay any debts, expenses or costs other than previously outlined for participating families in self-help projects.

**Applicant Eligibility:** Must be a State or political subdivision, public nonprofit corporation or a private nonprofit corporation. Assistance is authorized for eligible applicants in the United States, Puerto Rico, Virgin Islands, Guam, and the Northern Marianas.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Consult your local telephone directory for RD District Office number.

**Headquarters Office:** Director, Single-Family Housing Processing Division, Rural Housing Service (RHS), Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474.

**EXAMPLES OF FUNDED PROJECTS:** An example of a funded project is Self-Help Enterprises, Visalia, California and the City of Casa Grande, Casa Grande, and Arizona.

### **10.421 INDIAN TRIBES AND TRIBAL CORPORATION LOANS**

**FEDERAL AGENCY: FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To enable federally recognized Indian tribes and tribal corporations to acquire land within tribal reservations and Alaskan communities.

**TYPES OF ASSISTANCE:** Direct Loans.

**USES AND USE RESTRICTIONS:** Loan funds may be used to acquire land for the use of the tribe or its members for purposes such as rounding out farming and ranching units or elimination of fractional heirships. Funds may also be used for incidental costs connected with land purchase such as appraisals, title clearance, legal services, land surveys, and loan closing. Loan funds cannot be used for any improvement or development purposes, acquisition or repair of buildings or personal property, payment of operating costs, refinancing of debts, payment of finder's fees, or similar costs.

**Applicant Eligibility:** Limited to any Indian tribe recognized by the Secretary of the Interior or tribal corporation established pursuant to the Indian Reorganization Act or community in Alaska incorporated by the Secretary of Interior pursuant to the Indian Reorganization Act which does not have adequate uncommitted funds to acquire lands within the tribe's reservation or in a community in Alaska. The tribe must be unable to obtain sufficient credit elsewhere at reasonable rates and terms and must be able to show reasonable prospects of repaying the loan as determined by an acceptable repayment plan and a satisfactory management plan for the land being acquired.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the appropriate FSA State

office listed in your local telephone directory.

**Headquarters Office:** Department of Agriculture, Farm Service Agency, Director, Loan Making Division, Ag Box 0522, Washington, DC 0250. Telephone: (202) 720-1632.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **10.427 RURAL RENTAL ASSISTANCE PAYMENTS (Rental Assistance)**

**FEDERAL AGENCY: RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To reduce the tenant contribution paid by low-income families occupying eligible Rural Rental Housing (RRH), Rural Cooperative Housing (RCH), and Farm Labor Housing (LH) projects financed by the Rural Housing Service (RHS) through its Sections 515, 514 and 516 loans and grants.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Assesses.

**USES AND USE RESTRICTIONS:** Rental assistance may be used to reduce the rents paid by low-income senior citizens or families and domestic farm laborers and families whose rents exceed 30 percent of an adjusted annual income which does not exceed the limit established for the State as indicated in 7 CAR Exhibit C to Part 1944, Sub part A (RD Instruction 1944-A, Exhibit C). Tenants who may be eligible must occupy units ineligible RRH, RCH and LH projects financed by RNS.

**Applicant Eligibility:** To be eligible to participate in the rental assistance program, borrowers must have an eligible project. All projects must convert to Interest Credit Plan II before they are eligible, except direct RRH and insured RRH loans approved prior to August 1, 1968, and LH loans and grants. For a borrower to have an eligible project, the loan must be an RRH insured or direct loan made to a broad-based nonprofit organization, or State or local agency or; an RRH insured loan made to an individual or organization who has or will agree to operate the housing on a limited profit basis as defined in CAR 1944.215(l) or; an RCH insured or direct loan or; an LH loan, or an LH loan and grant combination made to a broad-based nonprofit organization or nonprofit organization of farm workers or a State or local public agency. New construction and/or rehabilitation projects, utilizing the Section 8 program from HUD will not be considered eligible projects, although it may be used for eligible families in existing projects utilizing Section 8 for part of the units.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Consult your local telephone directory for RD district office number.

**Headquarters Office:** Director, Multi-family Housing Portfolio Management Division, Rural Housing Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1600.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **10.433 RURAL HOUSING PRESERVATION GRANTS**

**FEDERAL AGENCY: RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To assist very low- and low-income rural residents individual homeowners, rental property owners (single/multi-unit) or by providing the consumer cooperative

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housing projects (co-ops) the necessary assistance to repair or rehabilitate their dwellings. These objectives will be accomplished through the establishment of repair/rehabilitation projects run by eligible applicants. This program is intended to make use of and leverage any other available housing programs which provide resources to very low and low-income rural residents to bring their dwellings up to development standards.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Organizations may use less than 20 percent of the Housing Preservation Grant funds for program administration purposes, such as to hire the personnel to carry out a project of housing rehabilitation to meet the needs of very low and low-income persons in rural areas; to pay necessary and reasonable office and administrative expenses; and to pay reasonable fees for training of organization personnel. Eighty percent or more of funds must be used for loans, grants or other assistance on individual homes, homeowners, rental properties or co-ops to pay any part of the cost for repair or rehabilitation of structures; funds may not be used to hire personnel to perform construction or to pay any debts, expenses or costs other than previously outlined and approved in the project application.

**Applicant Eligibility:** Must be a State or political subdivision, public nonprofit corporation, Indian tribal corporations, authorized to receive and administer housing preservation grants, private nonprofit corporation, or a consortium of such eligible entities. Applicants must provide assistance under this program to persons residing in open country and communities with a population of 10,000 that are rural in character and places with a population of up to 25,000 under certain conditions. Applicants in towns with population of 10,000 to 25,000 should check with local RD office to determine if the Agency can serve them. Assistance is authorized for eligible applicants in the United States, Puerto Rico, Virgin Islands, and the territories and possessions of the United States.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the appropriate RD State office listed in your local telephone directory.

**Headquarters Office:** Multiple Family Housing Processing Division, Rural Housing Service, Department of Agriculture, Washington DC 20250. Telephone: (202) 720-1660.

**EXAMPLES OF FUNDED PROJECTS:** Funded projects generally provide financial assistance to very low-income persons for bringing their dwellings up to local code standards through an HPG grant combined with other Federal funding, such as HUD's community development block grants or HHS's weatherization program. Other variations funded includes using HPG funds to establish a revolving loan fund that provides homeowners a long term, interest subsidized loan; "lending homeowners the money and "forgiving" 20 percent per year until the loan becomes a grant after five years; using the grantee's own employees to perform the rehabilitation work to reduce the costs; and, in a few instances, leveraging State resources for repair loans or grants. In most cases, grantees that are currently active in home repair and rehabilitation were selected and were able to leverage their existing programs with the new HPG funds.

### **10.438 SECTION 538 RURAL RENTAL HOUSING GUARANTEED LOANS FEDERAL AGENCY: RURAL HOUSING SERVICE**

### **(RHS), DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** This program has been designed to increase the supply of affordable multifamily housing through partnerships between RHS and major lending sources, as well as State and local housing finance agencies and bond insurers. The program provides effective new forms of Federal credit enhancement for the development of affordable multifamily housing by lenders.

**TYPES OF ASSISTANCE:** Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** The guarantee will encourage the construction of new rural rental housing and appropriate related facilities. Housing as a general rule will consist of multi-units with two or more family units. The guarantee may not be made for nursing, special care or industrial type housing.

**Applicant Eligibility:** The applicant in this program is the lender that will use the guarantee as a credit enhancement and therefore be more likely to make the loan. The lender must be approved by Fannie Mae, Freddie Mac, HUD or be a State Housing Finance Agency. The projects must be located in rural areas as defined by the Agency.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Consult your local telephone directory for RD country or district office number.

**Headquarters Office:** Director, Multi-Family Housing Processing Division, Rural Housing, Department of Agriculture, Washington, DC 20250. Telephone (202) 720-1604.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **10.441 TECHNICAL AND SUPERVISORY ASSISTANCE GRANTS**

### **FEDERAL AGENCY: RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To assist low-income rural families in obtaining adequate housing to meet their family's needs and/or to provide the necessary guidance to promote their continued occupancy of already adequate housing. These objectives will be accomplished through the establishment or support of housing delivery and counseling projects run by eligible applicants. This program is intended to make use of any available housing program that provides the low-income rural resident access to adequate rental properties or home ownership.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant purposes: Grant funds are to be used for a housing delivery system and counseling program to include a comprehensive program of technical and supervisory assistance as set forth in the grant agreement and any other special conditions as required by RHS. Uses of grant funds may include, but are not limited to: (a) The development and implementation of a program of technical and supervisory assistance as defined in RD Instruction 1944-K, 1944.506(h) and (i); (b) payment of reasonable salaries of professional, technical, and clerical staff actively assisting in the delivery of the TA project; (c) payment of necessary and reasonable office expenses such as office supplies and office rental, office utilities, telephone services, and office equipment rental; (d) payment of necessary and reasonable administrative costs such as workers' compensation, liability insurance, audit

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reports, travel to and attendance at RD approved training sessions, and the employer's share of Social Security and health benefits. Payments to private retirement funds are prohibited unless prior written authorization is obtained from the Administrator; (e) payment of reasonable fees for necessary training of grantee personnel. This may include the cost of travel and per diem to attend regional training sessions when authorized by the State Director; and (f) other reasonable travel and miscellaneous expenses necessary to accomplish the objectives of the specific TA grant which were anticipated in the individual TA grant proposal and which were included as eligible expenses at the time of grant approval. Ineligible activities: Grant funds may not be used for: (1) Acquisition construction, repair, or rehabilitation of structures or acquisition of land, vehicles, or equipment; (2) replacement of or substitution for any financial support which would be available from any other source; (3) duplication of current services in conflict with the requirements of RD Instruction 1944-k, 1944.514(c); (4) hiring personnel to perform construction; (5) buying property of any kind from families receiving technical or supervisory assistance from the grantee under the terms of the TA grant; (6) paying for or reimbursing the grantee for any expenses or debts incurred before RHS/RD executes the grant agreement; (7) paying any debts, expenses or costs which should be the responsibilities of the individual families receiving technical and supervisory assistance; (8) any type of political activities, and (9) other costs including contributions and donations, entertainment, fines and penalties, interest and other financial costs, legislative expenses and any excess of cost from other grant agreements. Advice and assistance may be obtained from the national office where ineligible costs are proposed as part of the TA project or where a proposed cost appears ineligible. The grantee may not change fees or accept compensation or gratuities from TA recipients for the grantee's assistance under the program.

**Applicant Eligibility:** Technical and Supervisory Assistance Grants may be made to public or private nonprofit corporations, agencies, institutions, organizations, Indian Tribes, and other associations. Listed below are a description and definition of eligible applicants: 1. Sponsored organization - A public purpose group other than a unit of government that is a beneficiary under a plan or program administered by a State, or political subdivision of a State or local government, and which is subject to approval by a Federal agency. Usually organized to work for a specific purpose. Examples: Community development agencies, model cities, and community action agencies; 2. public nonprofit institution/organization - A public owned agency or organization established to perform specialized functions or services for the benefit of all or part of the general public either without charge or at cost, making no profits and having no shareholders or receive dividends, includes institutions of higher education and hospitals. 3. Federally Recognized Indian Tribal Government - the governing body or a governmental agency of an Indian tribe, nation, pueblo, or other organized group or community (including native village as defined in the Alaska Native Claims Settlement Act) certified by the Secretary of the Interior as eligible for the special programs and services provided through the Bureau of Indian Affairs; and 4. private nonprofit institution/organization privately owned organization or institution which represent community service networks, public information, technical assistance, and public education.

Operated exclusively for charitable, scientific, literary or educational purposes such that no part of its earnings is for the benefit of any private shareholder or individual, includes private institutions of higher education and hospitals. Examples: Girl Scouts, American Civil Liberties Union.

### INFORMATION CONTACTS:

**Regional or Local Office:** Rural Development (RD) Community Program manager of the area to be served by the Technical Supervisory Assistance project. If the Technical and Supervisory Assistance area encompasses more than one District office, the preapplication will be filed at the district office which serves the area in which the grantees will provide the greatest amount of Technical Supervisory Assistance.

**Headquarters Office:** Rural Housing Service (RHS), USDA, 14th Street and Independence Avenue SW., Washington, DC 20250. Telephone: (202) 720-1474.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 10.442 HOUSING APPLICATION PACKAGING GRANTS (Section 509 Grants)

#### FEDERAL AGENCY: RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To package single family housing applications for very low and low-income rural residents in colonials and designated counties who wish to buy, build, or repair houses for their own use and to package applications for organizations wishing to develop rental units for lower income families.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grants reimburse eligible organizations for part or all of the costs of conducting, administering, and coordinating an effective housing application packaging program in colonials and designated counties. Eligible organizations aid very low and low-income individuals and families in obtaining benefit from federal, State, and local housing programs. The following are restrictions under the housing application packaging grants: (1) These funds are available only in the areas defined in Exhibit D of RD Instruction 1944-B; (2) the packager may not charge fees or accept compensation or gratuities directly or indirectly from the very low and low-income families being assisted under this program; (3) the packager may not represent or be associated with anyone else, other than the applicant, who may benefit in any way in the proposed transaction; (4) if the packager is compensated for this service from other sources, then the packager is not eligible for compensation from this source except as permitted by RHS; (5) grantees who are funded to do self-help housing effort; and (6) the authorized representatives must have no pecuniary interest in the award of the architectural or construction contracts, the purchase of equipment, or the purchase of the land for the housing site.

**Applicant Eligibility:** An eligible grantee is an organization which is defined as any of the following entities which are legally authorized to work in designated counties and/or colonials and are: (1) A State, State agency, or unit of general local government; or (2) a private nonprofit organization or corporation that is owned and controlled by private persons or interests, is organized and operated for purposes other than making gains or profits for the corporation, and is legally precluded from distributing any gains or profits to its member.

### INFORMATION CONTACTS:

## Federal Funding Sources For Rural Areas

**Regional or Local Office:** Consult your local telephone directory under United States Department of Agriculture for RD county office number.

**Headquarters Office:** Director, Single Family Housing Processing Division, Rural Housing Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474.

### 10.443 OUTREACH AND ASSISTANCE FOR SOCIALLY DISADVANTAGED FARMERS AND RANCHERS

**FEDERAL AGENCY:** DEPARTMENT OF AGRICULTURE, ASSISTANT SECRETARY FOR ADMINISTRATION, OFFICE OF OUTREACH

**OBJECTIVES:** To reverse the decline of socially disadvantaged farmers and ranchers across the United States. The intended outcome is to encourage and assist socially disadvantaged farmers and ranchers to own and operate their own farms and ranches, participate in agricultural programs, and thus allow them to become an integral part of the agricultural community and strengthen the rural economy.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** To provide outreach, training and technical assistance to socially disadvantaged farmers and ranchers on agricultural programs.

**Applicant Eligibility:** 1890 Land-Grant Institutions, including Tuskegee University, Indian Tribal Community Colleges, Alaska Native cooperative colleges, Hispanic serving post-secondary educational institutions, other post-secondary educational institutions providing Agricultural education or other agriculturally-related services to socially disadvantaged farmers and ranchers in their region and community-based organizations that: (1) Have demonstrated experience in providing agricultural education or other agriculturally related services to socially disadvantaged farmers and ranchers in their region; (2) provides documentary evidence of its past experience in working with socially disadvantaged farmers and ranchers during the 2 years preceding its application for assistance; and (3) does not engage in activities prohibited under Section 501(c)(3) of the Internal Revenue Code of 1986.

#### INFORMATION CONTACTS:

**Regional or Local Office:** Contact appropriate USDA State Office listed in your local telephone directory

**Headquarters Office:** Department of Agriculture, USDA Office of Outreach, 1400 Independence Avenue, SW., AG STOP 1710, Washington, DC 20250. Telephone: (202)720-6350. Fax: (202) 720-7489.

**EXAMPLES OF FUNDED PROJECTS:** Under the current funded projects, the grantees proposed a one-year plan for outreach and technical assistance to encourage and assist socially disadvantaged farmers and ranchers to own and operate farms and ranches and to participate in agricultural programs.

### 10.444 DIRECT HOUSING NATURAL DISASTER LOANS AND GRANTS (SECTION 504, Rural Housing Loans and Grants)

**FEDERAL AGENCY:** RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To assist qualified recipients to meet emergency assistance needs resulting from natural disaster. Funds are only available to the extent that funds are not provided by the Federal Emergency Management Agency (FEMA). For the purpose of administering these funds, natural disaster will only include those counties identified by a Presidential declaration.

**TYPES OF ASSISTANCE:** Direct Loans; Project Grants.

**USES AND USE RESTRICTIONS:** To assist very-low income owner occupants to repair or replace damaged property as a direct result of a natural disaster. Loans are made in counties named by the Federal Management Agency as being eligible for Federal assistance under an emergency declaration by the President.

**Applicant Eligibility:** Applicants must own and occupy the home in a rural area. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for that part of the assistance received as a grant. The applicant's income for a loan may not exceed the very low-income limit set forth in Appendix 9 of the Direct Single Family Housing Programs Handbook which can be located in any Rural Development (RD) field office. Very low-income limits range from \$6,300 to \$22,650 for a single person household, depending on an area's median income.

#### INFORMATION CONTACTS:

**Regional or Local Office:** Consult your local telephone directory under United States Department of Agriculture for RD county office number.

**Headquarters Office:** Director, Single Family Processing Division, Rural Housing Service (RHS), Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474.

### 10.445 DIRECT HOUSING NATURAL DISASTER (Section 502 Very Low and Low Income Loans)

**FEDERAL AGENCY:** RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To assist qualified lower income rural families to meet emergency assistance needs resulting from natural disaster to buy, build, rehabilitate, or improve dwellings in rural areas. Funds are only available to the extent that funds are not provided by the Federal Emergency Management Agency (FEMA). For the purpose of administering these funds, natural disaster will only include those areas identified by a Presidential declaration.

**TYPES OF ASSISTANCE:** Direct Loans.

**USES AND USE RESTRICTIONS:** Direct loans may be used for construction, repair, or purchase of housing. Payment subsidy is available to eligible low and very low-income applicants. The term payment subsidy refers to either payment assistance or interest credit. A payment subsidy reduces the borrower's scheduled payment to an amount equal to what it would be if the note were amortized to as low as one percent. Payment subsidies are subject to recapture by the government when the borrower transfers title or ceases to occupy the property.

**Applicant Eligibility:** Applicants must be without adequate resources to obtain housing or related facilities. Applicants must be unable to secure the necessary credit from other

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sources at prevailing terms and conditions for residential financing.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Consult your local telephone directory under United States Department of Agriculture for RD county office number.

**Headquarters Office:** Director, Single Family Processing Division, Rural Housing Service (RHS), Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474.

### **10.446 Rural Community Development Initiative**

**FEDERAL AGENCY: RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To develop the capacity and ability of private, nonprofit community-based housing and community development organizations, and low income rural communities to improve housing, community facilities, community and economic development projects in rural areas.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Rural Community Development Initiative grants may be used for but are not limited to (a) training sub-grantees to conduct a program on home-ownership education; (b) training sub-grantees to conduct a program for minority business entrepreneurs; (c) providing technical assistance to sub-grantees on how to effectively prepare a strategic plan; (d) provide technical assistance to sub-grantees on how to access alternative funding sources; (e) building organizational capacity through board training; (f) developing training tools, such as videos, workbooks, and reference guides to be used by the sub-grantee; (g) providing technical assistance and training on how to develop successful child care facilities; and (h) providing training on effective fund raising techniques.

**Applicant Eligibility:** Rural Community Development Initiative grants may be made to a qualified private or public (including tribal) organization that provides technical assistance to nonprofit community-based housing and community development organizations, and low income rural communities. The grantee must provide a program of technical assistance to the sub-grantee. The grantee must have at least three years prior experience working with nonprofit organizations or low-income rural communities in the areas of housing, community facilities, or community and economic development. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Consult your local telephone directory for Rural Development field office numbers.

**Headquarters Office:** Deputy Administrator, Community Programs, Rural Housing Service, Department of Agriculture, Washington, DC 20250- 3222. Telephone: (202) 720-1490. Community Programs, Rural Housing Service, Department of Agriculture, Washington, DC 20250- 0787. Telephone: (202) 720-1498.

**EXAMPLES OF FUNDED PROJECTS:** Grants were made to (a) hire a staff person to provide technical assistance to the

sub-grantee; (b) the sub-grantee organization hires a staff person, under the supervision of the grantee, to carry out the technical assistance; (c) develop the capacity of sub-grantees to conduct community development programs; (d) develop the capacity of sub-grantees to conduct home-ownership education programs; and (e) develop the capacity of sub-grantees to conduct training for minority business entrepreneurs.

### **10.557 SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN (WIC Program)**

**FEDERAL AGENCY: FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To provide, at no cost, supplemental nutritious foods, nutrition education, and referrals to health care to low-income pregnant, breast-feeding and postpartum women, infants, and children to age five determined to be at nutritional risk. **TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Grants are made to State health departments or comparable agencies, Indian tribes, bands, or intertribal councils, or groups recognized by the Bureau of Indian Affairs, U.S. Department of the Interior, or the Indian Health Service of the U.S. Department of Health and Human Services. These agencies distribute funds to participating local public or nonprofit private health or welfare agencies. Funds are expended to pay for supplemental foods, nutrition education and health care referrals for participants, as well as specified administrative costs, including certification services. State agencies are provided Federal funds according to legislative and regulatory formulae. Only local agencies qualifying under State agency applications may operate WIC programs.

**Applicant Eligibility:** A local agency is eligible to apply to locally deliver the services of the WIC program provided: 1) it serves a population of low-income women, infants, and children at nutritional risk; 2) it is a public or private nonprofit health or human service agency. All local agencies must apply through the responsible State or U.S. Territory agency.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Food and Nutrition Service regional offices listed in your local telephone directory.

**Headquarters Office:** Supplemental Food Programs Division, Food and Consumer Service, Department of Agriculture, Alexandria, VA 22302. Telephone: (703) 305-2746.

### **10.558 CHILD AND ADULT CARE FOOD PROGRAM**

**FEDERAL AGENCY: FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To assist States, through grants-in-aid and other means, to initiate and maintain nonprofit food service programs for children, elderly or impaired adults in nonresidential day care facilities and children in emergency shelters.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Funds are made available for disbursement to eligible institutions to reimburse their costs in providing meals and snacks to homeless children in emergency shelters and children and adults receiving nonresidential day care, including after school programs.

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Disbursement is made on the basis of the number of lunches, suppers, breakfasts, and snacks served, using annually adjusted reimbursement rates specified by law. Program institutions may receive reimbursement for not more than three meals per day, per participant. The program in child care institutions and homeless emergency shelters is limited to children 12 years old and younger, except for children of migrant workers, who may participate if aged 15 years and younger, and individuals with disabilities, who if over 12 years of age would be eligible to participate only in a center or home where the majority of those enrolled are 18 and younger. In after school care programs, reimbursement is available for snacks served to children aged 18 and under. In adult day care centers, functionally impaired adults 18 years of age and older and adults 60 years of age and older who are not residents of an institution are eligible. Meals must meet minimum requirements of the United States Department of Agriculture (USDA).

**Applicant Eligibility:** The State or U.S. Territory agency applies for, and signs an annual agreement to receive Federal funds for disbursement. In Virginia, where the State does not administer the program, institutions may receive funds directly from USDA.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See your local telephone directory.

**Headquarters Office:** Director, Child Nutrition Division, Food and Nutrition Service, United States Department of Agriculture, Alexandria, VA. Telephone: (703) 305- 2590.

### **10.567 FOOD DISTRIBUTION PROGRAM ON INDIAN RESERVATIONS**

**FEDERAL AGENCY: FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To improve the diets of needy persons in households on or near Indian reservations through the distribution of commodities.

**TYPES OF ASSISTANCE:** Project Grants; Sale, Exchange, or Donation of Property and Goods.

**USES AND USE RESTRICTIONS:** Indian Tribal Organizations and State Distributing Agencies make food available for distribution to qualifying households. Households may not be charged. Donated foods may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval of the Department. FNS administrative funds must be expended to meet expenses incurred in operating the Food Distribution Program on Indian Reservations. These funds may not be used to purchase real property (land or buildings).

**Applicant Eligibility:** Authorized Indian Tribal Organizations and State agencies designated by the Governor, legislature, or other authority may receive and distribute donated foods. State agencies that administer the program are eligible to receive Federal cash assistance (administrative funds) to assist in meeting operating expenses incurred in administering the program. Indian tribal organizations may be their own State agency for the administration of the program on reservations if determined capable by FNS.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Food and Nutrition Service Regional Offices listed in your local telephone directory.

**Headquarters Office:** Director, Food Distribution Division,

Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Telephone: (703) 305-2680.

### **10.570 Nutrition Services Incentive (NSIP)**

**FEDERAL AGENCY: FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To improve the diets of the elderly and to increase the market for domestically produced foods acquired under surplus removal or price support operations.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Food is made available for use in the preparation of congregate or home-delivered meals by nutrition programs for the elderly. Each provider may solicit voluntary contributions for meals furnished in accordance with guidelines established by the Commissioner, taking into consideration the income ranges of eligible individuals in local communities and other sources of income to the provider. However, each individual participant decides what he or she will contribute toward the cost of the meal; meals are free to eligible persons who do not make any contribution. Donated foods may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval of the Department.

**Applicant Eligibility:** Such State, Trust Territories and Federal agencies that are designated as distributing agencies by the Governor, legislature, or other authority may receive and distribute donated foods. Elderly nutrition programs that are funded under Title III of the Older Americans Act of 1965 must apply to their State Agency on Aging for commodities or cash in lieu thereof; elderly nutrition programs for Indians on reservations that are funded under Title VI of the Older Americans Act must apply to FNS Regional Offices for food assistance after receiving approval from the Department of Health and Human Services.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Food and Nutrition Service Regional Offices listed in your local telephone directory.

**Headquarters Office:** Food Distribution Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Telephone: (703) 305-2680. Contact: Les Johnson, Director.

**EXAMPLES OF FUNDED PROJECTS:** Programs 93.045 and 93.047 Special Programs for the Aging relating to nutrition services and Indian programs respectively are examples of projects that have been funded under this program. Both of these programs supply supportive services including nutrition services to older Americans.

### **10.664 COOPERATIVE FORESTRY ASSISTANCE**

**FEDERAL AGENCY: FOREST SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** With respect to nonfederal forest and other rural lands to assist in the advancement of forest resources management; the encouragement of the production of timber; the control of insects and diseases affecting trees and forests; the control of rural fires; the efficient utilization of wood and wood residues, including the recycling of wood fiber; the improvement and maintenance of fish and wildlife habitat; and the planning and conduct of urban and community forestry



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programs.

**TYPES OF ASSISTANCE:** Formula Grants; Project Grants; Use of Property, Facilities, and Equipment.

**USES AND USE RESTRICTIONS:** To assist State Forester or equivalent agencies in forest stewardship programs on private, State, local, and other nonfederal forest and rural lands. Programs may include production of timber and efficient processing and use of wood products; developing genetically improved tree seeds; producing and distributing tree seeds and seedlings; reforestation; timber stand improvement; improving wildlife habitat; assisting private woodland owners in harvesting, processing, and marketing activities; conversion of wood to energy; protection and improvement of forest soil fertility; forest insect and disease management; urban and community forestry; Development and transfer of new and improved fire control technologies; organization of shared fire suppression resources, and achievement of more efficient State fire protection; provision of financial, technical and related assistance to local rural fire fighting forces; acquisition and loan of Federal excess property; organizational improvement; forestry resources planning; conservation of forest land; and technology transfer.

**Applicant Eligibility:** State Forestry or equivalent State agencies are eligible. All States, the District of Columbia, Puerto Rico, the Virgin Islands, the Northern Mariana Islands, the Trust Territory of the Pacific Islands, and the territories and possessions of the United States are eligible.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Refer to your local telephone directory for Regional and Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Area Director of the Forest Service.

**Headquarters Office:** State and Private Forestry, Forest Service, Department of Agriculture, P.O. Box 96090, Washington, DC 20090-6090. Telephone: (202) 205-1657.

**EXAMPLES OF FUNDED PROJECTS:** Funding is for State Forestry Agency programs as set forth in USES AND USE RESTRICTIONS.

### **10.665 SCHOOLS AND ROADS GRANTS TO STATES (25 Percent Payments to States)**

**FEDERAL AGENCY: FOREST SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To share receipts from the National Forests with the States in which the National Forests are situated.

**TYPES OF ASSISTANCE:** Formula Grants

**USES AND USE RESTRICTIONS:** To be used for the benefit of public schools and public roads of the county or counties in which the National Forest is situated.

**Applicant Eligibility:** Grant recipients must be States (including commonwealths) or territories of the United States containing National Forest land.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Fiscal branch of appropriate Forest Service Regional Office listed in your local telephone directory.

**Headquarters Office:** Director of Acquisitions Management, Forest Service, USDA, Room 706 RPE, P.O. Box 96090,

Washington, DC 20090-6090. Telephone: (703) 235-8007.

### **10.666 SCHOOLS AND ROADS GRANTS TO COUNTIES (Payments to Counties)**

**FEDERAL AGENCY: FOREST SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To share receipts from National Grasslands and Land Utilization Projects with the counties in which the National Grasslands and Land Utilization Projects are situated.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** To be used for school or road purposes or both.

**Applicant Eligibility:** Counties within the States of the United States containing National Grasslands or Land Utilization Projects.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Fiscal Branch of appropriate Forest Service Regional Office listed in your local telephone directory.

**Headquarters Office:** Director of Procurement and Property, Forest Service, USDA, Room 706 RPE, P.O. Box 96090, Washington, DC 20090-6090. Telephone: (703) 235-8007.

### **10.670 NATIONAL FOREST DEPENDENT RURAL COMMUNITIES (Economic Recovery)**

**FEDERAL AGENCY: FOREST SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** Provide accelerated assistance to communities faced with acute economic problems associated with Federal, State or private sector resource management decisions and policies and that are located in or near a national forest and are economically dependent upon forest resources. Aid is extended to these communities to help them develop strategic action plans to diversify their economic base and to improve the economic, social, and environmental well-being of rural areas.

**TYPES OF ASSISTANCE:** Project Grants; Use of Property, Facilities, and Equipment; Training.

**USES AND USE RESTRICTIONS:** Eligible economically disadvantaged rural communities may request assistance in identifying opportunities that will promote economic improvement, diversification and revitalization. Assistance is coordinated through a community action team and plan. Programs may include upgrade of existing industries, development of new economic activity in non-forest related industries, technical assistance, training and education directed towards meeting the community's planned goals. Assistance requested will be coordinated with other USDA agencies and targeted to provide immediate help to those rural communities in greatest need. Grants and technical assistance are available to those communities meeting the eligibility requirements.

**Applicant Eligibility:** Applicant must be a general purpose local government or area represented by a nonprofit corporation or institution under State or Federal law to promote broad based economic development having a population of not more than 10,000; or any county that is not contained within a metropolitan statistical area. In both cases, the county must derive 15 percent or greater primary and secondary labor and proprietary income from forestry and forest related industries, such as recreation and tourism, range, minerals, and wildlife. In

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all cases, the community or county must also be within 100 miles of the boundary of a national forest. Communities or counties associated solely with national grasslands are not eligible.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Refer to your local telephone directory for Regional and Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Area Director of the Forest Service.

**Headquarters Office:** Deputy Chief, State and Private Forestry, Forest Service, Department of Agriculture, P.O. Box 96090, Washington, DC 20090-6090. Telephone: (202) 205-1657.

**EXAMPLES OF FUNDED PROJECTS:** Training and placing out-of-work loggers into environmental restoration jobs in northern California, Oregon and Washington. Developing and implementing an ecosystem management plan which includes commercial opportunities for utilizing small diameter, second-growth pine in Montezuma, Delores, and La Plata counties in southwestern Colorado. Strategic planning resulting in maintaining the rural character, yet enhancing social and economic benefits of community change in Wakulla County, Florida. Local development and construction of a riverfront park in Libby, Montana. Establishment of the Forest Technology and Training Institute in Clallum County, Washington. Increasing awareness and development of non-farm industries in the historically agriculturally based area around Rust College, Mississippi, with a special emphasis on recreation and tourism opportunities as well as value added forest products. Eco-tourism training and small business management in Newton County, Arkansas. Establishing state-of-the-art computer technology in Wasatch County, Utah, to help revise the master plan.

### **10.671 SOUTHEAST ALASKA ECONOMIC DISASTER FUND**

**FEDERAL AGENCY:** FOREST SERVICE,  
DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To counter the effects of the declining timber program of the Tongass National Forest.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** To employ former timber workers and for related community development projects.

**Applicant Eligibility:** Local communities/boroughs named in Public Law 104-134.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** USDA Forest Service, 3301 C Street, Suite 522, Anchorage, Alaska 99503-3956, Telephone: (907) 271- 2519.

**Headquarters Office:** Not applicable.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **10.672 RURAL DEVELOPMENT, FORESTRY AND COMMUNITIES (RURAL DEVELOPMENT)**

**FEDERAL AGENCY:** FOREST SERVICE,  
DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To identify, assist and support local strategic planning objectives by joining State Foresters, tribal, State and Federal agencies, local governments, not-for-profit organizations and others in finding, developing and sustaining rural development activities that extend and diversify natural resource use.

**TYPES OF ASSISTANCE:** Project Grants; Use of Property, Facilities, and Equipment; Training.

**USES AND USE RESTRICTIONS:** Funding may be allocated for such things as technical assistance, training and education, technology transfer, marketing and all costs associated with making these services available to State Foresters, tribal State and Federal agencies, local governments, not-for-profit organizations, and others working with communities.

**Applicant Eligibility:** State Foresters, tribal, State and Federal agencies (matching programs), local governments, not-for-profit organizations and others. Forest Service Region/Area/Institute policies will further define and focus resources to meet the needs of communities that these administrative units serve.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Refer to your local telephone directory for Regional and Area State and Private Forestry offices of the Forest Service.

**Headquarters Office:** USDA Forest Service, Washington DC. Telephone: (202) 205-1657.

**EXAMPLES OF FUNDED PROJECTS:** Not available at this time. This is a new program.

### **10.760 WATER AND WASTE DISPOSAL SYSTEMS FOR RURAL COMMUNITIES**

**FEDERAL AGENCY:** RURAL UTILITIES SERVICE,  
DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To provide basic human amenities, alleviate health hazards and promote the orderly growth of the rural areas of the nation by meeting the need for new and improved rural water and waste disposal facilities.

**TYPES OF ASSISTANCE:** Project Grants; Direct Loans; Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** Funds may be used for the installation, repair, improvement, or expansion of a rural water facility including distribution lines, well pumping facilities and costs related thereto, and the installation, repair, improvement, or expansion of a rural waste disposal facility including the collection, and treatment of sanitary, storm, and solid wastes. Grant funds may not be used to pay: Interest on loans, operation and maintenance costs, or to acquire or refinance an existing system. No maximum loan amount is established by statute. The maximum term on all loans is 40 years. However, no repayment period will exceed any statutory limitation or the organization's borrowing authority nor the useful life of the improvement or facility to be financed. There are currently three interest rates for direct loans: A 4.5 percent rate when the loan is required to meet health or sanitary standards and the median household income of the service area is below the higher of the poverty line or 80 percent of the statewide Non-metropolitan median household income; the intermediate rate, halfway between 4.5 percent and market rate, if the median household income of the service area is not more

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than 100 percent of the Non-metropolitan median household income of the State; and market rate for those applicants that do not qualify for 4.5 percent or intermediate rate. The interest rate on guaranteed loans is negotiable between the applicant and the lender.

**Applicant Eligibility:** Municipalities, counties, and other political subdivisions of a State, such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other federally recognized Indian tribes. Facilities shall primarily serve rural residents and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. The applicant must: (1) Be unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms; and (2) have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service, and for obtaining, giving security for, and repaying the proposed loan. Plans and specifications must be developed to comply with State and local health and pollution regulations and other requirements. Grants are made only when necessary to reduce the average annual benefitted user charges to a reasonable level. Normally, grants are considered only when the debt service portion of the cost to grant eligible users exceeds the following percentages of median household incomes (MHI) for the applicant service area; 0.5 percent when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the State's non-metropolitan household income (NMHI); 1.0 percent when the NMHI of the service area exceeds the 0.5 percent requirement but is not more than 100 percent of the State's NMHI; no RUS grant funds will be used in any project when the MHI of the service area is above the poverty line and more than 100 percent of the State's NMHI. The RUS grant may not exceed 75 percent of the eligible project development cost when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the State's NMHI and the project is necessary to alleviate a health or sanitary problem; 45 percent when the MHI of the service area exceeds the 75 percent requirements, but is not more than 100 percent of the State's NMHI. Assistance is authorized for eligible applicants in rural areas of the United States, Puerto Rico, the Virgin Islands, Guam, Commonwealth of Northern Mariana Islands, American Samoa, and to the extent the Secretary determines feasible and appropriate, the Trust Territory of the Pacific Islands.

### INFORMATION CONTACTS:

**Regional or Local Office:** Consult your local telephone directory for RD local number.

**Headquarters Office:** Assistant Administrator, Water and Environmental Programs Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-2670.

**EXAMPLES OF FUNDED PROJECTS:** The following areas received funds for specified projects: Construct new water system consisting of water lines, pumping stations, wells, storage tanks and treatment plants; water system improvements consisting of additional water lines, new water treatment facility and booster pump; renovation of existing water system which includes new distribution lines, wells and pressure tanks;

construct new waste water collection and treatment systems; replace waste water treatment plant and improve waste water collection lines; rehabilitate waste water collection lines and construct lift station; purchase site for landfill; and purchase trucks and equipment for solid waste disposal.

### 10.761 TECHNICAL ASSISTANCE AND TRAINING GRANTS

#### FEDERAL AGENCY: RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To identify and evaluate solutions to water and waste disposal problems in rural areas; to assist applicants in preparing applications made in accordance with 7 CFR 1780; to improve operation and maintenance of water and waste disposal facilities in rural areas.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds may be used to pay expenses associated with providing technical assistance and/or training (TAT) to identify and evaluate solutions to water problems relating to source, storage, treatment, and distribution, and to waste disposal problems relating to collection, treatment, and disposal; assist applicants that have filed a preapplication with RUS in the preparation of water and/or waste disposal loan and/or grant applications; and to provide training that will improve the management, operation and maintenance of water and waste disposal facilities. Grant funds may not be used to recruit applications, duplicate current services such as those performed by a consultant in developing a project, fund political activities, pay for capital assets, purchase real estate or vehicles, improve and renovate office space or repair and maintain privately owned property, pay construction or O&M costs, and pay costs incurred prior to the effective date of grants made.

**Applicant Eligibility:** Private nonprofit organizations that have been granted tax exempt status by the Internal Revenue Service of the United States. Applicants must have proven ability, background, experience, legal authority and actual capacity to provide technical assistance and/or training on a regional basis to associations.

### INFORMATION CONTACTS:

**Regional or Local Office:** Consult your local telephone directory for RD district office number.

**Headquarters Office:** Assistant Administrator, Water and Waste Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-2670.

**EXAMPLES OF FUNDED PROJECTS:** Recipients of this program received funds to administer technical assistance and training to small communities experiencing water and waste disposal problems.

### 10.762 SOLID WASTE MANAGEMENT GRANTS

#### FEDERAL AGENCY: RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To reduce or eliminate pollution of water resources and improve planning and management of solid waste disposal facilities in rural areas.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds may be used to:

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Evaluate current landfill conditions to determine threats to water resources in rural areas; provide technical assistance and/or training to enhance operator skills in the maintenance and operation of active landfills in rural areas; provide technical assistance and/or training to help associations reduce the solid waste stream; and provide technical assistance and/or training for operators of landfills in rural areas which are closed or will be closed in the near future with the development/implementation of closure plans, future land use plans, safety and maintenance planning, and closure scheduling within permit requirements. Grant funds may not be used to: Recruit preapplications/applications for any loan and/or grant program including RUS Water and Waste Disposal Loan and/or Grant Program; duplication of current services, replacement or substitution of support previously provided such as those performed by an association's consultant in developing a project; fund political activities; pay for capital assets, the purchase of real estate or vehicles, improve and renovate office space, or repair and maintain privately-owned property; pay for construction or operation and maintenance costs of water and waste facilities; and pay costs incurred prior to the effective date of grants made under this sub-part.

**Applicant Eligibility:** Entities eligible for grants are nonprofit organizations, including: Private nonprofit organizations that have been granted tax exempt status by the Internal Revenue Service (IRS); and public bodies including local governmental-based multi-jurisdictional organizations. Applicants must have the proven ability, background, experience, legal authority, and actual capacity to provide technical assistance and/or training on a regional basis to eligible beneficiaries.

### INFORMATION CONTACTS:

**Regional or Local Office:** Consult your local telephone directory for RD District Office number.

**Headquarters Office:** Assistant Administrator, Water and Waste Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-2670.

**EXAMPLES OF FUNDED PROJECTS:** Recipients of this program received funds to administer technical assistance in areas of solid waste management to small communities.

### 10.763 EMERGENCY COMMUNITY WATER ASSISTANCE GRANTS

#### FEDERAL AGENCY: RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** Through the Emergency Community Water Assistance Grant Program, the Rural Utilities Service (RUS) is authorized to help rural residents who have experienced a significant decline in quantity or quality of water to obtain adequate quantities of water that meet the standards of the Safe Drinking Water Act.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds may be used to extend waterlines on existing systems; to construct new water lines; to repair existing systems; to perform significant maintenance on existing systems; to construct new wells, reservoirs, transmission lines, treatment plants, storage tanks, etc.; to replace equipment; to provide connection and/or tap fees; to pay costs incurred within six months of the date an application was filed with USDA to correct an emergency

situation that would have been eligible for funding under this program; to provide funds for any other appropriate related purposes, such as, legal fees; engineering fees; recording costs; environmental impact analyses; archaeological surveys; possible salvage or other mitigation measures; planning, establishing, or acquiring rights associated with developing sources of treating, storing, or distributing water; and to assist rural water systems in complying with the requirements of the Federal Water Pollution Control Act or the Safe Drinking Water Act, when failure to comply is directly related to a recent decline in quality of potable water. Grants provided under this program shall not be used to assist a rural area or community with a population in excess of 10,000; to assist a rural area that has a median household income in excess of the statewide Non-metropolitan median household income according to the most recent decennial census of the U.S.; to finance facilities which are not modest in size, design, and cost; to pay loan or grant finder's fees; to pay any annual recurring costs considered to be operational expenses; to pay rental for the use of equipment or machinery owned by the rural community; to purchase existing systems; to refinance existing indebtedness; and to make reimbursement for projects developed with other grant funds. Grants made to alleviate a significant decline in quantity or quality of water available from the water supplies in rural areas that occurred within two years of filing an application with USDA cannot exceed \$500,000. Grants for repairs, partial replacement, or significant maintenance on an established system cannot exceed \$75,000.

**Applicant Eligibility:** Rural Utilities Service may make grants to public bodies, private nonprofit corporations, and political subdivisions of a State, as well as Indian tribes.

### INFORMATION CONTACTS:

**Regional or Local Office:** Consult your local telephone directory under United States Government, Department of Agriculture for RD District Office number.

**Headquarters Office:** Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-2670.

**EXAMPLES OF FUNDED PROJECTS:** Rural communities can use these funds to correct drinking water problems that have been created by a significant decline in quantity or quality of their water supply. The communities use the funds for new systems, waterline extensions, construction of water sources and treatment facilities, storage tanks, and repairs or renovation of existing systems.

### 10.766 COMMUNITY FACILITIES LOANS AND GRANTS

#### FEDERAL AGENCY: RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To construct, enlarge, extend, or otherwise improve community facilities providing essential services to rural residents.

**TYPES OF ASSISTANCE:** Direct Loans; Guaranteed/Insured Loans; Project Grants.

**USES AND USE RESTRICTIONS:** Community facilities include but are not limited to those providing or supporting overall community development such as fire and rescue services, transportation, community, social, cultural, and health

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benefits: industrial park sites, access ways, and utility extensions. All facilities financed in whole or in part with RHS funds shall be for public use.

**Applicant Eligibility:** City, county, and State agencies, political and quasi-political subdivisions of States and associations including corporations, Indian tribes on Federal and State reservations and other Federally Recognized Indian tribes and existing private corporations which: (1) Are operated on a not-for-profit basis; (2) have or will have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service and for obtaining, giving security for, and repaying the loan; and (3) are unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa. The commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

### INFORMATION CONTACTS:

**Regional or Local Office:** Consult your local telephone directory for Rural Development numbers.

**Headquarters Office:** Director, Community Facilities Division, Rural Housing Service, Department of Agriculture, Washington, DC 20250-3222. Telephone: (202) 720-1490.

**EXAMPLES OF FUNDED PROJECTS:** Loans were made to: (1) Establish rural health clinics in medically underserved areas; (2) purchase fire fighting equipment for rural towns; (3) construct new municipal buildings; (4) build new schools to serve rural counties; and (5) renovate hospitals to meet current life/safety codes.

### 10.767 INTERMEDIARY RE-LENDING PROGRAM

#### FEDERAL AGENCY: RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To finance business facilities and community development.

**TYPES OF ASSISTANCE:** Direct Loans.

**USES AND USE RESTRICTIONS:** An entity that receives an Intermediary RE-LENDING Program (IRP) loan from the Rural Business-Cooperative Service (RBS) is referred to as an intermediary. Intermediaries must re-lend all of the loan funds received from the IRP loan, for business facilities or community development in rural areas. An entity that receives a loan from an intermediary is referred to as an ultimate recipient. The maximum loan to any one intermediary is \$2 million. The maximum term is 30 years and the interest rate is one percent per annum. Intermediaries may not use IRP funds to finance more than 75 percent of the cost of an ultimate recipient's project or for a loan of more than \$150,000 to one ultimate recipient.

**Applicant Eligibility:** Eligible intermediaries may include: Private nonprofit organizations, State or local governments, and Federally recognized Indian tribes and cooperatives.

### INFORMATION CONTACTS:

**REGIONAL or Local Office:** RBS State Office listed in your local telephone directory.

**Headquarters Office:** Rural Business-Cooperative Service, Room 6321, South Agriculture Building, Washington, DC 20250-0700. Telephone: (202) 690-4100.

**EXAMPLES OF FUNDED PROJECTS:** (1) \$1,560,000 loan to a nonprofit corporation to re-lend to businesses within a State; (2) \$1,300,000 loan to a nonprofit corporation to re-lend to businesses within six counties in two States; (3) \$2,120,000 loan to a nonprofit corporation with a nationwide service area, for RE-LENDING to rural transportation facilities; and (4) \$510,000 loan to a nonprofit corporation serving an eight-county area for RE-LENDING to businesses.

### 10.768 BUSINESS AND INDUSTRY LOANS

#### FEDERAL AGENCY: RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To assist public, private, or cooperative organizations (profit or nonprofit), Indian tribes or individuals in rural areas to obtain quality loans for the purpose of improving, developing or financing business, industry, and employment and improving the economic and environmental climate in rural communities including pollution abatement and control.

**TYPES OF ASSISTANCE:** Direct Loans; Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** Direct loans may be extended for: (a) modernization, development costs; (b) purchasing and development of land, easements, rights-of-way, buildings, facilities, leases or materials; purchasing equipment, leasehold improvements, machinery and supplies; and (d) pollution control and abatement. Maximum loan size is \$10 million and maximum time allowable for final maturity is limited to 30 years for land and buildings, the usable life of machinery and equipment purchased with loan funds, not to exceed 15 years, and 7 years for working capital. Interest rates for direct loans change quarterly and are equal to the prime interest rate in effect on the first day of the quarter. Loans may not be made (a) to pay off a creditor in excess of the value of the collateral; (b) for distribution or payment to the owner, partners, shareholders, or beneficiaries of the applicant or members of their families when such persons shall retain any portion of their equity in the business; for projects involving agricultural production; (d) for the transfer of ownership of a business unless the loan will keep the business from closing, or prevent the loss of employment opportunities in the area, or provide expanded job opportunities; (e) for financing community antenna television services or facilities; (f) for charitable and educational institutions, churches, fraternal organizations, hotels, motels, tourist homes, convention centers, tourist, recreation or amusement facilities, lending and investment institutions and insurance companies; (g) for any legitimate business activity where more than 10 percent of the annual gross income is derived from legalized gambling; and (h) for any project which is likely to result in the transfer of business or employment from one area to another or cause production which exceeds demand. Guaranteed loans may be extended for: (a) modernization, development costs; (b) purchasing and development of land, easements, rights-of-way, buildings, facilities, leases or materials; purchasing equipment, leasehold improvements, machinery and supplies; (d) projects involving agricultural production, when

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not eligible for Farm Service Agency farmer program assistance and when it is part of an integrated business also involved in the processing of agricultural products and the agricultural production portion of the loan does not exceed the lesser of 50 percent of the total loan or \$1 million; and (e) pollution control and abatement. Maximum loan size is \$25 million and maximum time allowable for final maturity is limited to 30 years for land and buildings, the usable life of machinery and equipment purchased with loan funds, not to exceed 15 years, and 7 years for working capital. Interest rates for guaranteed loans are negotiated between the lender and the borrower. For loans of \$5 million or less, the maximum percentage of guarantee is 80 percent. For loans over \$5 million but not over \$10 million, the maximum percentage of guarantee is 70 percent. For loans in excess of \$10 million up to \$25 million, the maximum percentage of guarantee is 60 percent. Losses on principal advanced, including protective advances, and accrued interest, may be guaranteed by the Agency, but the maximum loss paid by RBS will never exceed the original principal plus accrued interest. Loans may not be made for: (a) distribution or payment to the owner, partners, shareholders, or beneficiaries of the applicant or members of their families when such persons shall retain any portion of their equity in the business; (b) the transfer of ownership of a business unless the loan will keep the business from closing, or prevent the loss of employment opportunities in the area, or provide expanded job opportunities; the guarantee of lease payments; (d) charitable institutions, churches, fraternal organizations, lending and investment institutions and insurance companies; (e) any legitimate business activity where more than 10 percent of the annual gross income is derived from legalized gambling; (f) the guarantee of loans made by other Federal agencies except those made by Banks for Cooperatives, Federal Land Bank or Production Credit Associations; (g) golf courses; (h) racetracks where individual prizes are awarded in the amount of \$500 or more; (i) owner-occupied housing; (j) projects eligible for the Rural Rental Housing and Rural Cooperative Housing loans under sections 515, 521, and 538 of the Housing Act of 1949, as amended; and (I) any project which is likely to result in the transfer of business or employment from one area to another or cause production which exceeds demand. Interested parties should contact the Rural Business-Cooperative Service (RBS) or the nearest Rural Development State Office which administers the programs at the local level.

**Applicant Eligibility:** An applicant may be a cooperative, corporation, partnership, trust, or other legal entity organized and operated on a profit or nonprofit basis; an Indian tribe; a municipality, county, or other political subdivision of a State; or individuals in rural areas. Applicants for direct loans must be located in one of the fifty States, Puerto Rico, Virgin Islands, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands, in rural areas other than a city, town, or an unincorporated area that has population of 50,000 inhabitants or less, other than an urbanized area immediately adjacent to a city, town, or unincorporated area that has a population in excess of 50,000 inhabitants. In addition to the eligible locations for direct loans, applicants for guaranteed loans may also be located in the Republic of Palau, the Federated States of Micronesia, and the Republic of the Marshall Islands. Preference is given to loans in open country, rural communities and towns with populations of 25,000 or less, and, on applications of equal priority, to veterans. Applicants must be

U.S. citizens or reside in the U.S. after being legally admitted for permanent residence and, if corporations, at least 51 percent owned by such individuals.

### INFORMATION CONTACTS:

**Regional or Local Office:** Consult your local telephone directory for appropriate Rural Development State Office.

**Headquarters Office:** Administrator, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC. 20250-3201. Telephone: (202) 690-4730. FAX: (202)690-4737.

**EXAMPLES OF FUNDED PROJECTS:** Loans made and guaranteed by RBS have been in order to assist a wide variety of manufacturing, retail, wholesale, and service businesses. Some recent loans and loan guarantee have assisted an agribusiness in expanding its service area, helped a radio station begin operations, provided access to capital for a catfish farm, assisted a textile firm in modernizing and expanding its operations, and provided working capital and financing for machinery and equipment for a printing company.

### 10.769 RURAL DEVELOPMENT GRANTS (RBEG) (TDG)

#### FEDERAL AGENCY: RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To facilitate the development of small and emerging private business, industry, and related employment for improving the economy in rural communities.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Rural business enterprise grant (RBEG) funds may be used to establish revolving funds, provide operating capital and finance industrial sites in rural areas including the acquisition and development of land and construction, conversion, enlargement, repair or modernization of buildings, plants, machinery, equipment, access streets and roads, parking areas, transportation serving the site, utility extensions, necessary water supply and waste disposal facilities, pollution control and abatement incidental to site development, provide technical assistance, pay fees, and refinancing. Television demonstration grant (TDG) funds may be used for television programming to demonstrate the effectiveness of providing information on agriculture and other issues of importance to farmers and other rural residents.

**Applicant Eligibility:** Applicants eligible for RBE grants are public bodies and nonprofit corporations serving rural areas such as States, counties, cities, townships, and incorporated towns and villages, boroughs, authorities, districts and Indian tribes on Federal and State reservations which will serve rural areas. Applicants eligible for TD grants are statewide, private, nonprofit, public television systems whose coverage is predominantly rural. Rural area for this program is defined as a city, town, or unincorporated area that has a population of 50,000 inhabitants or less, other than an urbanized area immediately adjacent to a city, town, or unincorporated area that has a population in excess of 50,000 inhabitants. Priority for such grants will be given to areas having a population of not more than 25,000. Priority for such grants will be given to areas having a population of not more than 25,000.

### INFORMATION CONTACTS:

**REGIONAL or Local Office:** Consult your local telephone

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directory for RD District Office number.

**Headquarters Office:** Director, Specialty Lenders Division, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250-3222. Telephone: (202) 720-1400.

**EXAMPLES OF FUNDED PROJECTS:** Grant funds were used to assist rural communities in developing small industrial parks or sites by acquiring land, the purchase of necessary equipment, construction of buildings, to provide water and waste disposal facilities, and to pay for costs of streets, parking areas and access roads. Also, grant funds were utilized to establish/capitalize revolving loan funds to assist in the development of small and emerging private business enterprises in rural areas, and provided technical assistance (i.e., a problem solving function) to qualifying small businesses in rural areas.

### 10.770 WATER AND WASTE DISPOSAL LOANS AND GRANTS (SECTION 306C)

**FEDERAL AGENCY:** RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** Provide water and waste disposal facilities and services to low income rural communities whose residents face significant health risks.

**TYPES OF ASSISTANCE:** Project Grants; Direct Loans.

**USES AND USE RESTRICTIONS:** Funds may be used for 100 percent of costs to: Construct, enlarge, extend, or otherwise improve a community water or waste system; extend service lines and connect individual residences to a system. Allow applicant to make grants directly to individuals to: Extend service lines, connect resident's plumbing to system, pay reasonable charges and fees for connecting to system, installation of plumbing and related fixtures, and construction indwelling of a bathroom.

**Applicant Eligibility:** Local level governments, federally recognized Indian tribes, U.S. Territories and possessions, and nonprofit associations can receive assistance under this program. Except for rural areas known as "Colonia" along the U.S./Mexico border, the projects funded under this program must primarily provide water and/or waste disposal services to residents of a county where the per capita income of the residents is not more than 70 percent of the most recent national average per capita income, as determined by the U.S. Department of Commerce, and unemployment rate of the residents is not less than 125 percent of the most recent national average unemployment rate, as determined by the Bureau of Labor Statistics. Also the residents must face significant health risks due to not having access to an affordable community water and/or waste disposal system.

**INFORMATION CONTACTS:**

**REGIONAL or Local Office:** Consult local telephone directory under United States Government, Department of Agriculture, for RD office number.

**Headquarters Office:** Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, Washington, DC 20250-3200. Telephone: (202) 690-2670.

**EXAMPLES OF FUNDED PROJECTS:** None.

### 10.771 RURAL COOPERATIVE DEVELOPMENT GRANTS (RTDG)

**FEDERAL AGENCY:** RURAL BUSINESS-

**COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To establish and operate centers for rural cooperative development to improve economic conditions in rural areas by promoting the development of new cooperatives and/or improvement of existing cooperatives.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds may be used, among other things, for applied research, and basic feasibility studies, providing technical assistance or advisory services; to individuals, small businesses, cooperatives, or rural industries in efforts to develop new cooperatives or improve existing cooperatives.

**Applicant Eligibility:** Applicants eligible are nonprofit corporations and institutions of higher education. Rural area for this program is defined as all territories of a State, that is not within the outer boundary of any city having a population of 50,000 or more according to the latest decennial census of the United States.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the appropriate RD State Office listed in your local telephone directory.

**Headquarters Office:** Assistant Deputy Administrator, Cooperative Services, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-8460.

**EXAMPLES OF FUNDED PROJECTS:** Grant funds were used to provide technical assistance, applied research, technical training, and cooperative education activities for rural cooperatives.

### 10.772 EMPOWERMENT ZONES PROGRAM (Empowerment Zones and Enterprise Communities)

**FEDERAL AGENCY:** OFFICE OF COMMUNITY DEVELOPMENT, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** The purpose of this program is to provide for the establishment of empowerment zones and enterprise communities in rural areas to stimulate the creation of new jobs, particularly for the disadvantaged and long-term unemployed, and to promote revitalization of economically distressed areas.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The Empowerment Zone and Enterprise Community (EZ/EC) program is the first step in rebuilding communities in America's poverty-stricken inner cities and rural heartlands. It is designed to empower people and communities all across this Nation by inspiring Americans to work together to create jobs and opportunity. Under this program the Federal government has designated 104 areas that meet certain poverty and distress criteria and which have prepared creative strategic plans for revitalization, as Ezs and Ecs (Round I). The Secretaries of Housing and Urban Development and Agriculture will designate up to fifteen additional urban empowerment zones and five additional rural empowerment zones by January 1, 1999 (Round II). All designations will be made in consultation with the Community Enterprise Board, which the President established on September 9, 1993. Designation as an Enterprise Community: Enterprise communities are eligible for private activity tax exempt bonding authority for qualifying enterprises and facilities. These issues are subject to State private activity bond caps and special limits

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on issue size. Enterprise communities are eligible for employer wage credits, with a phase out beginning in year 2002. Enterprise communities are eligible for accelerated section 179 expensing for eligible property. Certain environmental remediation expenditures for cleaning up sites in enterprise communities may be deducted in the year incurred by taxpayers, where those expenses would ordinarily be capitalized and depreciated over time. Enterprise communities are also eligible for qualified academy zone taxable bonding authority, where the holder receives a tax credit, which is a function of the face amount of the bond. The benefits described above may or may not be all inclusive. Enterprise communities may be the objects of additional legislated benefits in the future. Enterprise communities receive special consideration in competition for funding under numerous Federal programs, including the National Service and Community Policing initiatives. The Federal government will focus special attention on working cooperatively with designated enterprise communities to overcome regulatory impediments, to permit flexible use of existing Federal Funds, and to assist these communities in meeting essential mandates. Designation as an Empowerment Zone: With one exception, Empowerment zones receive all the benefits provided to enterprise communities and other communities with innovative visions for change. In addition, Round II Empowerment Zones have authority to issue private activity bonds for qualified enterprises and facilities, which are not subject to State private activity bond caps, and enjoy a greater allowance for section 179 expensing. Round II Empowerment Zones will not enjoy the employer wage credits mentioned above. All EC and EZ employers, however, may avail themselves of the Work Opportunity Tax Credit, as such credit may be extended. Historic Grants: Round I Ezs and Ecs received Empowerment Zone/Enterprise Community Social Services Block Grants pursuant to Title XX of the Social Security Act.

**Applicant Eligibility:**(Note: This eligibility is for the "Rural Zone and Community" applicants. See the Department of Housing and Urban Development section for "Urban Zone and Community" applicant eligibility.) Applicants on behalf of nominated beneficiary areas may include, but are not limited to, State governments, local governments, regional planning agencies, non-profit organizations, community-based organizations, or a partnership of community members and other entities. Applications must be nominated by one or more local governments and the State(s) in which a nominated rural area is located. Nominations can be considered for designation only if: (1) the area meets the beneficiary eligibility requirements, (2) the area is within the jurisdiction of the nominating local and State governments, (3) the State and local governments provide assurances that the required strategic plan submitted by the applicant will be implemented, (4) all information furnished by the nominating State and local governments is determined by the Secretary of USDA to be reasonably accurate, (5) the State and local government certify that no portion of a nominated rural area is already in an empowerment zone or enterprise community or an area otherwise nominated for designation (however, an existing EC may apply for Round II EZ designation); and (6) the State and local governments certify that they possess the legal authority to make the nomination.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Consult your local telephone

directory for the USDA Rural Development County or District Office number.

**Headquarters Office:** USDA, Office of Community Development, 3007th Street SW., Reporters Building, Room 701, Washington, DC, 20024, Deputy Administrator. Telephone: (202) 619-7980. **Web Site:** [www.ezec.gov](http://www.ezec.gov).

**EXAMPLES OF FUNDED PROJECTS:** Examples of previous projects are available, upon request, from the Headquarters Office.

### **10.773 RURAL BUSINESS OPPORTUNITY GRANTS (RBOG)**

**FEDERAL AGENCY:** RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To promote sustainable economic development in rural communities with exceptional needs.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds may be used to assist in the economic development of rural areas by providing technical assistance, training, and planning for business and economic development.

**Applicant Eligibility:** Grants may be made to public bodies, nonprofit corporations, Indian tribes on Federal or State reservations or other Federally recognized tribal groups, and cooperatives with members that are primarily rural residents and that conduct activities for the mutual benefit of the members.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the Rural Development State Office listed in your local telephone directory.

**Headquarters Office:** Rural Business-Cooperative Service, USDA, Specialty Lenders Division, STOP 1521, Room 6767, 1400 Independence Ave., SW., Washington, DC 20250-1521. Telephone: (202) 720-1400.

**EXAMPLES OF FUNDED PROJECTS:** None.

### **10.850 RURAL ELECTRIFICATION LOANS AND LOAN GUARANTEES (RUS)**

**FEDERAL AGENCY:** RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To assure that people in eligible rural areas have access to electric services comparable in reliability and quality to the rest of the Nation.

**TYPES OF ASSISTANCE:** Direct Loans.

**USES AND USE RESTRICTIONS:** Long-term, direct loans to qualified organizations for the purpose of supplying central station electric services on a continuing basis in rural areas. RUS also makes loan guarantees primarily for generation and transmission projects.

**Applicant Eligibility:** Rural electric cooperatives, public utility districts, power companies, municipalities, and other qualified power suppliers including those located in the U.S. Territories.

### **INFORMATION CONTACTS:**



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**Regional or Local Office:** None.

**Headquarters Office:** Administrator, Rural Utilities Service, Department of Agriculture, Washington, DC 20250-1500. Telephone: (202)720-9540.

### 10.851 RURAL TELEPHONE LOANS AND LOAN GUARANTEES (RUS)

**FEDERAL AGENCY:** RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To assure that people in eligible rural areas have access to Telecommunications services comparable in reliability and quality to the rest of the Nation.

**TYPES OF ASSISTANCE:** Direct Loans; Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** Long-term direct and guaranteed loans to qualified organizations for the purpose of financing the improvement, expansion, construction, acquisition, and operation of telephone lines, facilities, or systems to furnish and improve Telecommunications service in rural areas. "Rural area" is defined as any area of the United States, its territories and insular possessions (including any areas within the Federated States of Micronesia, the Republic of Palau) not included within the boundaries of any incorporated or unincorporated city, village, or borough having a population exceeding 5,000 inhabitants. The population figure is obtained from the most recent data available, such as from the Bureau of Census and Rand McNally and Company. For purposes of the "rural area" definition, the character of an area is determined at the time the initial loan for the system is made.

**Applicant Eligibility:** Telephone companies or cooperatives, nonprofit associations, limited dividend associations, mutual associations or public bodies including those located in the U.S. TERRITORIES and countries included in the Compact of Free Association Act of 1985, providing or propose to provide the basic local exchange telephone service needs of rural areas, and it must be incorporated.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Assistant Administrator, Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-9554.

### 10.852 RURAL TELEPHONE BANK LOANS (Rural Telephone Bank)

**FEDERAL AGENCY:** RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To provide supplemental financing to extend and improve telecommunications services in rural areas.

**TYPES OF ASSISTANCE:** Direct Loans.

**USES AND USE RESTRICTIONS:** Long-term loans to qualified organizations for the purpose of supplying and improving telecommunications services in rural areas.

**Applicant Eligibility:** Borrowers, including those located in the U.S. Territories, or possessions which have received a loan

or loan commitment under Section 201 of Rural Electrification Act or which have been certified by the Administrator as qualified to receive such a loan, are eligible to borrow from the Rural Telephone Bank.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Assistant Governor, Rural Telephone Bank, Department of Agriculture, Washington, DC 20250. Telephone: (202)720-9554.

### 10.854 RURAL ECONOMIC DEVELOPMENT LOANS AND GRANTS

**FEDERAL AGENCY:** RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To promote rural economic development and job creation projects, including funding for project feasibility studies, start-up costs, incubator projects, and other reasonable expenses for the purpose of fostering rural development.

**TYPES OF ASSISTANCE:** Direct Loans; Project Grants.

**USES AND USE RESTRICTIONS:** Projects which promote rural economic development and job creation. For fiscal year 1998, maximum amount of grant is \$330,000; maximum amount of loan is \$750,000. Maximum term of loan is ten years at zero interest rate.

**Applicant Eligibility:** Electric and telephone utilities that have current loans with the rural Utilities Service (RUS) or Rural Telephone Bank loans or guarantees outstanding and are not delinquent on any Federal debt or in bankruptcy proceedings.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Consult your local telephone directory for RD District Office number.

**Headquarters Office:** Director, Specialty Lenders Division, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1400.

**EXAMPLES OF FUNDED PROJECTS:** Establishment or expansion of factories or businesses, medical facilities, water and sewer industrial development parks, business incubators for rural economic development activities and other job creation projects. Grants were used to establish revolving loan funds.

### 10.855 DISTANCE LEARNING AND TELEMEDICINE LOANS AND GRANTS

**FEDERAL AGENCY:** RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

**OBJECTIVES:** To encourage and improve the use of telemedicine, telecommunications, computer networks, and related advanced technologies to provide educational and medical benefits through distance learning and telemedicine projects to people living in rural areas and to improve rural opportunities.

**TYPES OF ASSISTANCE:** Project Grants; Direct Loans.

**USES AND USE RESTRICTIONS:** Telecommunications,

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computer networks and related advanced technologies that provide educational and/or medical benefits to students, teachers, medical professionals and rural residents. Grants are limited up to 70 percent of the eligible costs of a project. Cost of money loans may be provided up to 90 percent of the eligible costs. No funding will be provided for the following: Telecommunication transmission facilities if the local telephone company will provide service through the use of expedited RUS loans; or other means for medical equipment, except that required for encoding and decoding data such as images for transmission over a telecommunications or computer network; for salaries, wages, or employee benefits of medical or educational personnel providing medical or educational services; for salaries or administrative expenses of the applicant for the project; to purchase equipment to be owned by the local exchange carrier or other telecommunications service provider, to provide duplicate current services or to refinance indebtedness prior to receipt of the completed application; or for projects in areas covered by the Coastal Barrier Resources Act, or projects that would adversely affect the environment; the costs of preparing the application; use to solely link personnel in the same facility; purchased land, buildings or building construction; when success of the project depends on other financing; any purpose not specifically approved by the Administrator.

**Applicant Eligibility:** Organizations such as schools, libraries, hospitals, medical centers, or other eligible organizations that will be users of a telecommunications, computer network, or related advanced technology system to provide educational and/or medical benefits to rural residents. The applicant must not be delinquent on any Federal debt.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Assistant Administrator, Telecommunications, Rural Utilities Service, Room 4056, South Building, U.S. Department of Agriculture, 1400 Independence Avenue, SW., Washington, DC 20250-1500. Telephone: (202) 720-9554.

**EXAMPLES OF FUNDED PROJECTS:** Interactive rural education networks, remote health care units linkups, computer terminals video and audio equipment, and other medical link or distance learning projects.

### **10.859 ASSISTANCE TO HIGH ENERGY COST RURAL COMMUNITIES**

**FEDERAL AGENCY: RURAL UTILITIES SERVICE,  
(RUS) DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** Assistance to rural communities with extremely high energy costs.

**TYPES OF ASSISTANCE:** Project Grants; Direct Loans.

**USES AND USE RESTRICTIONS:** Funds must be used to acquire, construct, extend, upgrade, and otherwise improve energy generation, transmission, or distribution facilities serving communities in which the average residential expenditure for home energy is at least 275 percent of the national average residential expenditure for home energy. Not more than 4 percent of amounts made available in grant funds

may be used for planning and administrative expenses.

**Applicant Eligibility:** Persons, States, subdivisions of States, and other entities organized under the laws of States are eligible, including, but not limited to, corporations, partnerships, associations, cooperatives, public bodies, and tribal entities.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Administrator, Rural Utilities Service, Department of Agriculture, Washington, D.C. 20250-1500. Telephone: (202) 720-9540.

### **10.901 RESOURCE CONSERVATION AND DEVELOPMENT**

**FEDERAL AGENCY: NATURAL RESOURCES  
CONSERVATION SERVICE, DEPARTMENT OF  
AGRICULTURE**

**OBJECTIVES:** To encourage and improve the capability of State and local units of government and local nonprofit organizations in rural areas to plan, develop and carry out programs for resource conservation and development.

**TYPES OF ASSISTANCE:** Advisory Services and Counseling; Project Grants.

**USES AND USE RESTRICTIONS:** Technical and limited financial assistance is available only to RC&D sponsors within areas authorized by the Secretary of Agriculture for assistance. Grants are not available to individuals or businesses. Technical and limited financial assistance is available for the planning and installation of approved projects specified in RC&D area plans, for land conservation, water management, community development and environmental enhancement elements.

**Applicant Eligibility:** State and local governments and nonprofit organizations with authority to plan or carry out activities relating to resource use and development in multi jurisdictional areas. This program is also available in Puerto Rico, the Virgin Islands, Guam and Mariana Islands.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** State and field offices of the Natural Resources Conservation Service are listed in your local telephone directory. For loans, contacts should be made with the Rural Economic and Community Development office serving the county in which the RC&D project is located.

**Headquarters Office:** Deputy Chief for Programs, RC&D Division, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-2241.

**EXAMPLES OF FUNDED PROJECTS:** The following examples reflect the concepts that make RC&D a truly unique government program. These concepts include: The value of public/private partnerships in making the best use of limited resources; the value of grass-roots involvement in making decisions about local issues; bringing USDA agencies together to focus on the same problems and opportunities; the ability to leverage limited federal dollars with private funds to accomplish goals; and achieving a balance between rural

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economic development and natural resource protection. The Potomac Headwaters RC&D Council in West Virginia helped two soil conservation districts, a county, and several fire departments plan and implement a project to improve safety and reduce fire insurance rates. These partners have invested just over \$40,000 into the installation of 76 dry fire hydrants. This has resulted in over 5,000 home owners annually saving more than \$875,000 on insurance premiums. The increased safety due to quicker response time will be measured in reduced property losses. The Trailblazer RC&D has helped over 60 percent of Louisiana reach what is commonly called the "superhighway" or Internet. They negotiated local access rates that are affordable to all people in the area. They also provided eight free workshops on how to use the Internet. Approximately 500 people have participated. Complimentary subscriptions have been offered to the other RC&Ds in the State. Trailblazer has also placed a home page on the Internet with connections with several home pages of foundations, agencies, databases, and other RC&Ds. The Cherokee Hills RC&D in Oklahoma organized a business development program that includes services of a business planner that assists the person with development of a business plan and other needed records. This helps them when they apply to the loan pool that has been established. The loan pool of \$236,000 was established by a partnership of the Cherokee Hills RC&D, Rural Development, Banc First of Oklahoma, Forest Service, and Natural Resources Conservation Service. One hundred, twenty applications for assistance have resulted in 18 loans (16 to Native Americans) from the loan pool and two from conventional sources. This has created 12 new and six expanded businesses resulting in 31 new jobs. Almost 1,000 hours of time have been invested by volunteers such as council members, banking institutions, and the Loan Review Committee to develop the pool and implement the project. The Two Rivers RC&D in Illinois is helping landowners develop alternate sources of income with a pilot project entitled: "Access Illinois Outdoors." They partnered with the Rural Development and the Illinois Department of Natural Resources to provide three field coordinators and an office administrator who match the landowner profiles to the land user profiles. The landowner is provided three to four land user profiles to select from to arrange for goods and services. This has resulted in 140 landowners with 42,000 acres taking part in the program. Profiles of 450 land users have led to 140 of them reaching agreement with 50 landowners. This has resulted in landowners forming 50 alternate businesses that generate over \$250,000 of income per year. The Cocopai RC&D in Arizona has helped the Kaibab-Paiute Reservation improve the quality of the natural resources on the reservation. The council helped develop agreements that made it possible for the reservation and the Arizona Department of Environmental Quality to utilize \$141,000 of 319(h) Environmental Protection Agency (EPA) program funds from Arizona's allocation for a demonstration. The project included planting 47,000 trees that control stream bank erosion and will provide resources for weaving baskets. A complete range management plan was developed for 30,000 acres. The needed improvements have created six jobs that will last three years on

this project and then move to other ranches as the increased income is shown. The demonstration will keep over 500 tons of sediment from damaging property on the reservation each year. The Tennessee Valley RC&D identified the problem of unsuitable soils for septic drain fields causing limitations for many businesses and residential homes. The council working with the Tri-County Health Department, NRCS, Tennessee Valley Authority (TVA), and the Alabama A&M developed a demonstration of wetlands to treat effluent from septic tanks to assist businesses comply with health needs. Three companies were selected for the demonstration; a dairy queen restaurant, a sign company, and a tree stand manufacturer. The State Department of Health developed standards, the NRCS Plant Materials Center provided wetland plants, the Tri-County Health Department provided technical assistance, TVA and Alabama A&M monitored the project, and the businesses paid the cost with some assistance from the NRCS. The demonstration resulted in the acceptance of wetlands for treating septic tank effluent, bringing three businesses into compliance with Health Department regulations, and saving the jobs associated with the businesses. Twenty part-time jobs were saved at the dairy queen while six jobs were saved at the tree stand company. The demonstration resulted in the sign company not only retaining twenty-five jobs, but the business actually expanded and added 17 new jobs. The wetland was approved for treating effluent in the area. An additional six businesses and forty residences are now installing systems. Job creation, a healthy environment, and the rural setting are providing for a quality life for the Tennessee Valley RC&D people. The Big Country RC&D in Texas initiated a demonstration project to provide an alternate to placing paper in sanitary landfills, to address nonpoint source pollution, wind erosion, and to improve productivity of cropland soils. News-paper was pelleted and applied to cropland at the rate of five tons per acre to achieve a 30 percent ground cover on the five acre plots. One site was on sandy loam soil designated as a Highly Erodible Soil due to wind erosion and the second site was on a clay loam soil subject to water erosion. EPA, NRCS, National Soil Dynamics Laboratory of Auburn, Alabama, Mitchell County Economic Development Board, and the Scurry County Board of County Development cooperated with the RC&D on the project. The analysis of the project shows that soil loss from sediment was reduced 41 percent surface runoff reduced 20 percent and wind erosion reduced 50 percent. At the same time, cotton yield increased 32 percent. Samples of cotton collected at mid-bloom on cotton showed no accumulations of heavy metals. The Golden Sands RC&D has conducted trials in the vegetable growing area of Central Wisconsin demonstrating ways to control wind erosion. When the trials were complete they held the "Wind Erosion Control at a Crossroads Conference" to discuss what should be done now. One result was the formation of the Central Wisconsin Windshed Board which has representatives from the Wisconsin Potato and Vegetable Growers Association, County Conservation Commission, and RC&D. They established a program to help fund the planting and maintenance of field windbreaks. The fund is provided by the Wisconsin Potato and Vegetable

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Growers Association, the State of Wisconsin, and the involved counties. The first year goal is 95 percent survival of 22 miles of windbreaks. The windbreak will be expanded to 40 miles in 1997. The Black River-St. Lawrence RC&D, in partnership with regional development groups, studied the demand for wood products in the furniture industry in Canada. They also studied the limitations of the Northern New York forest industries. Cooperating with NRCS, the New York State Departments of Environmental Conservation and Economic Development, Key Bank, and other forest industry development groups, a series of workshops was conducted. Over 300 people representing 198 forest industries attended the workshops. This resulted in an increase of 200 portable bandsaw mills and kilns. One firm indicated that their profits have increased over 30 percent. Another firm has established an export market in Milan, Italy.

### **10.910 RURAL ABANDONED MINE PROGRAM (RAMP)**

#### **FEDERAL AGENCY: NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To protect people and the environment from the adverse effects of past coal mining practices, and to promote the development of soil and water resources of unreclaimed mined lands.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use; Advisory Services and Counseling.

**USES AND USE RESTRICTIONS:** Cost-share funds are available only for conservation practices determined to be needed for the reclamation, conservation, and development of up to 320 acres per owner of rural abandoned coal mine land or lands and waters affected by coal mining activities.

**Applicant Eligibility:** Individuals, groups, or units of government who own or control the surface or water rights of abandoned coal land or lands, and water affected by coal mining practices before August 3, 1977. These areas are not eligible if: (1) There is continuing reclamation responsibility on the part of the mine operator or The State; (2) the lands are in Federal ownerships; and (3) the surface rights are under easement or lease to be resumed.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Natural Resources Conservation Service State offices listed in your local telephone directory.

**Headquarters Office:** Deputy Chief for Programs, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-2847.

**EXAMPLES OF FUNDED PROJECTS:** A 70 acre site near a small community exposed approximately 125 residents to health and safety hazards. Reclamation includes eliminating subsidence holes through filling and grading to eliminate dangerous water pits and illegal trash dumps; surface water control; wildlife plantings; firebreaks; fencing for exclusion of grazing animals; and stabilizing the site by planting pine seedlings. A 40 acre site near a small borough presented a

constant hazard to life and property. Reclamation consists of shaping and stabilization of eroding and unsightly "refuse" piles; disposal of "red dog" boulders; removal of a hazardous tibble; filling open mine shafts; back filling to reduce height of "high walls"; removal of acid debris and soil deposition in streams; establishment of diversions, rock and grass waterways; establishing permanent vegetation on the site; and stabilization of stream banks.

### **10.912 ENVIRONMENTAL QUALITY INCENTIVES PROGRAM (EQIP)**

#### **FEDERAL AGENCY: NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** Technical, educational, and financial assistance to eligible farmers and ranchers to address soil, water, and related natural resource concerns on their lands in an environmentally beneficial and cost-effective manner. This program provides assistance to farmers and ranchers in complying with Federal, State, and tribal environmental laws, and encourages environmental enhancement. The purpose of this program are achieved through the implementation of structural, vegetative, and land management practices on eligible land. This program is funded through the Commodity Credit Corporation (CCC). NRCS provides overall program management and implementation leadership for conservation planning and implementation. The Farm Service Agency provides leadership for administrative processes and procedures for the program.

**TYPES OF ASSISTANCE:** Direct Payments for Specified use.

**USES AND USE RESTRICTIONS:** Technical assistance is provided in conservation planning for eligible participants. Education and financial assistance is provided for implementation of structural, vegetative, and land management practices. Cost-share payments may be made to implement one or more eligible structural or vegetative practices. Incentive payments can be made to implement one or more land management practices. Fifty percent of the funding available for technical, cost-share payments, incentive payments, and education shall be targeted at practices relating to livestock production.

**Applicant Eligibility:** Individual/family farmers and ranchers who face serious threats to soil, water, and related natural resources, or who need assistance with complying with Federal and State environment laws. A participant may be an owner, landlord, operator, or tenant of eligible agricultural lands. Limited resource producers, small-scale producers, producers of minority groups, Federally recognized Indian tribal governments, Alaska natives, and Pacific Islanders are encouraged to apply.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Use your local telephone directory for NRCS, FSA, contact listings.

**Headquarters Office:** Deputy Chief for Natural Resource conservation Programs, Natural Resources Conservation

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Service, U.S. Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Attn: Deputy Chief, Natural Resources Conservation Programs. Telephone: (202)720-1845. FAX: (202) 720-4265.

**EXAMPLES OF FUNDED PROJECTS:** No projects were funded at the time of publication.

### **10.913 FARMLAND PROTECTION PROGRAM FEDERAL AGENCY: NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE**

**OBJECTIVES:** To purchase conservation easements or other interests on lands to limit conversion to non-agricultural uses of farmland with prime, unique, or other productive soils.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds are provided to establish partnerships with States, Tribes, or local government entities to leverage their purchase of development rights programs. Federal share for any easement acquisition is limited to a maximum of 50 percent of the fair market easement value. A conservation plan is required for each easement acquired. Acquisition of an easement or other interests on land is for a minimum duration of 30 years, preferably, in perpetuity. A contingent remainder right would be incorporated in the easement deed for the protection of the Federal investment. A failure of title would require the cooperating entity to reimburse the United States for the Federal share of the easement value.

**Applicant Eligibility:** Any local or State agency, county or groups of counties, municipality, town or township, soil and water conservation district, or Indian tribe or tribal organization, that has a farmland protection program that purchases conservation easements for the purpose of protecting topsoil by limiting conversion to non-agricultural uses of land, and that has pending offers may apply for funds. This program is available in all 50 States, Puerto Rico, the Virgin Islands, Guam, American Samoas, the Mariana Islands, and the Trust Territories of the Pacific Islands. Individuals must apply through their local agency that handles purchase of development rights programs.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** NRCS State Office telephone numbers and addresses, see your local telephone directory.

**Headquarters Office:** Community Assistance and Rural Development Division, Natural Resources Conservation Service, U. S. Department of Agriculture, P. O. Box 2890, Washington, D. C. 20013. Telephone: (202)720-2847, fax: (202) 690-0639.

**EXAMPLES OF FUNDED PROJECTS:** 1) California Department of Conservation's Agricultural Land Stewardship Program; 2) Florida St. Johns River Water Management District's Georgia-Pacific Project; 3) Massachusetts Department of Food and Agriculture's Agricultural Preservation Restriction Program; 4) Rhode Island Department of Environmental Management's Farmland Purchase of Development Rights

Program; and 5) Vermont Housing and Conservation Board's Farmland Conservation Easement Program. The Farmland Protection Program provides an opportunity to expand the Federal partnerships with States, Tribes, and local government entities to preserve farmland for future generations; to protect strategic farmland from urbanization; maintain, restore, and enhance ecosystem; protect historic landscapes, scenic beauty, and open space; and sustain rural economic stability and development.

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### APPALACHIAN REGIONAL COMMISSION

#### **23.001 APPALACHIAN REGIONAL DEVELOPMENT(SEE INDIVIDUAL APPALACHIAN PROGRAMS) (Appalachian Program)**

##### **FEDERAL AGENCY: APPALACHIAN REGIONAL COMMISSION**

**OBJECTIVES:** To create opportunities for self-sustaining economic development and an improved quality of life for the people of Appalachia through joint Federal-State-local efforts; to stimulate investments in public services and facilities that will attract private sector investments and result in accelerated social and economic development; to help establish a set of institutions in Appalachia capable of permanently directing the long-term development of the Region; and on a joint Federal-State-local basis, to develop comprehensive plans and programs to help accomplish the overall objectives of Appalachian development.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Appalachian funds enable the States and local areas to develop networks of facilities and services. The individual programs following this description illustrate the types of investments that can be made. In considering programs and projects to be given assistance under this Act, and in establishing a priority ranking of the requests for assistance presented to the Commission, the Commission follows procedures insuring consideration of the following factors: (1) the relationship of the project or class of projects to overall regional development, including its location in an area determined by the State as having a significant potential for growth;(2) the population and area to be served by the project or class of projects including the relative per capita income and the unemployment rates in the area; (3) the relative financial resources available to the State or political subdivision or instrumentalities thereof which seek to undertake the project; (4) the importance of the project or class of projects in relation to other projects or classes of projects which maybe in competition for the same funds; (5) the prospects that the project for which assistance is sought will improve, on a continuing rather than a temporary basis, the opportunities for employment, the average level of income, or the economic and social development of the area served by the project; and (6) the degree and manner of private sector involvement. No financial assistance can be used: (a) to assist establishments relocating from one area to another; (b) to finance the cost of facilities for the generation, transmission, or distribution of electric energy; (c) to finance the cost of facilities for the production, transmission, distribution of gas (natural, manufactured, or mixed); or (d) to finance non-capital projects with no prior year obligations. Each State is required by the Commission to file a State Appalachian development plan, appraising prospects for development in its Appalachian area and relating to them all projects for which Appalachian

funding is requested in that year. All projects proposed for funding must be included in an annual Strategy Statement and Investment Program. Once an application is submitted for the individual projects and given final approval, the grant is generally administered by the basic Federal agency involved in that type of program. Certain grants are administered directly by the Appalachian Regional Commission. The counties (including any political subdivision located within such area)in which investment under the Appalachian Act (40 App. U.S.C.A. 403) can be made are: in Alabama, the counties of Bibb, Blount, Calhoun, Chambers, Cherokee, Chilton, Clay, Cleburne, Colbert, Coosa, Cullman, DeKalb, Elmore, Etowah, Fayette, Franklin, Jackson, Jefferson, Lamar, Lauderdale, Lawrence, Limestone, Madison, Marion, Marshall, Morgan, Pickens, Randolph, Saint Clair, Shelby, Talladega, Tallapoosa, Tuscaloosa, Walker, and Winston; in Georgia, the counties of Banks, Barrow, Bartow, Carroll, Catoosa, Chattooga, Cherokee, Dade, Dawson, Douglas, Fannin, Floyd, Forsyth, Franklin, Gilmer, Gordon, Gwinnet, Habersham, Hall, Haralson, Heard, Jackson, Lumpkin, Madison, Murray, Paulding, Pickens, Polk, Rabun, Stephens, Towns, Union, Walker, White, and Whitfield; in Kentucky, the counties of Adair, Bath, Bell, Boyd, Breathitt, Carter, Casey, Clark, Clay, Clinton, Cumberland, Elliott, Estill, Fleming, Floyd, Garrard, Green, Greenup, Harlan, Jackson, Johnson, Knott, Knox, Laurel, Lawrence, Lee, Leslie, Letcher, Lewis, Lincoln, McCreary, Madison, Magoffin, Martin, Menifee, Monroe, Montgomery, Morgan, Owsley, Perry, Pike, Powell, Pulaski, Rockcastle, Rowan, Russell, Wayne, Whitley, and Wolfe; in Maryland, the counties of Allegany, Garrett, and Washington; in Mississippi, the counties of Alcorn, Benton, Calhoun, Chickasaw, Choctaw, Clay, Itawamba, Kemper, Lee, Lowndes, Marshall, Monroe, Noxubee, Oktibbeha, Pontotoc, Prentiss, Tippah, Tishomingo, Union, Webster, and Winston; in New York, the counties of Allegany, Broome, Cattaraugus, Chautauqa, Chemung, Chenango, Cortland, Delaware, Otsego, Schoharie, Schuyler, Steuben, Tioga, and Tompkins; in North Carolina, the counties of Alexander, Alleghany, Ashe, Avery, Buncombe, Burke, Caldwell, Cherokee, Clay, Davie, Forsyth, Graham, Haywood, Henderson, Jackson, McDowell, Macon, Madison, Mitchell, Polk, Rutherford, Stokes, Surry, Swain, Transylvania, Watauga, Wilkes, Yadkin, and Yancey; in Ohio, the counties of Adams, Athens, Belmont, Brown, Carroll, Clermont, Columbiana, Coshocton, Gallia, Guernsey, Harrison, Highland, Hocking, Holmes, Jackson, Jefferson, Lawrence, Meigs, Monroe, Morgan, Muskingum, Noble, Perry, Ross, Scioto, Tuscarawas, Vinton, and Washington; in Pennsylvania, the counties of Allegheny, Armstrong, Beaver, Bedford, Blair, Bradford, Butler, Cambria, Cameron, Carbon, Centre, Clarion, Clearfield, Clinton, Columbia, Crawford, Elk, Erie, Fayette, Forest, Fulton, Greene, Huntingdon, Indiana, Jefferson, Juniata, Lackawanna, Lawrence, Luzerne, Lycoming, McKean, Mercer, Mifflin, Monroe, Montour, Northumberland, Perry, Pike, Potter, Schuylkill, Snyder,

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Somerset, Sullivan, Susquehanna, Tioga, Union, Venango, Warren, Washington, Wayne, Westmoreland, and Wyoming; in South Carolina, the counties of Anderson, Cherokee, Greenville, Oconee, Pickens, and Spartanburg; in Tennessee, the counties of Anderson, Bledsoe, Blount, Bradley, Campbell, Cannon, Carter, Claiborne, Clay, Cocke, Coffee, Cumberland, De Kalb, Fentress, Franklin, Grainger, Greene, Grundy, Hamblen, Hamilton, Hancock, Hawkins, Jackson, Jefferson, Johnson, Knox, Loudon, McMinn, Macon, Marion, Meigs, Monroe, Morgan, Overton, Pickett, Polk, Putman, Rhea, Roane, Scott, Sequatchie, Sevier, Smith, Sullivan, Unicoi, Union, Van Buren, Warren, Washington, and White; in Virginia, the counties of Alleghany, Bath, Bland, Botetourt, Buchanan, Carroll, Craig, Dickenson, Floyd, Giles, Garyson, Highland, Lee, Pulaski, Russell, Scott, Smyth, Tazewell, Washington, Wise, and Wythe; all the counties of West Virginia.

**Applicant Eligibility:** States, and through the States, public bodies and private nonprofit organizations. All proposed projects must meet the requirements of the State Appalachian plan and the annual State strategy statement and investment program, all of which must be approved annually by the Commission.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See your local telephone directory.

**Headquarters Office:** Inquiries and proposals for projects should be submitted to the Appalachian State office designated by the Governor. Address other inquiries to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Avenue, NW., Washington, DC 20235. Telephone: (202) 884-7700.

**EXAMPLES OF FUNDED PROJECTS:** See USES AND USE RESTRICTIONS.

### **23.002 APPALACHIAN SUPPLEMENTS TO FEDERAL GRANT-IN-AID (AREA DEVELOPMENT) (Supplemental Grants)**

**FEDERAL AGENCY: APPALACHIAN REGIONAL  
COMMISSION**

**OBJECTIVES:** To help the regional economy become more competitive by putting in place the building blocks for self-sustaining economic development, while continuing to provide special assistance to the Region's most distressed and underdeveloped counties. This program focuses on activities which support ARC's mission to create opportunities for self-sustaining economic development and improved quality of life for the people of Appalachia. Activities funded must advance ARC's strategic plan. Specific goals for this program are: (1) Appalachian residents will have the skills and knowledge necessary to compete in the world economy in the 21st century; (2) Appalachian communities will have the physical infrastructure necessary for self-sustaining economic development and improved quality of life; (3) The people of Appalachia will have the vision and capacity to mobilize and work together for sustained economic progress and

improvement of their communities; (4) Appalachian residents will have access to financial and technical resources to help build dynamic and self-sustaining local economies; and (5) Appalachian residents will have access to affordable, quality health care. Specific objectives were developed for each goal. Grants may supplement other Federal grants or, when sufficient Federal funds are unavailable funds may be provided entirely by this program.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The grants may be used for providing supplemental funds under any Federal grant-in-aid programs authorized on or before December 31, 1980. To be eligible for special basic grants, projects must be of high priority in the State's Appalachian development plan and be either of critical importance to a phased investment and development program for a multi-county area, or of unusual economic benefit to such area. Refer to "General Provisions for Area Development Program" of the Appalachian Regional Commission Code for additional use restrictions.

**Applicant Eligibility:** States, their subdivisions and instrumentalities and private nonprofit agencies.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Refer to your local telephone directory.

**Headquarters Office:** Inquiries and proposals for projects should be submitted first to the Appalachian State office designated by the Governor. Other inquiries may be addressed to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Avenue, NW., Washington, DC 20235. Telephone: (202) 884-7700.

**EXAMPLES OF FUNDED PROJECTS:** See USES AND USE RESTRICTIONS.

### **23.003 APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM (Appalachian Corridors) FEDERAL AGENCY: APPALACHIAN REGIONAL COMMISSION**

**OBJECTIVES:** To provide a highway system which, in conjunction with other federally-aided highways, will open up areas with development potential within the Appalachian region where commerce and communication have been inhibited by lack of adequate access.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The grants may be used for preliminary engineering, right-of-way, and construction of highways meeting the objectives stated above. Total highway construction may not exceed 3,025 miles for the 13-State system. The highways must be accepted and maintained as a Federal-aid highway. Refer to general use restrictions stated in the Appalachian Regional Development program (23.001).

**Applicant Eligibility:** State governments only are eligible for development highways within their Appalachian portions.

### **INFORMATION CONTACTS:**

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**Regional or Local Office:** Refer to your local telephone directory.

**Headquarters Office:** Inquiries and proposals for projects should be submitted first to the Appalachian State office designated by the Governor. Other inquiries may be addressed to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Ave., NW., Washington, DC 20235. Telephone: (202) 884-7700.

**EXAMPLES OF FUNDED PROJECTS:** See USES AND USE RESTRICTIONS.

### 23.008 APPALACHIAN LOCAL ACCESS ROADS

**FEDERAL AGENCY:** APPALACHIAN REGIONAL COMMISSION

**OBJECTIVES:** To provide access to industrial, commercial, educational, recreational, residential, and related transportation facilities which directly or indirectly relate to the improvement of the areas determined by the States to have significant development potential, and to meet the objectives stated under the program entitled Appalachian Regional Development (23.001).

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The grant may be used for engineering, right-of-way, relocation, and construction of projects relating to the objectives stated above, and those identified in the State Appalachian Development Plan as submitted to, and accepted by the Commission. Total access road construction may not exceed 1,400 miles and the roads must be accepted by the Federal Highway Administration and the State. The State is responsible to see that they are properly maintained. Refer to the Appalachian Regional Development Program (23.001).

**Applicant Eligibility:** States, and through the States, public bodies and private groups within Appalachia.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Refer to your local telephone directory.

**Headquarters Office:** Inquiries and proposals for projects should be submitted first to the Appalachian State office designated by the State Member. Other inquiries may be addressed to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Avenue, NW., Washington, DC 20235. Telephone: (202) 884-7700.

**EXAMPLES OF FUNDED PROJECTS:** See USES AND USE RESTRICTIONS.

### 23.009 APPALACHIAN LOCAL DEVELOPMENT DISTRICT ASSISTANCE (LDD)

**FEDERAL AGENCY:** APPALACHIAN REGIONAL COMMISSION

**OBJECTIVES:** To provide planning and development resources in multi-county areas; to help develop the technical competence essential to sound development assistance; and

to meet the objectives stated under the program entitled Appalachian Regional Development (23.001).

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** For administrative expenses, including technical services of certified local development districts; in addition to the uses and use restrictions detailed in the Appalachian Development Program (23.001), the local development district may use grant funds for the purchase of real estate and vehicles; and for space improvement and construction only with the express approval of ARC. Funds may be used only in the performance of grantees program and in accord with the budget, and amendments thereto, as approved by the Commission.

**Applicant Eligibility:** Multi-county organizations certified by the State.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Refer to your local telephone directory.

**Headquarters Office:** Inquiries and proposals for projects should be submitted first to the Appalachian State office designated by the Governor. Other inquiries may be addressed to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Avenue, NW., Washington, DC 20235. Telephone: (202)884-7700.

**EXAMPLES OF FUNDED PROJECTS:** See USES AND USE RESTRICTIONS.

### 23.011 APPALACHIAN STATE RESEARCH, TECHNICAL ASSISTANCE, AND DEMONSTRATION PROJECTS (State Research)

**FEDERAL AGENCY:** APPALACHIAN REGIONAL COMMISSION

**OBJECTIVES:** To expand the knowledge of the region to the fullest extent possible by means of State-sponsored research (including investigations, studies, technical assistance and demonstration projects) in order to assist the Commission in accomplishing the objectives of the Act, and implementation of the ARC strategic plan.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** To research or demonstrate the feasibility of plans and programs for concerted economic and social development. Priority will be given to technical assistance related to job creation projects. States can carry out investigations, research, studies, evaluations, and assessments of needs, potentials, or attainments of the people of the region, technical assistance, training programs, demonstrations and the construction of necessary facilities incident to such activities. All developments resulting from such research, and demonstration projects must be made freely available to the general public. Existing research by other agencies is to be utilized as much as possible. State research projects are also subject to the uses and use restrictions described under the Appalachian Regional Development program (23.001).



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**Applicant Eligibility:** Appalachian States, alone or in combination with other Appalachian States, local public bodies and State instrumentalities.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Refer to your local telephone directory.

**Headquarters Office:** Inquiries and proposals for projects should be submitted first to the Appalachian State office designated by the Governor. Other inquiries may be addressed to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Avenue, NW., Washington, DC 20235. Telephone: (202)884-7700.

**EXAMPLES OF FUNDED PROJECTS:** See USES AND USE RESTRICTIONS.

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### DEPARTMENT OF COMMERCE

#### **11.300 GRANTS FOR PUBLIC WORKS AND ECONOMIC DEVELOPMENT FACILITIES**

**FEDERAL AGENCY:** ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

**OBJECTIVES:** To promote long-term economic development and assist in the construction of public works infrastructure and economic development facilities needed to support the creation or retention of high-skill, high wage private-sector jobs in areas experiencing substantial economic distress.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Investments in facilities such as water and sewer system improvements, industrial access roads, industrial and business parks, port facilities, railroad sidings, distance learning facilities, skill-training facilities, business incubator facilities, redevelopment of brownfields, eco-industrial facilities, and telecommunications infrastructure improvements needed for business retention and expansion. Eligible activities include the acquisition, rehabilitation, design and engineering, or improvement of public land or publically-owned and operated development facilities, including machinery and equipment. Projects may also include infrastructure for broadband deployment and other types of telecommunications-enabling projects and other kinds of technology infrastructure. Eligible projects must fulfill a pressing need of the area and must: 1) improve the opportunities for the successful establishment or expansion of industrial or commercial plants or facilities; 2) assist in the creation of additional long-term employment opportunities; or 3) benefit the unemployed/underemployed residents of the area or members of low-income families. In addition, all proposed investments must be consistent with the currently approved Comprehensive Economic Development Strategy for the area in which the project will be located, and the applicant must have the required local share of funds committed and available. Also, the project must be capable of being started and completed in a timely manner.

**Applicant Eligibility:** States, cities, counties, an institution of higher education or a consortium of institutions of higher education, and other political subdivisions, Indian tribes, the Federated States of Micronesia, the Republic of the Marshall Islands, Commonwealths and territories of the U.S. flag, Economic Development Districts, and private or public nonprofit organizations or associations acting in cooperation with officials of a Political Subdivision of a State or Indian Tribe. Individuals, companies, corporations, and associations organized for profit are not eligible.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Refer to your local telephone directory for EDA Regional Offices.

**Headquarters Office:** Director, Public Works Division, Economic Development Administration, Room H7326, Herbert C. Hoover Building, Department of Commerce, Washington, DC 20230. Telephone: (202) 482-5265.

#### **EXAMPLES OF FUNDED PROJECTS:**

1) Infrastructure for industrial park development; 2) port development and expansion; 3) infrastructure necessary for economic development (e.g. water/sewer facilities); 4) renovation and recycling of old industrial buildings; 5) construction of vocational-technical facilities and skill centers; 6) construction of incubator facilities; 7) redevelopment of brownfields and 8) Eco- industrial development.

#### **11.302 ECONOMIC DEVELOPMENT– SUPPORT FOR PLANNING ORGANIZATIONS (ECONOMIC DEVELOPMENT DISTRICT, REDEVELOPMENT AREAS AND INDIAN PLANNING**

**FEDERAL AGENCY:** ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

**OBJECTIVES:** To assist in providing administrative aid to multi- county Economic Development Districts, Redevelopment Areas and Indian Tribes to establish and maintain economic development planning and implementation capability and thereby promote effective utilization of resources in the creation of full-time permanent jobs for the unemployed and the underemployed in areas of high distress.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grants are used for staff salaries and other planning and administrative expenses of the economic development organization.

**Applicant Eligibility:** (1) Public bodies and other nonprofit organizations representing groups of state-delineated and EDA-approved adjoining counties, which include at least one area designated as a Redevelopment Area by the Secretary of Commerce and one or more growth centers not over 250,000 population; (2) Indian Tribes; and (3) counties designated as Redevelopment Areas or nonprofit organizations representing redevelopment areas or parts of such areas.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Refer to your local telephone directory for EDA Regional Office addresses.

**Headquarters Office:** Director, Planning and Development Assistance Division, Economic Development Administration, Room H7317, Herbert C. Hoover Building, Washington, DC 20230. Telephone: (202) 482-3027. Fax: (202) 482-0466.

**EXAMPLES OF FUNDED PROJECTS:** Support to hire professional staff or the following types of areas; a 10-county rural planning organization; a 6-county rural/urban organization; a 5-county rural organization that includes an Indian reservation; and a 30,000-acre Indian reservation.

#### **11.303 ECONOMIC DEVELOPMENT TECHNICAL ASSISTANCE (National, University Center and Local Technical Assistance)**

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### **FEDERAL AGENCY: ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE**

**OBJECTIVES:** To promote economic development and alleviate under-employment and unemployment in distressed areas, EDA operates a technical assistance program. The program provides funds to: (1) enlist the resources of designated university centers in promoting economic development; (2) support innovative economic development projects; (3) disseminate information and studies of economic development issues of national significance; and (4) finance feasibility studies and other projects leading to local economic development.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** Technical assistance is used to provide information, data, and know-how in evaluating, shaping and implementing specific projects and programs that promote economic development in economically depressed areas.

**Applicant Eligibility:** Most technical assistance recipients are private or public nonprofit organizations, educational institutions, Federally Recognized Indian Tribal Governments, municipal, county or State governments and U.S. Territories or entities thereof. Individuals and for profit organizations are not eligible applicants under this program.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Refer to your local telephone directory for EDA Regional Office addresses.

**Headquarters Office:** Initial contact should be with Economic Development Representatives except for projects that are national in scope. For those projects the initial contact should be with Headquarters Office, Director, Research and National Technical Assistance Division, Economic Development Administration, Room H7019, Herbert C. Hoover Building, Department of Commerce, Washington, DC 20230. Telephone: (202) 482-4085.

**EXAMPLES OF FUNDED PROJECTS:** University Center projects provide management and technical assistance services to communities, counties, districts, nonprofit development groups, and technology transfer assistance to firms. Many local technical assistance projects help to determine the economic feasibility of various local development projects involving industrial, commercial, and other activities. National technical assistance projects fund reports on innovative economic development and the dissemination of economic development information.

### **11.307 SPECIAL ECONOMIC DEVELOPMENT AND ADJUSTMENT ASSISTANCE PROGRAM SUDDEN AND SEVERE ECONOMIC DISLOCATION AND LONG-TERM ECONOMIC DETERIORATION (Economic Adjustment Program)**

**FEDERAL AGENCY: ECONOMIC DEVELOPMENT**

### **ADMINISTRATION, DEPARTMENT OF COMMERCE**

**OBJECTIVES:** To assist State and local areas develop and/or implement strategies designed to address structural economic adjustment problems resulting from sudden and severe economic dislocation such as plant closings, military base closures and defense contract cutbacks, and natural disasters (SSED), or from long-term economic deterioration in the area's economy (LTED).

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grants may be made to develop an economic adjustment strategy (Adjustment Strategy Grant), or to implement such strategies (Adjustment Implementation Grants). Implementation grants may be made for the construction of public facilities, business development and financing (including revolving loan funds (RLFs)), technical assistance, training or any other activity that addresses the economic adjustment problem.

**Applicant Eligibility:** States, cities, counties or other political subdivisions of a State, consortia of such political subdivisions, public or private nonprofit organizations representing redevelopment areas designated under the Public Works and Economic Development Act of 1965, Economic Development Districts established under Title IV of the Act, Indian tribes. Applicants/projects using EDA defense appropriations are limited to defense-impacted areas. Applicants/projects using EDA supplemental disaster assistance will generally be restricted to disaster-impacted areas.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Refer to your local telephone directory for EDA regional office addresses.

**Headquarters Office:** Director, Economic Adjustment Division, Economic Development Administration, Room H7327, Herbert C. Hoover Building, Department of Commerce, Washington DC 20230. Telephone: (202) 482-2659.

**EXAMPLES OF FUNDED PROJECTS:** Grants received under the Economic Adjustment Program: (1) develop strategy for recovery from plant closure and major permanent job loss; (2) rehabilitate vacant industrial facility for multi-tenant use or as an incubator; (3) finance employee buy-out to prevent plant closings, and (4) establishment of revolving loan funds, and/or recapitalization of revolving loan funds.

### **11.550 PUBLIC TELECOMMUNICATIONS FACILITIES--PLANNING AND CONSTRUCTION (PTFP)**

### **FEDERAL AGENCY: NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION, DEPARTMENT OF COMMERCE**

**OBJECTIVES:** To assist in the planning, acquisition, installation and modernization of public telecommunications facilities, through planning grants and matching construction grants, in order to: a) extend delivery of public telecommunications services to as many citizens of the United

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States and territories as possible by the most efficient and economical means, including the use of broadcast and nonbroadcast technologies; b) increase public telecommunications services and facilities available to, operated by and owned by minorities and women; and c) strengthen the capability of existing public television and radio stations to provide public telecommunications service to the public.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Provides grants for the planning and construction of public telecommunications facilities. Matching grants are given for apparatus necessary for production, dissemination, interconnection, captioning, broadcast, or other distribution of programming and reception of noncommercial educational, cultural radio and television programs, and related noncommercial instructional or informational material. Costs associated with filing for Federal assistance, installation costs, and other pre-operational costs are eligible to be included in requests for matching funds. Buildings and renovations of buildings, land, operational expenses, and indirect costs are ineligible.

**Applicant Eligibility:** A public or noncommercial educational broadcast station; a noncommercial telecommunications entity; a system of public telecommunications entities; a nonprofit foundation, corporation, institution or association organized primarily for educational or cultural purposes; State, local, and Indian Tribal governments (or an agency thereof); or a political or special purpose subdivision of a state. Special consideration is given to applications which would increase minority and women's ownership of, operation of, and participation in public telecommunications entities.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Director, Public Telecommunications Facilities Program, Office of Telecommunications and Information Applications/NTIA, Room 4625, Department of Commerce, 1401 Constitution Avenue, NW., Washington, DC 20230. Telephone: (202) 482- 5802.

**EXAMPLES OF FUNDED PROJECTS:** To activate a new noncommercial FM radio station on 88.5 MHz, in Owyhee, Nevada. The new station will carry the signal of KNBA-FM, Anchorage, Alaska, and programming from the American Indian Radio on Satellite (AIROS) network. These programs will be delivered via satellite. Initially the station will have 4 hours/day of local programming. New station will provide a first noncommercial FM service to about 1,550 Native Americans. To convert public station KCET-TV, Channel 28 in Los Angeles, CA, to digital broadcasting on Channel 59 by purchasing a transmitter, antenna, transmission line, STL, encoder and test equipment. The station serves a population of over 18 million people. To expand the distance learning service provided by National Technological University (NTU), by converting 41 universities with MPEG-2 digital encoding equipment at their satellite uplinks and by placing such equipment at the NTU headquarters in Ft. Collins, CO.

Conversion of the NTU system to MPEG-2 digital equipment will permit NTU to provide distance learning directly to small business and home viewers through the use of 90 cm antennas and standard digital equipment.

### **11.552 TECHNOLOGY OPPORTUNITIES (TOP)**

**FEDERAL AGENCY:** NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION, DEPARTMENT OF COMMERCE

**OBJECTIVES:** To promote the widespread use and availability of advanced telecommunications and information technologies in the public and nonprofit sectors. By providing matching grants for information infrastructure projects, this program will help develop a nationwide, interactive, multimedia information infrastructure that is accessible to all citizens, in rural areas as well as urban areas.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funding under the TOP will be awarded to: support projects that improve the quality of, and the public's access to, cultural, education, and training resources; reduce the cost, improve the quality, and/or increase the accessibility of health care and public health services; promote responsive public safety services; improve the effectiveness and efficiency of government services; and foster communication, resource-sharing, and economic development within communities, both rural and urban.

**Applicant Eligibility:** State, local and tribal governments, universities and colleges; and nonprofit entities are eligible to apply.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Director, Technology Opportunities Program, Office of Telecommunications and Information Applications/NTIA, Room 4092, Department of Commerce, 1401 Constitution Avenue, NW., Washington, DC 20230. Telephone: (202) 482-2048.

**EXAMPLES OF FUNDED PROJECTS:** Second Harvest in Illinois will provide near real-time communication links between food banks and organizations that feed the hungry to help alleviate the nearly 60 million pounds of food that gets wasted each year in the U.S.; the Georgia-based Shepherd Center will place laptops with video-conferencing capability in the homes of victims of spinal cord and brain injuries. These victims, who face a lifetime of challenges, will have greater access to their care givers as well as to clinics and seminars that can help them in their recovery; the Cleveland Housing Network in Ohio will use Internet-based technology to let community based organizations share data resources and collaborate on solutions for community revitalization. The project addresses common national problems, such as access to affordable housing, and allows those closest to the problems to have the tools to address them. Dance partners, a project of Ballet Arts Minnesota, will use interactive telecommunications technology to spread the reach of cultural

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dance to underserved communities, including the elderly and disabled. Whereas the 50 largest dance companies are concentrated in only ten of our largest cities, this project has the potential to bring increased exposure to dance in rural areas. The Southwest Navajo Nation Virtual Alliance will implement a wireless community network to link five local tribal government entities spread over a 5,000 square mile region in southwest Arizona that suffers severe economic, ecological, and health problems.

### **11.801 NATIVE AMERICAN PROGRAM (NAP)**

#### **FEDERAL AGENCY: MINORITY BUSINESS DEVELOPMENT AGENCY, DEPARTMENT OF COMMERCE**

**OBJECTIVES:** To provide business development service to Native Americans interested in entering, expanding or improving their efforts in the marketplace. To help Native American business development centers and Native American business consultants to provide a wide range of services to Native American clients, from initial consultation to the identification and resolution of specific business problems.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** The Minority Business Development Agency (MBDA) competitively selects and funds eight Native American Business Development Centers (NABDC's) to provide management and technical assistance to Native Americans located throughout the country. Recipients of NAP funds provide clients with advice and counseling in such areas as preparing financial packages, business counseling, business information and management, accounting guidance, marketing, business/industrial site analysis, production, engineering, construction assistance, procurement and identification of potential business opportunities. MBDA does not have the authority to make loans to Native American firms. Program funds are restricted to providing management and technical assistance.

**Applicant Eligibility:** There are no eligibility restrictions for this program. Eligible applicants may include individuals, nonprofit organizations, for-profit firms, local and State governments, Native American Tribes, and educational institutions.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the nearest Minority Business Development Agency Regional Office listed in your local telephone directory.

**Headquarters Office:** Business Development Specialist for the Office of Operations, Room 5079, Minority Business Development Agency, Department of Commerce, 14th and Constitution Avenue, NW., Washington, DC 20230. Telephone: (202) 482-6022.

**EXAMPLES OF FUNDED PROJECTS:** An NABDC located in Arizona received a \$197,825 award to provide one-to-one management and technical assistance to eligible Native American clients to develop business plans and promote the development and operation of businesses.

### **11.806 MINORITY BUSINESS OPPORTUNITY COMMITTEE**

#### **FEDERAL AGENCY: MINORITY BUSINESS DEVELOPMENT AGENCY, DEPARTMENT OF COMMERCE**

**OBJECTIVES:** Facilitate opportunities for minority businesses through the utilization of Federal State and local governmental entities and private sector organizations that provide minority business owners/individuals with enhanced access to the marketplace by identifying and facilitating procurement and contract awards, marketing and sales opportunities, financing resources, potential joint venture partners, timely market leads and other current business information; promote relationship building and sharing of business information between organizations in a geographic service area conducting substantial purchasing activity; assist in the identification of sources of capital; conduct media outreach, disseminate economic data, sponsors workshops, conferences and seminars within service area; maintain inventories of resources such as bid opportunities, sources of debt and equity funds, business ownership possibilities such as franchises, and prospective joint venture partners.

**TYPES OF ASSISTANCE:** Project Grants.

#### **USES AND USE RESTRICTIONS:**

Funds will be used in support of the MBDA policy statement on funding business development programs. MBDA is charged under Executive Order 11625 with fostering new minority business enterprises and maintaining and strengthening existing firms to increase their opportunities to participate and receive the benefits of our economic system. MBDA uses a portion of its program funds to award contracts, grants, and cooperative agreements to public and private sector entities which have the greatest potential for developing access to capital, market, and other opportunities on behalf of minority business. The performance of each funded recipient is evaluated on a scheduled basis. Renewals are based on Agency priorities, funding availability, and performance of recipients.

**Applicant Eligibility:** Applicants for this program are Federal, State or local government entities or quasi governmental entities American Indian Tribes, colleges, universities, and nonprofit organizations.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the nearest Minority Business Development Agency Regional Office listed in your local telephone directory.

**Headquarters Office:** Business Development Specialist, Room 5079, Minority Business Development Agency, Department of Commerce, 14th and Constitution Avenue, NW., Washington, DC 20230. Telephone: (202) 482-6022.

**EXAMPLES OF FUNDED PROJECTS:** In fiscal year 2002, the Los Angeles MBOC's is required to generate through its partners, contract and procurement opportunities to minority businesses totaling \$750,000,000. strategic outreach efforts can account for \$1.1 billion in minority

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contracting, over 21,000 new jobs and will pave the way for future competitive efforts to stimulate growth in a challenged economy.

### DEPARTMENT OF DEFENSE

#### 12.600 COMMUNITY ECONOMIC ADJUSTMENT

**FEDERAL AGENCY:** OFFICE OF ECONOMIC ADJUSTMENT, DEPUTY UNDER SECRETARY OF DEFENSE (DUSD), INDUSTRIAL AFFAIRS AND INSTALLATIONS, DEPARTMENT OF DEFENSE

**OBJECTIVES:** To help communities, regions and States alleviate serious economic impacts that result from Defense program changes, e.g., base openings, expansions and closings, contract changes and personnel reductions and increases.

**TYPES OF ASSISTANCE:** Provision of Specialized Services; Advisory Services and Counseling.

**USES AND USE RESTRICTIONS:** The Economic Adjustment Program helps alleviate serious community economic impacts that result from changes in Defense activities. The Executive Order designates the heads of 23 Federal Executive agencies as members of the Economic Adjustment Committee (EAC) with the responsibility to support, to the extent permitted by law, the economic adjustment activities of the Secretary of Defense. The following agencies are members of the EAC: Departments of Agriculture; Commerce; Defense; Education; Energy; Health and Human Services; Housing and Urban Development; Interior; Labor; State; Transportation; Treasury; Veterans Affairs; Justice; Office of Management and Budget; Council of Economic Advisors; Office of Personnel Management; Environmental Protection Agency; Federal Emergency Management Agency; General Services Administration; Small Business Administration; Postal Service; and the U.S. Arms Control and Disarmament Agency. Economic Adjustment assistance consists of assessment of the impact, evaluation of specific needs, identification of alternative courses of action, identification of resource requirements and possible sources, and the preparation of the development strategy and action plan. The resources of Federal agencies (technical expertise and assistance programs) are utilized to augment State, local, and private sector resources to develop and implement a consensus plan. Federal agency participation is governed by local requirements and needs for assistance (e.g. DOED assists on educational projects, DOT on transportation projects, etc.)

**Applicant Eligibility:** States and political subdivisions, other public organizations, and responsible community leadership groups.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Office of Economic Adjustment, DUSD (IA&I) Western Regional Office, 1325 J Street, Suite 1500, Sacramento, CA 95814. Telephone: (916) 557-7365.

**Headquarters Office:** Director, Office of Economic Adjustment, DUSD (IA&I), 400 Army Navy Drive, Suite 200, Arlington, VA 22202-2884. Telephone: (703)604-6020.

#### 12.607 COMMUNITY ECONOMIC ADJUSTMENT PLANNING ASSISTANCE (Community Planning Assistance)

**FEDERAL AGENCY:** OFFICE OF THE SECRETARY OF DEFENSE, OFFICE OF THE ASSISTANT SECRETARY (ECONOMIC SECURITY), DEPARTMENT OF DEFENSE

**OBJECTIVES:** To assist local governments or States, on behalf of local governments, to undertake community economic adjustment planning activities to respond to military base closures and realignments.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** DOD funding may be provided for military base reuse studies required for reuse of former military property. DOD may also provide community planning assistance funding to help a State, on behalf of a local government, or local government to undertake community economic adjustment planning activities to support the closure or realignment of a military installation. Activities include, but are not limited to: Staffing, operating and administrative costs; and general or specialized community economic adjustment studies.

**Applicant Eligibility:** An applicant may be eligible for DOD funding if the Secretary of Defense has announced that the local military installation is a candidate for closure or realignment; or that a final decision has been made that the installation will be closed or realigned; and the Director, OEA, has determined that the action will result in a direct and significantly adverse consequence to the affected community. State or local governments, regional organizations composed of State or local governments, and Federally Recognized Indian Tribes, District of Columbia, the Commonwealth of Puerto Rico and Guam

are eligible if the above criteria are met.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Office of Economic Adjustment ODASD Western Regional Office, 1325 J Street, Suite 1500, Sacramento, CA 95814. Telephone: (916) 557-7365.

**Headquarters Office:** Director, Office of Economic Adjustment, OASD (ES), 400 Army Navy Drive, Suite 200, Arlington, VA 22202-2884. Telephone: (703) 604-6020. FTS is not available.

**EXAMPLES OF FUNDED PROJECTS:** Funds were provided for reuse planning to convert former military

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installations into airports, industrial parks, recreation, education, training, and business centers, and accommodations for the homeless.

### **12.611 COMMUNITY ECONOMIC ADJUSTMENT PLANNING ASSISTANCE FOR REDUCTIONS IN DEFENSE INDUSTRY EMPLOYMENT**

**FEDERAL AGENCY:** OFFICE OF ECONOMIC ADJUSTMENT, OFFICE OF THE DEPUTY UNDER SECRETARY (INDUSTRIAL AFFAIRS AND INSTALLATIONS), DEPARTMENT OF DEFENSE

**OBJECTIVES:** To assist States on behalf of local governments and local governments to undertake economic adjustment planning activities to respond to major reductions in defense industry employment resulting from the cancellation, termination or failure to proceed with a major DOD acquisition.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** DOD funding may be provided for community economic adjustment planning activities in response to publicly-announced planned reduction in DOD spending, the cancellation or termination of a DOD contract, or the failure to proceed with a previously approved major Defense acquisition program. Planning assistance funding may be provided through a cooperative agreement or grant. Activities include, but are not limited to staffing, operating and administrative costs, and general or specific community adjustment studies.

**Applicant Eligibility:** An applicant may be eligible for DOD funding Defense industry adjusting planning if the cancellation, termination, or failure to proceed with a major weapons system involves the loss of: a) 2,500 or more full-time contractor employee positions in a metropolitan statistical area (MSA); b) 1,000 or more jobs in a labor market area outside an MSA; and c) one percent of the total number of civilian jobs in that area. Additionally, the Secretary of Defense must make a determination that the action is likely to impose a direct and significantly adverse consequence. States on behalf of local governments or local government, and Federally recognized Indian tribes located within the 50 States, the District of Columbia, the Commonwealth of Puerto Rico and Guam are eligible if the above criteria are met.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Office of Economic Adjustment, DUSD (Industrial Affairs and Installations) Western Regional Office, 1325 J Street, Suite 1500, Sacramento, CA 95814, Telephone: (916)557-7365.

**Headquarters Office:** Director, Office of Economic Adjustment, DUSD (Industrial Affairs and Installations), 400 Army Navy Drive, Suite 200, Arlington, VA 22202-2884. Telephone: (703)604-5948.

**EXAMPLES OF FUNDED PROJECTS:** Economic adjustment strategies prepared for communities in Virginia,

Texas, Louisiana, and California.

### **12.612 COMMUNITY BASE REUSE PLANS (Community Planning Assistance)**

**FEDERAL AGENCY:** OFFICE OF THE SECRETARY OF DEFENSE, OFFICE OF THE ASSISTANT SECRETARY (ECONOMIC SECURITY), DEPARTMENT OF DEFENSE

**OBJECTIVES:** To assist local governments or States, on behalf of local governments, to conduct community base reuse plans at closing or realigning military installations.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** DOD funding may be provided for development of the community base reuse plan that is required as part of the Environmental Impact Statement for disposal and reuse of military installations.

**Applicant Eligibility:** An applicant may be eligible for DOD funding if the Secretary of Defense announced in 1988, 1992, or 1993 or will announce in 1995 that the local military installation is a candidate for closure or realignment or that a final decision has been made that the installation will be closed or realigned; and that a community reuse plan is required for the DOD Environmental Impact Statement or Environmental Assessment. State or local governments, regional organizations composed of State and local organizations, and federally recognized Indian tribes located within the 50 States, the District of Columbia, the Commonwealth of Puerto Rico and Guam are eligible if the above criteria are met.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Office of Economic Adjustment, ODASD Western Regional Office, 1325 J Street, Suite 1500, Sacramento, CA 95814. Telephone: (916) 557-7365.

**Headquarters Office:** Director, Office of Economic Adjustment, ODASD (Installation), 400 Army Nave Drive, Suite 200, Arlington, VA 22202-2884 Telephone: (703) 604-5948.

**EXAMPLES OF FUNDED PROJECTS:** Funds were provided for community reuse plans for Pueblo Army Depot Naval Station Puget Sound, Woodbridge Research Laboratory, Hancock Field, and Hamilton Military Complex.

## Federal Funding Sources For Rural Areas

### DENALI COMMISSION

#### 90.100 DENALI COMMISSION PROGRAM

##### FEDERAL AGENCY: DENALI COMMISSION

**OBJECTIVES:** The Denali Commission is an Innovative Federal and State partnership designed to provide critical utilities and infrastructure throughout Alaska, particularly in distressed communities.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Infrastructure or utility needs benefitting Alaskans.

**Applicant Eligibility:** Awards are available to State and local governments, private, public, profit, nonprofit organizations and institutions or individuals eligible in Alaska.

##### INFORMATION CONTACTS:

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Denali Commission, 510 L Street, Suite 410, Anchorage, AK 99501. Telephone: (907) 271-1414..

**EXAMPLES OF FUNDED PROJECTS:** (1) Bulk Fuel Tank Storage- Consolidation at isolated site to prevent catastrophic failure of leaking facilities; (2) hydroelectric generation at remote coastal community; (3) regional electric intertie serving economically distressed communities not on national grid; (4) water supply to community owned and operated fish processing facility; and (5) statewide planning and construction of health care infrastructure.



## Rural Information Center Publication Series

### DEPARTMENT OF EDUCATION

#### **84.002 ADULT EDUCATION STATE GRANT PROGRAM**

**FEDERAL AGENCY:** OFFICE OF VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To improve educational opportunities for adults and to encourage the establishment of adult education programs that will enable all adults to acquire basic educational skills necessary to function in a literate society, enable adults who so desire to complete secondary school, and enable adults to benefit from job training and retraining programs and obtain productive employment to more fully enjoy the benefits and responsibilities of citizenship.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Special emphasis is given to programs of instruction in computational skills and in speaking, reading, or writing English for those adults who are educationally disadvantaged. Not less than 10 percent of the State allocation must be used for corrections education and education for other institutionalized adults. Not less than 15 percent of the State allocation must be used for special experimental demonstration projects and teacher training projects; at least 10 percent must be spent for teacher training. From its allotment, States must use an amount of funds (determined by the State) for competitive two-year grants to public housing authorities for literacy programs and related activities. State advisory councils may be established and represent allowable expenditures under the Act. Not more than 20 percent of the State allocation can be used for programs of equivalency for a certificate of graduation from a secondary school.

**Applicant Eligibility:** Formula grants are made to designated State educational agencies. Local educational agencies, public or private nonprofit agencies, correctional education agencies, community-based organizations, post secondary educational institutions, institutions that serve educationally disadvantaged adults, and any other institutions that have the ability to provide literacy services to adults and families, are eligible for subgrants. A public or private nonprofit entity may apply on behalf of a consortium that includes a for-profit agency, organization, or institution.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Division of Adult Education and Literacy, Office of Vocational and Adult Education, U.S. Department of Education, Washington, DC 20202-7320.

Telephone: (202) 205-8270.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

#### **84.010 TITLE I GRANTS TO LOCAL EDUCATIONAL AGENCIES (Title I Basic, Concentration, and Targeted Grants)**

**FEDERAL AGENCY:** OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To help local education agencies (LEAs) and schools improve the teaching and learning of children failing, or most at risk of failing, to meet challenging State academic standards.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Use of funds varies, depending on whether a school is operating a school wide program under Section 1114 of Title I or a targeted assistance program under Section 1115. A participating school with at least a 50 percent poverty rate may choose to operate a school wide program that allows Title I funds to be combined with other Federal, State, and local funds to upgrade the school's overall instructional program. All other participating schools must operate targeted assistance programs, and select children deemed most needy for Title I services. Targeted assistance programs must supplement, not supplant the regular education program normally provided by State and local educational agencies.

**Applicant Eligibility:** State educational agencies (SEAs) and the Secretary of the Interior. Local educational agencies (LEAs) and Indian tribal schools are subgrantees.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Compensatory Education Programs, Office of Elementary and Secondary Education, Department of Education, 1250 Maryland Avenue, SW., Room 4400, Portals Bldg. Washington, DC 20202-6132. (202) 260-0826.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

#### **84.011 MIGRANT EDUCATION STATE GRANT PROGRAM**

**FEDERAL AGENCY:** OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To assist States to ensure that migratory children have the opportunity to meet the same challenging State content and performance standards that all children are expected to meet.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Program funds are used to support high quality and comprehensive educational programs that address the needs of migratory children. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

**Applicant Eligibility:** State educational agencies or consortia of State educational agencies and other appropriate entities may apply.

## Federal Funding Sources For Rural Areas

### INFORMATION CONTACTS:

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW., FB6-Room 3E341, Washington, DC 20202-6135. Telephone: (202) 260-1334.

**EXAMPLES OF FUNDED PROJECTS:** Program funds are used to establish or improve programs to meet the special educational needs of migratory children in such areas as academic instruction; remedial and compensatory instruction; bilingual and multicultural instruction; vocational instruction and career education services; special guidance, counseling, and testing services; health services; and preschool services.

### 84.048 VOCATIONAL EDUCATION BASIC GRANTS TO STATES

**FEDERAL AGENCY:** OFFICE OF ASSISTANT SECRETARY FOR VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** Basic grants assist States and outlying areas to expand and improve their programs of vocational education and provide equal access in vocational education to special needs populations. The populations assisted by Basic Grants range from secondary students in pre-vocational courses through adults who need retraining to adapt to changing technological and labor market conditions.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Each State must allocate its basic grant in the following manner: Up to 5 percent of the allotment or \$250,000, whichever is greater, for State Administration, including at least \$60,000 for sex equity leadership; and the remaining balance as follows: (1) An amount equal to at least 75 percent of the allotment to be distributed to eligible recipients; (2) an amount equal to 10.5 percent for the program for single parents, displaced homemakers, and single pregnant women, and for individuals participating in programs to eliminate sex bias and stereotyping; (3) an amount not more than 8.5 percent for State programs and State leadership; and (4) an amount equal to one percent for programs for criminal offenders in correctional institutions.

**Applicant Eligibility:** The Department of Education makes formula grants to States. Eligible recipients for subgrants are local educational agencies and post secondary institutions.

### INFORMATION CONTACTS:

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Division of Vocational Technical Education, Office of Vocational and Adult Education, U.S. Department of Education, 600 Independence Ave., SW., Washington, DC 20202-7323. Telephone: (202) 205-9441.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 84.060 INDIAN EDUCATION GRANTS TO LOCAL EDUCATIONAL AGENCIES

**FEDERAL AGENCY:** OFFICE OF ASSISTANT

**SECRETARY FOR ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** Support local educational agencies in their efforts to reform elementary and secondary school programs that serve Indian students in order to ensure that programs are based on challenging State content standards and student performance standards that are used for all students, and are designed to assist Indian students meet those standards in reaching the National Education Goals.

**TYPES OF ASSISTANCE:** Project Grants; Formula Grants.

**USES AND USE RESTRICTIONS:** Grantees may use funds for the establishment, maintenance, and operation of supplementary projects specifically designed to assist Indian students in meeting State content and student performance standards. Projects must be designed in response to a locally conducted needs assessment and with the full cooperation and involvement of an elected committee representing parents of the Indian students to be served. Permissible activities include, but are not limited to: (1) Culturally related activities; (2) early childhood and family programs emphasizing school readiness; and (3) enrichment programs that directly support the attainment of State content and performance standards.

**Applicant Eligibility:** Local educational agencies (LEAs) that enroll at least 10 Indian children or in which Indians constitute at least 25 percent of the total enrollment. These requirements do not apply to LEAs serving Indian children in Alaska, California, and Oklahoma or located on, or in proximity to, an Indian reservation. Schools that receive funding from the Bureau of Indian Affairs under Section 1130 of the Education Amendments of 1978, 25 U.S.C. 2001, are deemed to be eligible to participate in this program.

### INFORMATION CONTACTS:

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Indian Education, Office of Elementary and Secondary Education, Department of Education, 600 Independence Avenue, SW., Washington, DC 20202. Director, Division of Program Operations. Telephone: (202) 260-1441.

**EXAMPLES OF FUNDED PROJECTS:** Instruction in reading and mathematics; counseling and guidance; native language and cultural education instruction; early childhood and family programs emphasizing school readiness; and school-to-work transition programs.

### 84.101 VOCATIONAL EDUCATION INDIANS SET-ASIDE

**FEDERAL AGENCY:** OFFICE OF VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To make grants to or enter into contracts with Indian tribes or tribal organizations and Bureau of Indian Affairs funded schools to plan, conduct, and administer programs or portions of programs authorized by and consistent with the Carl D. Perkins Vocational and

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Applied Technology Education Act.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements); Project Grants (Contracts).

**USES AND USE RESTRICTIONS:** To provide vocational and technical education opportunities for Indians and Alaskan Natives.

**Applicant Eligibility:** Applicants must either be eligible to contract with the Secretary of the Interior for the administration of programs under the Indian Self-Determination and Education Assistance Act or under the Act of April 16, 1934, or be Bureau-funded schools offering a secondary education program.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Division of National Programs, Office of Vocational and Adult Education, U.S. Department of Education, 600 Independence Ave., SW., Washington, DC 20202-7242. Telephone: (202) 205-5680.

**EXAMPLES OF FUNDED PROJECTS:** The Mississippi Band of Choctaw Indians is conducting a three-year program for basic skills, tribal manufacturing, tribal and local business, and tribal enterprises. The project is housed and operated out of Choctaw Vocational Center, Philadelphia, Mississippi. The Salish Kootenai College is conducting a three-year program in building trades secretarial science, computer science, forest technology and basic skills. The College also conducts a State licensed associate degree nursing program. The project is located at Pablo, Montana.

### **84.141 MIGRANT EDUCATION HIGH SCHOOL EQUIVALENCY PROGRAM (HEP)** **FEDERAL AGENCY: OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** To assist students who are engaged, or whose parents are engaged, in migrant and other seasonal farm work to obtain the equivalent of a secondary school diploma and subsequently to gain employment or be placed in an institution of higher education or other post secondary education or training.

**TYPES OF ASSISTANCE:** Project Grants (Discretionary).

**USES AND USE RESTRICTIONS:** Project funds may be used to recruit and provide academic and support services (including counseling, health services, stipends, and placement) to migrant students to obtain the equivalent of a secondary school diploma and to subsequently gain employment or be placed in an institution of higher education or other post secondary education or training.

**Applicant Eligibility:** Institutions of higher education or private nonprofit agencies in cooperation with institutions of higher education may apply.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Migrant Education, Office

of Elementary and Secondary Education, Department of Education, 600 Independence Avenue, SW., Portals Bldg., Room 4100, Washington, DC 20202. Telephone: (202) 260-1164.

**EXAMPLES OF FUNDED PROJECTS:** Project funds are used for recruitment, instruction designed to help participants pass an examination and obtain a high school equivalency certificate, counseling, health services, housing for on-campus residential programs, exposure to academic programs, cultural events, and other activities not usually available to migrant youth, and appropriate in-service training activities for project staff members.

### **84.144 MIGRANT EDUCATION COORDINATION PROGRAM**

#### **FEDERAL AGENCY: OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** To encourage the interstate and intrastate coordination of migrant education including consortium arrangements to reduce the administrative costs of state educational agencies (SEAs) receiving Title I Migrant Education Program funds under 84.011.

**TYPES OF ASSISTANCE:** Project Grants (Discretionary); Project Grants (Contracts).

**USES AND USE RESTRICTIONS:** Funds are used to: (A) Support activities to improve interstate and intrastate coordination of migrant education among State and local educational agencies; and (B) provide incentive grants to SEAs that participate in an approved consortium under the program.

**Applicant Eligibility:** State educational agencies, local educational agencies, institutions of higher education and other public or nonprofit private entities may apply for funds to improve interstate and intrastate coordination of migrant education. SEAs participating in MEP consortium may apply for incentive grant awards.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 600 Independence Avenue, SW., Portals Bldg., Room 4100, Washington, DC 20202-6135. Telephone: (202) 260-1164.

**EXAMPLES OF FUNDED PROJECTS:** Funded projects have included projects to increase the use of technology for teaching and learning in the migrant community and programs to increase services to students by reducing administrative costs.

### **84.149 MIGRANT EDUCATION COLLEGE ASSISTANCE MIGRANT PROGRAM (CAMP)** **FEDERAL AGENCY: OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION**

## Federal Funding Sources For Rural Areas

**OBJECTIVES:** To assist students that are engaged, or whose parents are engaged in migrant and other seasonal farmwork, and are enrolled or are admitted for enrollment on a full-time basis in the first academic year at an institution of higher education.

**TYPES OF ASSISTANCE:** Project Grants (Discretionary).

**USES AND USE RESTRICTIONS:** Project funds may be used to provide supportive and instructional services, including tutoring and counseling services and assistance in obtaining student financial aid (including stipends, tuition, and room and board) to first-year college students, assist those students in obtaining financial aid for their remaining undergraduate years, and provide follow-up services, such as monitoring and reporting students first year and subsequent year academic progress, and referrals to counseling services, academic assistance or financial aid.

**Applicant Eligibility:** Institutions of higher education or private nonprofit agencies in cooperation with institutions of higher education may apply.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 600 Independence Avenue, SW., Portals Bldg., Room 4100, Washington, DC 20202. Telephone: (202) 260-1164.

**EXAMPLES OF FUNDED PROJECTS:** Project funds are used to recruit potential participants and to provide services to students in such areas as: tutoring; academic, career, and personal counseling; health services; housing support; exposure to academic programs, cultural events, and other activities not usually available to migrant youth; and appropriate in-service training activities for project staff members.

### **84.169 INDEPENDENT LIVING STATE GRANTS**

**FEDERAL AGENCY:** OFFICE OF ASSISTANT SECRETARY FOR SPECIAL EDUCATION AND REHABILITATIVE SERVICES, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To assist States to promote a philosophy of independent living, including a philosophy of consumer control, peer support, self-help, self-determination, equal access, and individual and system advocacy, in order to maximize the leadership, empowerment, independence, and productivity of individuals with disabilities, and the integration and full inclusion of individuals with disabilities into the mainstream American society, by providing financial assistance for providing, expanding, and improving the provision of independent living services.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Funds received under this Part may be used to support the operation of the Statewide Independent Living Councils, States may also use

funds received under this Part for one or more of the following purposes: to provide independent living services to individuals with significant disabilities; to demonstrate ways to expand and improve independent living services; to support the operations of centers for independent living; to increase the capacities of public or nonprofit agencies and organizations and other entities to develop comprehensive approaches or systems for providing independent living services; to conduct studies and analyses, gather information, develop model policies and procedures, and present information, approaches, strategies, findings, conclusions, and recommendations to Federal, State, and local policy makers in order to enhance independent living services for individuals with disabilities; to train individuals with disabilities and individuals providing services to individuals with disabilities and other persons regarding the independent living philosophy; and to provide outreach to populations that are unserved or under served by programs under this title, including minority groups and urban and rural populations.

**Applicant Eligibility:** State agencies (including Territories) designated as the State unit to administer the State's independent living rehabilitation services program may apply.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See your local telephone directory for a list of regional offices.

**Headquarters Office:** Office of the Assistant Secretary for Special Education and Rehabilitative Services, Department of Education, Rehabilitation Services Administration, 600 Independence Ave., Independent Living Branch Office SW., Washington, DC 20202. Telephone: (202) 205-8937.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **84.184 SAFE AND DRUG-FREE SCHOOLS AND COMMUNITIES NATIONAL PROGRAMS**

**FEDERAL AGENCY:** OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To enhance the Nation's efforts to prevent the illegal use of drugs and violence among, and promote safety and discipline for, students at all educational levels.

**TYPES OF ASSISTANCE:** Project Grants (Contracts); Project Grants (Cooperative Agreements). Project Grants (Discretionary).

**USES AND USE RESTRICTIONS:** Projects funded under this program support drug and violence prevention and education activities. The Secretary may fund applications that address specific priorities published in the Federal Register.

**Applicant Eligibility:** Public and private nonprofit organizations, and individuals and institutions of higher education.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

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**Headquarters Office:** Director, Safe and Drug-Free Schools Program, Department of Education, Office of Elementary and Secondary Education, 600 Independence Avenue, SW., Washington, DC 20202-6123. Telephone: (202) 260-3954.

**EXAMPLES OF FUNDED PROJECTS:** Projects that replicate, with fidelity, a program or strategy that has demonstrated sustained reductions in youth drug use, violent behavior, or both, over at least a two-year period; projects that develop, improve, expand, or enhance the collection of data related to youth drug use and violence, and develop and implement processes to ensure that high-quality data are used to inform policy, assess needs, select interventions, and assess success of drug and violence prevention activities funded under the SDFSCA State Grants Program.

### 84.203 STAR SCHOOLS

**FEDERAL AGENCY: OFFICE OF ASSISTANT SECRETARY FOR EDUCATIONAL RESEARCH AND IMPROVEMENT, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** To encourage improved instruction in mathematics, science, and foreign languages as well as other subjects, such as literacy skills and vocational education. To serve under served populations, including the disadvantaged, illiterate, limited-English proficient, and individuals with disabilities. Grants are made to telecommunication partnerships for telecommunications facilities and equipment, educational and instructional programming, and technical assistance in the use of such facilities and instructional programming.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Restrictions and priorities include: Eligible telecommunications partnerships must be organized on a statewide or multi-state basis. Partnerships must assure that a significant portion of the facilities and equipment, technical assistance and programming for which assistance is sought will be made available to elementary and secondary schools of local education agencies that have a high percentage of children counted for purposes of Part A of Title I of the Elementary Secondary Education Act. Priority is given to those partnerships that provide services to meet the needs of traditionally under served populations, individuals traditionally excluded from careers in mathematics and science because of discrimination or economically disadvantaged backgrounds, areas with scarce resources, and areas with limited access to courses in mathematics, science and foreign languages. Funds through this grant may not supplant funds otherwise available for these purposes. Not less than 25 percent of the funds appropriated during any fiscal year shall be used for the cost of instructional programming. Not less than 50 percent of the funds appropriated during any fiscal year shall be used for the cost of facilities, equipment, teacher training or retraining, technical assistance or programming for school districts which are eligible to receive assistance under Part A of Title I. No grant may exceed \$10,000,000 in any single fiscal year.

**Applicant Eligibility:** Eligible telecommunications partnerships must be organized on a statewide or multistage basis. Two types of partnerships are eligible: (1) A public agency or corporation established for the purpose of developing and operating telecommunications networks to enhance educational opportunities provided by educational institutions, teacher training centers, and other entities, provided that the agency or corporation represents the interests of elementary and secondary schools eligible to participate under Title 1 of the Elementary and Secondary Education Act of 1965; or (2) a partnership that will provide telecommunications services and includes three or more of the following entities, at least one of which shall be State or local educational agency: (a) A local educational agency, that serves a significant number of elementary and secondary schools that are eligible for assistance under Part A, of Title 1 or elementary and secondary schools operated or funded for Indian children by the Department of the Interior; (b) A State educational agency; (c) adult and family education programs; (d) an institution of higher education or a State higher education agency; (e) a teacher training center or academy; (f) a public or private entity with experience and expertise in the planning and operation of a telecommunications network, including entities involved in telecommunications through satellite, cable, telephone, or computer; or a public broadcasting entity with such experience; or (g) a public or private elementary or secondary school.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Educational Research and Improvement, Department of Education, 600 Independence Ave., SW., Washington, DC 20208-5644. Telephone: (202) 219-1919.

**EXAMPLES OF FUNDED PROJECTS:** TEAMS (Telecommunications Education for Advances in Mathematics and Science Education) is the project operated by the Los Angeles County Office of Education. This was the first Star Schools Program to specifically address the needs of large urban districts. The partners in the project include the Los Angeles County Educational Telecommunications Network, the Los Angeles Unified School District, District of Columbia Public Schools, Boston Public Schools, Atlanta Public Schools and Salt Lake City public schools. Science and technology experiences have been developed for grades four and five, multi cultural mathematics and problem solving were the focus for grades seven through ten, and student-to-student teleconferences and career exploration were emphasized for grades seven through twelve. Special programs were also developed for students with limited English proficiency. A parent education component and staff development teleconference are key to enriching the educational opportunities of students in these major cities. Since its first award in 1990, TEAMS has added Charlotte-Mecklenburg, and the States of Arizona, Missouri, Georgia, and New Mexico to its partnership.

### 84.213 EVEN START STATE EDUCATIONAL

## Federal Funding Sources For Rural Areas

### AGENCIES

#### **FEDERAL AGENCY: OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** To help break the cycle of poverty and illiteracy, and improve the educational opportunities of low-income families, by integrating early childhood education, adult literacy or adult basic education, and parenting education into a unified family literacy program.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Subgrants are used for activities such as recruitment and screening of children and parents, design of programs, instruction of children and parents, support services, staff training, evaluation, and coordination with other programs. Funds may not be used for indirect costs.

**Applicant Eligibility:** State educational agencies (SEAs). The subgrantees are partnerships of a local educational agency (LEA) and a nonprofit community-based organization, a public agency other than an LEA, an institution of higher education or other public or private nonprofit organizations. Any of the latter, with demonstrated quality, may apply in collaboration with a LEA.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Department of Education, Compensatory Education Programs, Office of Elementary and Secondary Education, 600 Independence Avenue, SW., Washington, DC 20202-6132. Telephone: (202) 260-0991.

**EXAMPLES OF FUNDED PROJECTS:** Fiscal year 1995 projects include Project Even Start in Brooklyn, New York, a family-centered education program to assist children in reaching their potential as learners, to provide literacy training for their parents, and to help parents become partners with the schools in the education of their children. The project includes pre-kindergarten programs and parent-child home training. The Waterville Even Start Project, in Waterville, Maine, provides instructional services that are home based, with supplemental activities provided at an Even Start Center. The adult element of the program provides literacy education in the context of parenting. Early childhood and primary education specialists work with families using a curriculum developed in conjunction with the Head Start program. At a monthly meeting adults attend parenting workshops while children participate in educational programs.

#### **84.214 EVEN START MIGRANT EDUCATION**

#### **FEDERAL AGENCY: OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** To improve the educational opportunities of migrant families through family literacy programs that integrate early childhood education, adult literacy or adult basic education, and parenting education.

**TYPES OF ASSISTANCE:** Project Grants (Discretionary).

**USES AND USE RESTRICTIONS:** Funds are used for activities such as recruitment and screening of children and parents, design of programs, instruction for children and parents, staff training, support services, evaluation, and coordination with other programs.

**Applicant Eligibility:** Any entity may apply. The Secretary specifically invites application from State educational agencies (SEAs) that administer migrant programs; local educational agencies (LEAs) that have a high percentage of migrant students; and nonprofit community-based organizations that work with migrant families.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Educational Research and Improvement, Department of Education, 555 New Jersey Avenue, NW., Washington, DC 20208-5645. Telephone: (202) 219-2147.

**EXAMPLES OF FUNDED PROJECTS:** In fiscal year 1997, under the specific FIE authority for the Partnerships in Character Education Pilot Project, four new Character Education Projects were funded in four States: Kentucky, Missouri, New Jersey, and South Carolina. In South Carolina, the State Department of Education has formed a partnership with four school districts to serve as pilot sites for the statewide transformation of South Carolina's schools. These four represent urban, small rural, and large rural districts. The districts plan to provide extensive professional development opportunities for teachers, use published character education materials innovatively, and have technology play a major role in facilitating the exchange of information among the districts. In New Jersey, the State Department of Education is working with the Newark School District and the Newark Do Something Fund, a community development agency, to develop an urban pilot project in character education. The program intends to infuse character education into the curriculum renewal process in the 62 Newark schools that have any combination of grades K-8. The project also plans to establish the New Jersey Character Education Network that will provide public and private schools a range of character education strategies, procedures, and program designs.

#### **84.215 FUND FOR THE IMPROVEMENT OF EDUCATION (FIE)**

#### **FEDERAL AGENCY: OFFICE OF ASSISTANT SECRETARY FOR EDUCATIONAL RESEARCH AND IMPROVEMENT, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** To conduct nationally significant programs to improve the quality of education, assist all students to meet challenging State content standards, and contribute to the achievement of the National Education Goals.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds may be used to support a wide range of projects under the FIE general authority for programs, and additional specific program

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authorities. Each year competitions are announced in the Federal Register. Specific limitations and restrictions for each competition are provided in the Federal Register notice.

**Applicant Eligibility:** State educational agencies, local educational agencies, institutions of higher education, public and private organizations and institutions may apply.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Office of Educational Research and Improvement, Department of Education, 555 New Jersey Avenue, NW., Washington, DC 20208-5645. Telephone: (202) 219-2147.

**EXAMPLES OF FUNDED PROJECTS:** In fiscal year 1997, under the specific FIE authority for the Partnerships in Character Education Pilot Project, four new Character Education Projects were funded in four States: Kentucky, Missouri, New Jersey, and South Carolina. In South Carolina, the State Department of Education has formed a partnership with four school districts to serve as pilot sites for the statewide transformation of South Carolina's schools. These four represent urban, small rural, and large rural districts. The districts plan to provide extensive professional development opportunities for teachers, use published character education materials innovatively, and have technology play a major role in facilitating the exchange of information among the districts. In New Jersey, the State Department of Education is working with the Newark School District and the Newark Do Something Fund, a community development agency, to develop an urban pilot project in character education. The program intends to infuse character education into the curriculum renewal process in the 62 Newark schools that have any combination of grades K-8. The project also plans to establish the New Jersey Character Education Network that will provide public and private schools a range of character education strategies, procedures, and program designs.

### **84.216 CAPITAL EXPENSES**

#### **FEDERAL AGENCY: OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** To help local educational agencies (LEAs) pay for capital expenses incurred as a result of implementing alternative arrangements to provide equitable Title I services to eligible private school children, in compliance with the Aguilar v. Felton decision.

**TYPES OF ASSISTANCE:** Formula Grants; Project Grants.

**USES AND USE RESTRICTIONS:** LEAs use funds for non-instructional expenses incurred as a result of implementing alternative arrangements for providing Title I services to private-school students, including the costs of purchasing, leasing, and renovating portable classrooms and vans, and transporting private-school students to and from neutral classroom sites.

**Applicant Eligibility:** State educational agencies (SEAs). Local educational agencies (LEAs) are subgrantees.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Department of Education, Compensatory Education Programs, Office of Elementary and Secondary Education, Department of Education, 600 Independence Avenue, SW., Portals Bldg., Room 4400, Washington, DC 20202-6132. Telephone: (202) 260-0826.

**EXAMPLES OF FUNDED PROJECTS:** Funds are used for such costs as rental of classroom space in neutral sites; rental or purchase of mobile vans; and transportation of private school students to public schools or neutral sites.

### **84.221 NATIVE HAWAIIAN SPECIAL EDUCATION**

#### **FEDERAL AGENCY: OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** To fund projects addressing the special education needs of Native Hawaiian students

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements or Contracts).

**USES AND USE RESTRICTIONS:** Projects may include: (1) The identification of Native Hawaiian children that are learning disabled, mentally or physically handicapped, or require special education services; (2) the identification of the emotional and psychosocial needs of these students and their families; (3) the conduct of educational activities consistent with Part B of the Individuals with Disabilities Education Act that hold reasonable promise of improving the provisions of special education and related services to Native Hawaiian children that are identified as being handicapped; (4) the conduct of educational, psychosocial, and developmental activities that may result in substantial progress with these students, including the use of Native Hawaiian language and cultural traditions; and (5) appropriate research, evaluation and related activities pertaining to the needs of such children. No more than seven percent of the funds appropriated to carry out the provisions of this program for any fiscal year may be used for administrative purposes. Each application for funds under this program must be accompanied by the comments of each local educational agency serving students participating in the project. No more than seven percent of grant funds may be used for administrative purposes.

**Applicant Eligibility:** State of Hawaii or Native Hawaiian organizations may apply.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Special Education and Rehabilitative Services, Department of Education, 400 Maryland Ave., SW., Washington, DC 20202. Telephone: (202) 205- 9805.

**EXAMPLES OF FUNDED PROJECTS:** The Hawaii State Department of Education is currently receiving funds to support preservice teacher training, pre-referral intervention and mainstreaming, and promoting parent and community involvement.

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### 84.250 REHABILITATION SERVICES

#### AMERICAN INDIANS WITH DISABILITIES

**FEDERAL AGENCY:** OFFICE OF ASSISTANT SECRETARY FOR SPECIAL EDUCATION AND REHABILITATIVE SERVICES, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To provide vocational rehabilitation services to American Indians with disabilities that reside on Federal or State reservations in order to prepare them for suitable employment.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Projects provide financial assistance for the establishment and operation of tribal vocational rehabilitation service projects for American Indians with disabilities residing on reservations.

**Applicant Eligibility:** Governing bodies of Indian tribes or consortia of those governing bodies on Federal and State reservations may apply.

#### INFORMATION CONTACTS:

**Regional or Local Office:** The RSA Regional Offices. See your local telephone directory.

**Headquarters Office:** Rehabilitation Services Administration, Office of the Assistant Secretary for Special Education and Rehabilitative Services, Department of Education, Washington, DC 20202. Telephone: (202) 205-8292.

**EXAMPLES OF FUNDED PROJECTS:** Projects provide vocational rehabilitation services to American Indians with disabilities who reside on reservations comparable to the services provided under Title I to other individuals with disabilities who reside in the State. These projects increase the successful outreach and outcome of services to an underserved population.

### 84.258 EVEN START INDIAN TRIBES AND TRIBAL ORGANIZATIONS

**FEDERAL AGENCY:** OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To help break the cycle of poverty and illiteracy and improve the educational opportunities of low-income families through family literacy programs that integrate early childhood education, adult basic education, and parenting education.

**TYPES OF ASSISTANCE:** Project Grants (Discretionary).

**USES AND USE RESTRICTIONS:** Funds are used for activities such as recruitment and screening of children and parents, design of programs, instruction for children and parents, staff training, support services, evaluation, and coordination with other programs. Indirect costs are not an allowable project cost unless a waiver is obtained from the Secretary of Education.

**Applicant Eligibility:** Federally recognized Indian tribes and

tribal organizations as defined in the Indian Self-Determination and Education Assistance Act, Section 4, may apply.

#### INFORMATION CONTACTS:

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Department of Education, Compensatory Education Programs, Office of Elementary and Secondary Education, 600 Independence Avenue, SW., Washington, DC 20202-6132. Telephone: (202) 260-0991.

**EXAMPLES OF FUNDED PROJECTS:** The Northwest Indian College (NWIC) Even Start program has established an ongoing relationship with the Lummi Tribal Headstart Program for Even Start children. A parenting class has been established on the NWIC campus where a wide range of family activities are sponsored in addition to formal parenting studies.

### 84.259 NATIVE HAWAIIAN VOCATIONAL EDUCATION

**FEDERAL AGENCY:** OFFICE OF VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To make grants to organizations primarily serving and representing Native Hawaiians for programs or portions of programs authorized by, and consistent with, the Carl D. Perkins Vocational and Applied Technology Education Act.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** To provide vocational education opportunities for Native Hawaiians.

**Applicant Eligibility:** Any organization primarily serving and representing Native Hawaiians and recognized by the Governor of Hawaii may apply.

#### INFORMATION CONTACTS:

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Division of National Programs, Office of Vocational and Adult Education, Department of Education, 600 Independence Ave., SW., Washington, DC 20202. Telephone: (202) 205-5563. Internet: Katherine\_Holmberg@ed.gov.

**EXAMPLES OF FUNDED PROJECTS:** Computer training centers, small business ownership project, community college guidance and counseling, and tech-prep projects have been funded with one grant award to Alu Like, Inc.

### 84.286 READY TO CHANGE

**FEDERAL AGENCY:** ASSISTANT SECRETARY FOR EDUCATION RESEARCH AND IMPROVEMENT, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To carry out a national telecommunications-based program to improve the teaching in core curriculum areas. The program shall be designed to assist elementary school and secondary school teachers in preparing all



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students to achieve challenging State academic standards in core curriculum areas.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Not more than five percent of the amount received under the grant may be used for administrative costs.

**Applicant Eligibility:** A local public telecommunications entity or partnership of such entities, as defined in section 397(12) of the Communications Act of 1934, that is able to demonstrate a capacity for the development and distribution of educational instructional television programming of high quality. The applicant shall: (a) demonstrate that it will use the public broadcasting infrastructure, the Internet, and school digital networks, where available, to deliver video and data in an integrated service to train teachers in the use of materials and learning technologies; (b) ensure that the project will be conducted in cooperation with appropriate State educational agencies, local educational agencies and State or local nonprofit public telecommunications entities; and (c) ensure that a significant portion of the benefits from the project will be available to schools of local educational agencies that have a high percentage of children counted for purpose of part A of Title I of the Elementary and Secondary Education Act.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Educational Research and Improvement, Department of Education, 600 Independence Ave. SW., Washington, DC 20208-5644. Telephone: (202) 219-2143.

### 84.287 TWENTY-FIRST CENTURY COMMUNITY LEARNING CENTERS

**FEDERAL AGENCY:** ASSISTANT SECRETARY FOR  
EDUCATION RESEARCH, STATISTICS, AND  
IMPROVEMENT, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To enable rural and inner city public elementary and secondary schools or consortia of such schools to plan, implement, or expand projects that benefit the educational, health, social service, cultural, and recreational needs of their community.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Projects funded under this program must be for the purpose of meeting the needs of the residents of rural and inner city communities, through the creation or expansion of community learning centers. Centers must include not less than four of the activities listed in Section 10905 of Elementary and Secondary Education Act of 1965 (ESEA). Priority will be given to those applications that provide expanded learning opportunities for children and youth in a safe and drug-free environment and engage the support of citizens in those efforts.

**Applicant Eligibility:** Rural and inner city public elementary and secondary schools or consortia of such schools.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Reform Assistance and Dissemination, State and Local Service Division, Office of Educational Research and Improvement, Department of Education, Washington, DC 20208-5524. Telephone: (202) 219-2128.

**EXAMPLES OF FUNDED PROJECTS:** Funded projects include one center which expanded an existing community center to provide education and support services to at-risk families, in order to empower these families to become economically self-sufficient. Another created a learning center and six "satellite" centers, which provide information about employment, education, and social service opportunities. A third center provides services in the areas of literacy, technology, parenting skills, and employment. All of these centers are located in economically depressed areas.

### 84.295 READY-TO-LEARN TELEVISION

**FEDERAL AGENCY:** ASSISTANT SECRETARY FOR  
EDUCATION RESEARCH, STATISTICS AND  
IMPROVEMENT, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To develop educational programming for preschool and early elementary school children and their families. To develop educational television, programming and ancillary materials to increase school readiness for young children in limited English proficient households and to increase family literacy. To develop support materials and services that promote effective use of educational programming.

**TYPES OF ASSISTANCE:** Project Grants; Project Grants (Contracts); Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** Administrative costs are limited by the authorizing statute. Entities receiving a grant, contract, or cooperative agreement from the Secretary, may not use more than five percent of the amounts received under this Section for the normal and customary expenses of administering the grant.

**Applicant Eligibility:** To be eligible to receive a grant, contract, or cooperative agreement under Subsection (a) an entity shall be: 1) A nonprofit entity (including a public telecommunications entity) able to demonstrate a capacity for the development and distribution of educational and instructional television programming of high quality for preschool and elementary school children; and 2) able to demonstrate a capacity to contract with the producers of children's television programming for the purpose of developing educational television programming of high quality for preschool and elementary school children. In fiscal year 1995, Congress required that funds be awarded to the Corporation for Public Broadcasting in the amount that the Secretary determines appropriate.

**INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** National Institute on Early Childhood Development and Education, Office of Education Research

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and Improvement, Department of Education, 600 Independence Ave., SW., Washington, DC 20208-5524. Telephone: (202) 219-1596.

**EXAMPLES OF FUNDED PROJECTS:** The Corporation for Public Broadcasting (CPB) awarded \$4.2 million to the Children's Television Workshop and Columbia TriStar Television Distribution in 1995. They will use the funds to develop and produce 40 episodes of Dragon Tales, a daily animated half-hour preschool series which will help children develop life skills necessary for learning. The series will feature Max and his big sister Margarita whose parents came to the U.S. from Latin America. The series will encourage children to face new experiences with confidence by helping them to pursue challenges, overcome fears, and make difficult tasks easier through teamwork. Targeted to children between 2 to 5 years of age, it is anticipated that over 6 million children will view the series on a regular basis. Show and Tell Me, is a related weekly designed to educate parents to and care givers about ways they can help children become ready to learn. It is anticipated that 4.5 million adults will tune into this series on PBS. Children's Television Workshop will also produce an interactive Internet component for children, parents, and other care givers. CPB is also providing \$4.2 million to public broadcaster WGBH Boston, in partnership with Sirius Thinking, Inc., to develop and co-produce 40 episodes of an innovative half-hour daily literacy series, Between the Lions, for 4 to 7 year olds. WGBH will produce a related 13 part weekly half-hour series Kids and How to Grow Them for parents and care givers, along with an interactive online component for the Internet. CPB has also used funds to expand CPB's "First Book" a free book program, from three PBS stations to 120 stations. These stations have distributed more than 650,000 books to disadvantaged children who would not otherwise own them. In addition to providing a regular allotment for free books to these stations on a monthly basis, a matching book fund has been established to encourage the stations to purchase additional books. Many stations have ordered these books in Spanish, English, and other languages. The Educational Publishing Group, Inc., of Boston, Massachusetts, in association with WGBH

### **84.296 NATIVE HAWAIIAN COMMUNITY-BASED EDUCATION LEARNING CENTERS**

**FEDERAL AGENCY:** OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To support collaborative efforts between community-based Native Hawaiian organizations and community colleges, to develop, establish, and operate a minimum of three community-based education learning centers.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds are used to develop and operate community-based education learning centers. To meet the needs of families and communities

through coordination of new and existing preschool, after-school, and vocational and adult education programs. No more than seven percent of grant funds may be used for administrative purposes.

**Applicant Eligibility:** Community-based Native Hawaiian organizations and community colleges in Hawaii.

**INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Office of Elementary and Secondary Education, School Improvement Programs, 600 Maryland Ave., SW., Washington, DC 20202. Telephone: (202) 260-2502.

**EXAMPLES OF FUNDED PROJECTS:** Centers have been established at the following sites: (1) Halau Na'auao at Hawaii Community College; (2) NHCBELC at Kaua'i Community College; (3) NHCBELC at Leeward Community College; and (4) Mohala Ka'ike Institute at Maui Community College. The educational programs offered at each site include culturally relevant learning experience for adult, preschool, and elementary and secondary students.

### **84.297 NATIVE HAWAIIAN CURRICULUM DEVELOPMENT, TEACHER TRAINING AND RECRUITMENT**

**FEDERAL AGENCY:** OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To fund native Hawaiian programs designed to conduct instruction in the Native Hawaiian language for curriculum development or teacher training.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Projects should address one or more of the following: 1) To develop curricula to address the needs of Native Hawaiian students in programs of instruction conducted in the Native Hawaiian language and/or mathematics and science curricula incorporating the relevant applications of Native Hawaiian culture and traditions; 2) to develop and implement pre-teacher training programs in order to ensure that student teachers are better prepared to meet the needs of Native Hawaiian students; 3) to develop and implement teacher recruitment programs to enhance recruitment in Native Hawaiian communities to increase the number of teachers that are of Native Hawaiian ancestry; and 4) to develop and implement in service teacher training programs to ensure that teachers are better prepared to meet the unique educational needs of Native Hawaiian students. Priority must be given to projects that focus on the needs of at-risk youth or that employ a program of instruction conducted in the Native Hawaiian language. No more than seven percent of grant funds may be used for administrative purposes.

**Applicant Eligibility:** Native Hawaiian education organizations or education entities with experience in developing or operating native Hawaiian programs or programs of instruction conducted in the native Hawaiian

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language.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Office of Elementary and Secondary Education, School Improvement Programs, 600 Maryland Ave., SW., Washington, DC 20202. Contact: Beth Baggett. Telephone: (202) 260-2502.

**EXAMPLES OF FUNDED PROJECTS:** No examples.

### **84.298 INNOVATIVE EDUCATION PROGRAM STRATEGIES**

**FEDERAL AGENCY:** OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To assist State and local educational agencies in the reform of elementary and secondary education.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Funds may be used for: (1) Acquiring and use of instructional materials; (2) technology and training in technology related to the implementation of school-based reform; (3) promising educational reform projects; (4) improving educational services for disadvantaged students; (5) reforming activities associated with Goals 2000; (6) education needs of gifted and talented children; (7) combating illiteracy among children and adults; and (8) implementing school improvement and parental involvement activities authorized under ESEA, Title I.

**Applicant Eligibility:** All States including the District of Columbia, Puerto Rico, and the Insular areas.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** School Improvement Programs, Office of Elementary and Secondary Education, Department of Education, 600 Independence Avenue, SW., Washington, DC 20202-6140, Telephone: (202) 260-2551.

**EXAMPLES OF FUNDED PROJECTS:** Not available.

### **84.316 NATIVE HAWAIIAN HIGHER EDUCATION PROGRAM**

**FEDERAL AGENCY:** OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To make direct grants to Native Hawaiian education organizations or education entities with experience in developing or operating Native Hawaiian programs or programs of instruction conducted in the Native Hawaiian language to enable them to provide a program of baccalaureate and post-baccalaureate fellowship assistance to Native Hawaiian students.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grants must be used to provide full or partial fellowship support for Native Hawaiian students enrolled at two or four year degree granting institutions of higher education. Awards are based

on: (1) Academic potential and (2) financial need. Full or partial fellowship support for Native Hawaiian students enrolled at post-baccalaureate degree granting institutions of higher education will receive grants. Priority will be given to providing fellowship support for professions that are underrepresented in the Native Hawaiians community. Funds may also be used for: (1) Counseling and support services for students receiving fellowship assistance; (2) college preparation and guidance counseling at the secondary level for students who may be eligible for fellowship support; (3) research, evaluation; and (4) implementation of faculty development programs for the improvement and matriculation of Native Hawaiian students. No more than seven percent for grant funds may be used for administrative purposes.

**Applicant Eligibility:** Native Hawaiian education organizations or entities with experience in developing or operating Native Hawaiian programs may apply

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Higher Education Programs, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW., Washington, DC 20202. Telephone: (202) 260-3439.

**EXAMPLES OF FUNDED PROJECTS:** Not available.

### **84.320 ALASKA NATIVE EDUCATIONAL PLANNING, CURRICULUM DEVELOPMENT, TEACHER TRAINING, AND RECRUITMENT PROGRAM (ANE)**

**FEDERAL AGENCY:** OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To provide funds to Alaska Native organizations or educational entities to operate Alaska Native programs or programs of instruction conducted in the Alaska Native language, for the following purposes: (1) The consolidation of existing educational plans, recommendations, and research into implementation methods and strategies to improve schooling for Alaska Natives; (2) the adoption and implementation of specific educational plans; (3) the development of curricula to address the needs of elementary and secondary Alaska Natives students, including innovative programs, pilots, and demonstration programs that reflect cultural diversity or contributions of Alaska Native people; (4) the development and implementation of pre-teacher training programs to ensure student teachers are prepared to address the cultural diversity and unique needs of Alaska Native students; (5) the development and implementations of teacher recruitment programs; and (6) the development and implementation of in service teacher training programs to ensure teachers are better prepared to address the uniqueness of Alaska Native students.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Not more than 10

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percent of grant funds may be used for administrative costs.

**Applicant Eligibility:** Alaska Native organizations or educational entities with experience in developing or operating Alaska Native programs or programs of instruction conducted in Alaska Native languages, or to partnerships involving Alaska Native organizations.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Elementary and Secondary Education, School Improvement Programs, 600 Independence Avenue, SW., Washington, DC Telephone: (202) 260-1431.

**EXAMPLES OF FUNDED PROJECTS:** None.

### **84.322 ALASKA NATIVE STUDENT ENRICHMENT PROGRAM**

(Alaska Native Education)

**FEDERAL AGENCY:** OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To provide funds to Alaska Native organizations or educational entities with experience in developing or operating Alaska Native programs, for enrichment programs for Alaska Native students in the areas of science and mathematic education. The program will be designed to: (1) Prepare qualified students from rural areas who are preparing to enter village high schools to excel in sciences and mathematics; and (2) provide support services to the families of such students to benefit from the program.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Not more than 10 percent of grant funds may be used for administrative cost.

**Applicant Eligibility:** Alaska Native organizations or educational entities with experience in developing or operating Alaska Native programs or programs of instruction conducted in Alaska Native languages, or to partnerships involving Alaska Native organizations.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Elementary and Secondary Education, School Improvement Programs, 600 Independence Avenue, SW., Washington, DC 20202. Telephone (202)260- 1431.

### **84.341 COMMUNITY TECHNOLOGY CENTERS FEDERAL AGENCY: ASSISTANT SECRETARY FOR VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** To promote the use of technology in education through the development of model programs that demonstrate the educational effectiveness of technology in urban and rural areas and economically distressed communities.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Projects funded under this program must be for the purpose of meeting the needs of residents of rural and urban areas and economically distressed communities through development of community technology centers. Application priorities, if any, will be published in a notice in the Federal Register if awards are to be made during a fiscal year. Contact the Headquarters Office listed below for information on priorities.

**Applicant Eligibility:** State educational agencies, local educational agencies, institutions of higher education, other public and private nonprofit or for-profit agencies and organizations, or groups of such agencies, institutions or organizations are eligible to receive grants under this program.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Division of Adult Education and Literacy, Office of Vocational and Adult Education, Department of Education, 400 Maryland Ave., SW., Washington, DC 20202-7240. Telephone: (202) 205-8270.

**EXAMPLES OF FUNDED PROJECTS:** Projects funded under this program will be used to support community centers that provide access to technology to residents of their local communities.

### **84.352 SCHOOL RENOVATION GRANTS**

**FEDERAL AGENCY: OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** To assist local educational agencies (LEAs) with urgent school repair and renovation, activities authorized under Part B of the Individuals with Disabilities Education Act (IDEA), and technology activities related to school renovation.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** State educational agencies must use 75 percent of their allocations for competitive grants to LEAs for specified urgent school repairs. States must ensure that high poverty LEAs with 30 percent or greater poverty or with at least 10,000 poor children receive, in the aggregate, an amount proportional to the aggregate amount those LEAs receive under Title I, Part A. In addition, States must ensure that rural LEAs receive, in the aggregate, an amount proportional to the aggregate amount they receive under Title I, Part A. States distribute the remaining 25 percent to LEAs on a competitive basis for activities authorized under Part B of the IDEA, technology activities related to school renovation, or some combination thereof, at the discretion of the State. LEAs that receive direct grants from the Department based on their eligibility for Impact Aid may use the funds to construct new school facilities as well as for school renovation and repair.

**Applicant Eligibility:** States and outlying areas are eligible for grants. LEAs that are eligible for Impact Aid and at least 50 percent of children in average daily attendance reside on

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Indian lands are eligible for direct grants.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** State School Repair and Renovation Grants - Office of Elementary and Secondary Education, Department of Education, FB-6 Room 3E121, Washington, DC 20202. E-mail address: Sylvia.Wright@ed.gov. Telephone: (202) 260-3778; Native American School Repair and Renovation Grants - Office of Elementary and Secondary Education, Department of Education, FB-6, Room 3E105, Washington, DC 20202. E-mail address: Catherine.Schagh@ed.gov. Telephone: (202) 260-3858.

**EXAMPLES OF FUNDED PROJECTS:** This is a new program; examples are not yet available.

### **84.356 ALASKA NATIVE EDUCATIONAL PROGRAMS**

**FEDERAL AGENCY: OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** To support projects that recognize and address the unique educational needs of Alaska Native students, parents and teachers.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Successful applicants must use these funds to carry out the activities described in their approved applications. An eligible entity may use not more than 10 percent of the funds received under this subpart for the administrative costs of carrying out its responsibilities under this Subpart.

**Applicant Eligibility:** Alaska Native educational organizations or educational entities with experience in developing or operating Alaska Native Programs, or partnerships including Alaska Native organizations.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** School Improvement Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202. Telephone: (202) 260-1541. E-mail: lynn.thomas@ed.gov.

**EXAMPLES OF FUNDED PROJECTS:** This is a new program; examples are not yet available.

### **84.358 RURAL EDUCATION ACHIEVEMENT PROGRAM (REAP)**

**FEDERAL AGENCY: OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION**

**OBJECTIVES:** To provide financial assistance to rural districts to carry out activities to help improve the quality of teaching and learning in their schools.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Grantees under the

Small, Rural School Achievement Program component may use program funds to carry out activities authorized under: Title I-Part A (Grants to LEAs); Title II- Part A (Improving Teacher Quality State Grants); Title II-Part D (Educational Technology State Grants); Title III (Language Instruction for Limited English Proficient and Immigrant Students); Title IV-Part A (Safe and Drug-Free Schools and Communities State Grants); Title IV-Part B (21st Century Community Learning Centers); and Title V-Part A (State Grants for Innovative Programs). Grantees under the Rural and Low-Income School Program component may use program funds for: teacher recruitment and retention; teacher professional development; educational technology; parental involvement activities; activities authorized under ESEA Title IV-Part A (Safe and Drug-Free Schools and Communities); activities authorized under ESEA Title I-Part A (Grants to LEAs); and activities authorized under ESEA Title III (Language Instruction for Limited English Proficient and Immigrant Students). Rural Education program funds must be used to supplement not supplant other funding provided for programs activities.

**Applicant Eligibility:** For the Small, Rural School Achievement Program eligible recipients are local educational agencies (LEAs) in which (1) the total number of students in average daily attendance at all of the schools served by the LEA is less than 600 or where each school in the LEA is located in a county with a total population density of less than 10 persons per square mile; and (2) all of the schools served by the LEA are designated with a School Locale Code of 7 or 8 under the U.S. Department of Education's National Center for Education Statistics (NCES) locator system or the LEA is located in an area of the State defined as a rural by a State governmental agency. For the Rural and Low-Income School Program eligible recipients are State educational agencies. States then must distribute funds to local educational agencies (LEAs) on a formula or competitive basis. An LEA is eligible to receive a grant under this program only if (1) it is not eligible for funds under the Small, Rural School Achievement Program; (2) at least 20% of the school children ages 5-17 in the LEA come from families with incomes below the poverty line; and (3) all of the schools served by the LEA are designated with a School Locale Code of 6, 7 or 8 under the U.S. Department of Education's National Center for Education Statistics (NCES) locator system. If an SEA chooses not to participate in the program, the Secretary uses the State's allocation to make direct grants to eligible LEAs in the State.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Office of School Support and Technology Programs, Office of Elementary and Secondary Education, U.S. Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202. Telephone: (202) 401-0039. E-mail: charles.lovett@ed.gov.

**EXAMPLES OF FUNDED PROJECTS:** None.

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### 84.360 DROPOUT PREVENTION PROGRAMS

**FEDERAL AGENCY:** OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To support programs that provide assistance to help schools implement effective school dropout prevention and reentry programs.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant recipients must implement proven strategies for reducing the number of students who drop out before completing secondary school and for assisting youth to reenter school after they have dropped out. These strategies may include: 1. identify students at risk of dropping out; 2. providing at-risk students with services designed to prevent them from dropping out; 3. identifying and encouraging youth who already have dropped out to reenter school; and 4. implementing other comprehensive approaches, such as breaking large schools into smaller learning communities. Specifically authorized activities include: professional development; reduction in pupil-teacher ratios; counseling and mentoring for at-risk students; and implementing comprehensive school reform models.

**Applicant Eligibility:** State educational agencies (SEAs) and local educational agencies (LEAs) serving communities with dropout rates above the State's average annual dropout rate will be eligible to apply for funding.

**INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Academic Improvement Demonstration Programs, Office of Elementary and Secondary Education, FOB-6, Room 2W114, 400 Maryland Avenue, S.W., Washington, DC 20202-6254. Internet: Christine.Jackson@ed.gov. Telephone: (202) 260-2516.

**EXAMPLES OF FUNDED PROJECTS:** This is a new program. No examples are available.

**Applicant Eligibility:** An eligible entity is Native Hawaiian educational organizations; Native Hawaiian community-based organizations; public and private nonprofit organizations, agencies, and institutions with experience in developing or operating Native Hawaiian programs or programs of instruction in the Native Hawaiian language; and consortia of the organizations, agencies, and institutions described in this paragraph.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** School Improvement Programs, Office of Elementary and Secondary Education, U.S. Department of Education, 400 Maryland Ave., SW, Washington DC 20202. Telephone: (202) 260-1541. E-mail: lynn.thomas@ed.gov.

**EXAMPLES OF FUNDED PROJECTS:** This is a new program. No examples are available.

### 84.362 NATIVE HAWAIIAN EDUCATION

**FEDERAL AGENCY:** OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, DEPARTMENT OF EDUCATION

**OBJECTIVES:** To provide supplementary education services to Native Hawaiians.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Program funds may be used to carry a variety of authorized activities including, but not limited to: the development and maintenance of a statewide early childhood education system; operation of family-based education centers; literacy and early reading activities; educational activities for Native Hawaiian students with disabilities; professional development; and the operation of community-based learning centers. Not more than 5 percent of the funds received under this program may be used for administrative costs.

## DEPARTMENT OF ENERGY

### 81.042 WEATHERIZATION ASSISTANCE FOR LOW-INCOME PERSONS

**FEDERAL AGENCY:** OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY, DEPARTMENT OF ENERGY **OBJECTIVES:** To insulate the dwellings of low-income persons, particularly the elderly and handicapped low-income, in order to conserve needed energy and to aid those persons least able to afford higher utility costs.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Improvement of the thermal efficiency of dwellings by the installation of weatherization materials such as attic insulation, caulking, weatherstripping and storm windows, furnace efficiency modifications certain mechanical measures to heating and cooling systems, and replacement furnaces and boilers. States may average expenditures per dwelling unit for materials, program support and labor costs not to exceed \$2002 adjusted annually for inflation. Up to 10 percent of each grant may be spent by a State and its subgrantees for administrative expenses (a State may not use more than 5 percent of the total State grant for such purposes).

**Applicant Eligibility:** States, including the District of Columbia, and in certain instances, Native American tribal organizations. In the event a State does not apply, a unit of general purpose local government, or community action agencies and/or other nonprofit agencies within that State becomes eligible to apply.

#### INFORMATION CONTACTS:

**Regional or Local Office:** Boston: Telephone: (617) 565-9710. Philadelphia: Telephone: (215) 656-6954. Atlanta: Telephone: (404) 347-2888. Chicago: (312) 886-8575. Denver: Telephone: (303) 275-4801. Seattle: Telephone: (206) 553-1132.

**Headquarters Office:** Director, Office of State and Community Programs, Mail Stop EE-44, Office of Energy Efficiency and Renewable Energy, Department of Energy, Forrestal Building, Washington, DC 20585. Telephone: (202) 586-4074.

**EXAMPLES OF FUNDED PROJECTS:** State funded local action agencies install energy conservation measures in the homes of low-income persons.

### 81.106 TRANSPORT OF TRANSURANIC WASTES TO THE WASTE ISOLATION PILOT PLANT: STATES AND TRIBAL CONCERNS, PROPOSED SOLUTIONS

**FEDERAL AGENCY:** OFFICE OF ENVIRONMENTAL MANAGEMENT, DEPARTMENT OF ENERGY

**OBJECTIVES:** To enlist cooperation among the tribes, the southern, western, and Midwestern States on the Waste Isolation Pilot Plant (WIPP) shipping corridors, and DOE in developing plans and procedures for the safe and uneventful transportation of transuranic waste from current temporary storage facilities to the WIPP.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** The participating States, tribes, and the DOE will work to develop, coordinate, and implement activities in accident prevention, emergency preparedness, and public information programs to help ensure the safe transportation of transuranic waste to the WIPP site and safe disposal operations. Financial assistance is provided to support cooperation among the tribes, the southern, western, and Midwestern States on the WIPP corridors, and DOE in developing plans and procedures for the safe uneventful transportation of transuranic waste from current temporary storage facilities to WIPP. Restrictions on the use of funds depends on the specific collaborative agreement. Applicants must meet the guidelines established by DOE.

**Applicant Eligibility:** Eligibility is restricted by action of the Western Governors' Association, Southern Governors' Association, the State of New Mexico and tribal governments along WIPP transportation routes. Southern governors authorized the Southern States Energy Board to act as their agent to negotiate and administer a cooperative agreement between DOE, ten southern, and three Midwestern State governments. The Western Governors' Association is the negotiating body for nine western States on the WIPP transportation route. The State of New Mexico has negotiated a separate agreement. Each tribal government on the WIPP transportation route will elected to represent themselves. Participation in this program is restricted to the above list of organizations and to the 10 affected tribal governments.

#### INFORMATION CONTACTS:

**Regional or Local Office:** DOE Carlsbad Area Office, Department of Energy, P.O. Box 3090, Carlsbad, NM 88221-3090. Contact: Telephone: (505) 234-7335.

**Headquarters Office:** Office of Western Operations, Department of Energy, Washington, DC 20585. Contact: Telephone: (301) 903-8466.

**EXAMPLES OF FUNDED PROJECTS:** Details on funded projects for transportation and emergency response planning activities are contained in State and tribal work plans submitted to the Carlsbad Area Office.

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### ENVIRONMENTAL PROTECTION AGENCY

#### **66.418 CONSTRUCTION GRANTS FOR WASTEWATER TREATMENT WORKS**

**FEDERAL AGENCY:** OFFICE OF WATER,  
ENVIRONMENTAL PROTECTION AGENCY

**OBJECTIVES:** To assist and serve as an incentive in construction of municipal wastewater treatment works which are required to meet State and/or Federal water quality standards and improve the water quality in the waters of the United States.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** For construction of municipal wastewater treatment works including privately owned individual treatment systems, if a municipality applies on behalf of a number of such systems. Such works may serve all or portions of individual communities, metropolitan areas, or regions. A project may include, but may not be limited to, treatment of industrial wastes. The grantee must require pretreatment of any industrial wastes which would otherwise be detrimental to efficient operation and maintenance, or the grantee must prevent the entry of such waste into the treatment plant. The grantee must initiate an acceptable system of user charges.

**Applicant Eligibility:** Any municipality, intermunicipal agency, State, or interstate agency, or Federally-recognized Indian tribal government, having jurisdiction over waste disposal. This program is available to each State, territory and possession of the U.S., including the District of Columbia. Title VI funds that are allotted as Title II to the District of Columbia (DC), American Samoa, Guam, Northern Marianas Islands and Virgin Islands can be utilized to award construction grants in the same territories respectively, as per the allotment formula of the Clean Water Act of 1987, as amended.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Information regarding appropriate waste treatment requirements and State and Federal assistance may be obtained from the State water pollution control agency. Individuals may also communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Also, contact your State agency concerning possible assistance under a State revolving fund (Title VI) of the 1987 Amendments of the Clean Water Act.

**Headquarters Office:** Gajindar Singh, Municipal Assistance Branch, MC-4204M, Municipal Support Division, Office of Wastewater Management, Environmental Protection Agency, 1200 Pennsylvania Ave., NW., Washington, DC 20460. Telephone: (202) 564-0634. Fax: (202) 501-2396.

#### **66.458 CAPITALIZATION GRANTS FOR STATE REVOLVING FUNDS State Revolving Fund)**

**FEDERAL AGENCY:** OFFICE OF WATER,  
ENVIRONMENTAL PROTECTION AGENCY

**OBJECTIVES:** To create State Revolving Funds (SRFs) through a program of capitalization grants to States which will provide a long term source of State financing for construction of wastewater treatment facilities and implementation of other water quality management activities (see 66.418).

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Capitalization grants are available to each State for the purpose of establishing a clean water State revolving fund for providing assistance for: (1) construction of publicly owned wastewater treatment works; (2) implementing nonpoint source management activities included in State Plans developed pursuant to Section 319; and (3) developing and implementing an estuary conservation and management plan under Section 320. The capitalization grant is deposited in the SRF, which is used to provide loans and other types of financial assistance, but not grants, to local communities and intermunicipal and interstate agencies. Assistance may be provided to persons for implementing nonpoint source and estuary management activities if provided for in State Plans developed under Sections 319 and 320. Up to 4 percent of the grant amounts may be used for the cost of administering the SRF.

**Applicant Eligibility:** States, territories and possessions of the U.S., including the District of Columbia may use allotments under Title II as grants for the construction of municipal wastewater facilities. Indian tribes are eligible to receive capitalization grants.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** EPA Regional Offices listed in your local telephone directory.

**Headquarters Office:** State Revolving Fund Branch, Municipal Support Division, (4204), Office of Wastewater Management, Environmental Protection Agency, Washington, DC 20460. Telephone: (202)260-7366.

#### **66.461 WETLANDS PROTECTION DEVELOPMENT GRANTS**

**FEDERAL AGENCY:** OFFICE OF WATER,  
ENVIRONMENTAL PROTECTION AGENCY

**OBJECTIVES:** To assist States and Indian tribes in developing new or enhancing existing wetlands protection programs.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** These grants are intended to encourage wetlands protection program development or to enhance/augment existing effective programs. The projects that will be funded under this program should support the initial development of a wetlands protection program or support enhancement/refinement of a existing program. It is anticipated that funding will fall into two broad categories of projects: (1) encouragement of



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developing new programs or (2) augmentation of ongoing, effective wetlands programs. Grant funds cannot be used for operational support of wetlands protection programs. Projects must clearly demonstrate a direct link to increasing a State's or tribe's ability to protect its wetlands resources.

**Applicant Eligibility:** State or tribal agencies; interstate/inter-tribal entities and associations; and local governmental entities are eligible to receive funding.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** EPA, Region I, Boston, MA 02203. Telephone: (617) 565-3227. Wetlands Protection Section, EPA, Region II, New York, NY 10278. Telephone: (212) 637-3801. Environmental Services Division, EPA, Region III, Philadelphia, PA 19107. Telephone: (215) 566-2714. Wetlands Protection Section, EPA, Region IV, Atlanta, GA 30365. Telephone: (404) 562-9414. EPA, Region V, Chicago, IL 60604. Telephone: (312) 886-6115. EPA, Region VI, Dallas, TX 75202. Telephone: (214) 655-8334. Water Resources Protection Branch, EPA, Region VII, Kansas City, KS 66101. Telephone: (913) 551-7930. EPA, Region VIII, Denver, CO 80202. Telephone: (303) 312-6223. Water Management Division, EPA, Region IX, San Francisco, CA 94105. Telephone: (415) 974-1974. Aquatic Resources Unit, EPA, Region X, Seattle, WA 98101. Telephone: (206) 553-6221.

**Headquarters Office:** Wetlands Division, Office of Wetlands, Oceans and Watersheds (4502F), EPA, 401 M Street, SW., Washington, DC 20460. Telephone: (202) 260-5084.

**EXAMPLES OF FUNDED PROJECTS:** None.

### **66.468 CAPITALIZATION GRANTS FOR DRINKING WATER STATE REVOLVING FUND (Drinking Water State Revolving Fund)** **FEDERAL AGENCY: OFFICE OF GROUND WATER AND DRINKING WATER, ENVIRONMENTAL PROTECTION AGENCY**

**OBJECTIVES:** To create Drinking Water State Revolving Funds (DWSRFs) through a program of capitalization grants to States which will provide a long-term source of State financing for the costs of infrastructure needed to achieve or maintain compliance with SDWA requirements, and to protect public health. State may also use the Federal funds to establish new programs that emphasize preventing contamination problems through source water protection and enhanced water systems management.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Capitalization grants are available to each State for the purpose of establishing a DWSRF for providing assistance for construction of drinking water and systems. The capitalization grant is deposited in the DWSRF, which is used to provide loans and other types of financial assistance to eligible public water systems. A State may elect to use up to 31 percent of the capitalization grant for other eligible activities, including 4 percent for

administration. States may also elect to transfer up to one-third of the DWSRF capitalization grant to the Clean Water State Revolving Fund (CWSRF) or an equivalent amount from the CWSRF to the DWSRF one year after establishing their program.

**Applicant Eligibility:** States and Puerto Rico are eligible to receive capitalization grants. The District of Columbia, Territories, and Indian tribes are eligible for direct grants from the program.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See EPA Regional Offices listed in your local telephone directory.

**Headquarters Office:** Implementation and Assistance Division, Office of Groundwater and Drinking Water, U.S. Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 260-5526.

**EXAMPLES OF FUNDED PROJECTS:** Drinking Water State Revolving Fund.

### **66.471 STATE GRANTS TO REIMBURSE OPERATORS OF SMALL WATER SYSTEMS FOR TRAINING AND CERTIFICATION COSTS (Operator Certification Expense Reimbursement Grants)**

**FEDERAL AGENCY: OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY**

**OBJECTIVES:** The grants provide funds to States to reimburse the costs of training, including an appropriate per diem for unsalaried operators, and certification for persons operating community and nontransient noncommunity public water systems serving 3,300 persons or fewer that are required to undergo training pursuant to EPA's operator certification guidelines.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Grants may be used by States to reimburse operators of community and nontransient noncommunity water systems serving 3,300 persons or fewer for the costs of training and certification needed to meet EPA guidelines. Unsalaries operators may also be reimbursed for per diem costs. States are allowed to use a portion of their grant to administer the program. If a State has reimbursed all such costs, the State may, after notice to the Administrator of EPA, use any remaining funds from the grant for any of the other purposes authorized for Drinking Water State Revolving Fund (DWSRF) capitalization grants under section 1452 of the 1996 SDWA Amendments.

**Applicant Eligibility:** States, Puerto Rico and Territories are eligible to receive grants.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See EPA Regional Offices listed in your local telephone directory.

**Headquarters Office:** Jenny Jacobs, Protection Branch (4606), Drinking Water Protection Division, Office of Groundwater and Drinking Water, U.S. Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 260-2939.

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**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **66.473 DIRECT IMPLEMENTATION TRIBAL COOPERATIVE AGREEMENTS (DITCA)**

**FEDERAL AGENCY:** OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

**OBJECTIVES:** Direct Implementation Tribal Cooperative Agreements provide financial assistance to allow Tribes and Intertribal Consortia to help the Environmental Protection Agency (EPA) implement Federal environmental programs for Indian Tribes, notwithstanding the Federal Grant and Cooperative Agreement Act. The program promotes Tribal involvement in EPA direct implementation of Federal environmental programs for Tribes depending upon each Tribe's interest and ability. It will help Tribes build the capacity to carry out EPA-approved Tribal programs in the future if they wish to do so. EPA retains final decision-making authority and ultimate responsibility for the environmental programs including all regulatory activities.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** DITCAs assist Tribes in helping EPA directly implement Federal environmental programs required or authorized by law in the absence of an acceptable Tribal program, and may only be awarded to Tribes to assist the Administrator in implementing Federal environmental programs for Indian Tribes required or authorized by law.

**Applicant Eligibility:** Tribes and Intertribal Consortia are eligible. An Intertribal Consortium is eligible to receive cooperative agreements under this authority only if the Consortium demonstrates that all members of the Consortium are Federally recognized and authorize the Consortium to apply for and receive a cooperative agreement on their behalf. (See Guidelines for Direct Implementation Tribal Cooperative Agreements (DITCAs) for fiscal year 2002.)

**INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Grants Administration Division, EPA. Telephone: (202) 564-5084. Anthony Hanson, American Indian Environmental Office. Telephone: (202)564-0286.

**EXAMPLES OF FUNDED PROJECTS:** None.

### **66.604 ENVIRONMENTAL JUSTICE GRANTS TO SMALL COMMUNITY GROUPS (EJGSC)**

**FEDERAL AGENCY:** OFFICE OF ENVIRONMENTAL JUSTICE, ENVIRONMENTAL PROTECTION AGENCY

**OBJECTIVES:** To provide financial assistance to grassroots community-based groups to support projects to design, demonstrate or disseminate practices, methods or techniques related to environmental justice. Specifically, EPA will grant funding assistance to be used for: 1. environmental justice education and awareness programs; 2. environmental Justice Programs (for example, river monitoring and pollution prevention programs); 3. technical assistance in gathering and

interpreting existing environmental justice data; and 4. technical assistance to access available public information.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds shall be used to support projects, programs or activities that promote environmental justice. Projects may include: 1. design, demonstration, or dissemination of environmental justice curricula, including development of education tools and materials; 2. design and demonstration of field methods, practices, and techniques, including assessment of environmental and ecological conditions and analysis of environmental and public health problems; 3. identification, assessment or improvement of a specific local environmental justice issue or a specific environmental problem; 4. provision of environmental justice training or related education for teachers, faculty, or related personnel in a specific geographic area or region. Priority will be given to community-based/grassroots organizations, tribes, and organizations whose projects will help improve the environmental quality of affected communities by a) developing an environmental justice project, activity, method, or technique that has wide application, b) enhancing the community's skills in addressing environmental justice issues and problems, and c) establishing or expanding environmental and public health information systems for local communities. No funds made available for this program shall be used for the acquisition of real property (including building) or the construction or substantial modification of any building. Grant funds also are not available for use in lobbying or underwriting legal actions, including the preparation of court testimony and hiring of expert witnesses.

**Applicant Eligibility:** Community-based grassroots organizations, other incorporated nonprofit organizations and federally recognized Tribal Governments are eligible. Individuals may have their organizations, institutions, government or association apply. Organizations must be incorporated to receive funds.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Contact EPA Regional Office listed in your local telephone directory.

**Headquarters Office:** Office of Environmental Justice, (2201A), Environmental Protection Agency, 401 M Street, SW., Washington, DC 20460. Telephone: (202) 564-2515.

**Environmental Justice Hotline: 1-800-962-6215.**

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **66.709 CAPACITY BUILDING GRANTS AND COOPERATIVE AGREEMENTS FOR STATES AND TRIBES**

**FEDERAL AGENCY:** OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE, ENVIRONMENTAL PROTECTION AGENCY

**OBJECTIVES:** To build and improve the capacity of States, multi-jurisdictional State organizations and federally recognized tribes by providing assistance agreements to foster

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environmental enforcement and compliance assurance activities and to improve compliance with environmental laws. Such capacity building efforts may include economic, social science, statistical research, development, studies, surveys, demonstrations, investigations, public education, training and fellowships to the extent authorized under the Acts listed above.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The Office of Enforcement and Compliance Assurance identifies special focus areas each funding cycle (approximately every 2 years). Previous years have included calls for proposals for innovative enforcement and compliance assurance projects including performance measurement, data quality, and public access to information

**Applicant Eligibility:** State agencies, U.S. territories, multi-jurisdictional State organizations, and federally recognized tribes, including the District of Columbia, with enforcement and compliance assurance responsibilities or responsibilities that support enforcement and compliance assurance including but not limited to data management or research.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See EPA Regional Offices listed in Appendix IV of the Catalog.

**Headquarters Office:** The Office of Compliance, Environmental Protection Agency, Ariel Rios Bldg., 1200 Pennsylvania Ave., NW., Washington, DC 20460. Telephone: (202)564-2280.

**EXAMPLES OF FUNDED PROJECTS:** The focus area within enforcement and compliance assurance changes from year to year. The focus area for a given year is announced in a solicitation (Notice of Availability of Funds) which is distributed by EPA Regions and posted on the OECA web-site above. Previous focus areas included performance measurement, data quality, and public access to information.

### **66.715 CHILDHOOD BLOOD-LEAD SCREENING AND LEAD AWARENESS (EDUCATIONAL) OUTREACH FOR INDIAN TRIBES (Tribal Lead Grants)**

**FEDERAL AGENCY: OFFICE OF PREVENTION,  
PESTICIDES AND TOXIC SUBSTANCES,  
ENVIRONMENTAL PROTECTION AGENCY**

**OBJECTIVES:** To encourage Indian Tribes to recognize the risks to children associated with lead exposure and address them by conducting blood-lead screening for tribal children and providing lead awareness activities to Indian Tribes.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Assistance may be available for activities which relate to blood-lead screening of tribal children and outreach efforts to educate Indian families about the dangers of exposure to lead-based paint hazards among children.

**Applicant Eligibility:** Eligible recipients are Federally

recognized Indian Tribes or Tribal consortiums (an association or partnership with one or more Federally recognized Indian Tribes) only.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See EPA Regional Offices listed in Appendix IV of the Catalog.

**Headquarters Office:** For general information: Director, National Program Chemicals Division, Office of Pollution Prevention and Toxics (7404), Environmental Protection Agency, Ariel Rios Bldg., 1200 Pennsylvania Ave., NW., Washington, DC 20460. Telephone: (202) 260-1866. Information is also available on the EPA Home web page at <http://www.epa.gov/lead>.

**EXAMPLES OF FUNDED PROJECTS:** Not Applicable.

### **66.806 SUPERFUND TECHNICAL ASSISTANCE GRANTS FOR CITIZEN GROUPS AT PRIORITY SITES (SUPERFUND TECHNICAL ASSISTANCE GRANTS)**

**FEDERAL AGENCY: OFFICE OF SOLID WASTE  
AND EMERGENCY RESPONSE, ENVIRONMENTAL  
PROTECTION AGENCY**

**OBJECTIVES:** To provide resources under CERCLA, Section 117(e) for community groups to hire technical advisors who can assist them in interpreting technical information concerning the assessment of potential hazards and the selection and design of appropriate remedies at sites eligible for cleanup under the Superfund program.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** (a) Activities for which Federal funds may be used: Federal funds may be used at sites listed or proposed for listing on the NPL where cleanup is underway to obtain technical assistance in interpreting information with regard to the nature of the hazard, remedial investigation and feasibility study, record of decision, selection and construction of remedial action, operation and maintenance, or removal action. (b) Limitations on the use of Federal Funds: 1) Federal funds may not be used to develop new information, such as conducting independent testing and monitoring activities at a site. 2) Under CERCLA, as amended, grant funds also are not available for use in underwriting legal actions, including the preparation of court testimony and hiring of expert witnesses. 3) Grant funds are not available for any activities or expenditures for recipient group members' travel. 4) Grant funds may not be used for political activity and lobbying. 5) Grant funds may not be used for epidemiological or health studies, such as blood or urine testing. 6) Grant funds are not available for activities inconsistent with the cost principles stated in OMB Circular No. A-122.

**Applicant Eligibility:** EPA is authorized to make technical assistance grants (TAG) available to any qualified group of individuals which may be affected by a release or threatened release at any Superfund facility. "Affected" individuals are those who can demonstrate direct effects from the site, such as actual or potential health or economic injury. The

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recipient group must incorporate to receive funds. EPA is proposing to allow Tribes to apply.

### INFORMATION CONTACTS:

**Regional or Local Office:** Check your local telephone directory for a list of the Regions and their States.

**Headquarters Office:** Community Involvement and Outreach Center, Office of Emergency and Remedial Response, Mail code: 5204G, U.S. Environmental Protection Agency, Washington, DC 20460. Telephone: (703) 603-8889.

**EXAMPLES OF FUNDED PROJECTS:** Each project provides funds to local groups of citizens active at Superfund clean-up sites to hire independent technical advisors. The grants help citizens acquire technical advisors to help them understand proposed clean-up remedies, to better understand the technical problem at the site, and respond to EPA actions.

### 66.808 SOLID WASTE MANAGEMENT ASSISTANCE

#### FEDERAL AGENCY: OFFICE OF SOLID WASTE, ENVIRONMENTAL PROTECTION AGENCY

**OBJECTIVES:** To promote use of integrated solid waste management systems to solve municipal solid waste generation and management problems at the local, regional and national levels.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Available for the allowable direct cost expenditures incident to program performance plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policies. Projects can include the following types of activities: training, surveys, education materials and programs, studies, and demonstrations; assistance to such projects shall not include any element of construction, or any acquisition of land or interest in land, or any subsidy for the price of recovered resources. Financial assistance to States, local, regional, and interstate authorities for development and implementation of plans approved by the Administrator under subtitle D of the Solid Waste Disposal Act is not allowed.

**Applicant Eligibility:** These funds can be awarded to the following types of nonprofit entities: public authorities (Federal, State, interstate, intrastate and local), public agencies and institutions; private organizations and agencies, institutions and individuals; and Indian Tribes. Profit-making organizations are not eligible.

### INFORMATION CONTACTS:

**Regional or Local Office:** Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in your local telephone directory.

**Headquarters Office:** For information concerning grant applications and procedures, contact the Environmental Protection Agency, Grants Administration Division, 3903F, Washington, DC 20460. Telephone: (202) 260-9266.

**EXAMPLES OF FUNDED PROJECTS:** (1) National Solid Waste Information Clearinghouse: Provides information to the nation on solid waste management

alternatives. (2) Targeted Media Recycling Outreach: Funded television and radio commercials that received over \$80,000,000 in free air play. (3) Peer Match Program: Provides technical assistance to local government by matching local government experts with local governments requesting assistance. (4) Report on Scrap-Based Manufacturing: funded production of a report to assist communities in attracting scrap-based manufacturing.

### 66.811 BROWNFIELD PILOTS COOPERATIVE AGREEMENTS

#### FEDERAL AGENCY: OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

**OBJECTIVES:** Brownfield sites are abandoned, idled, or under-used industrial and commercial facilities where expansion or redevelopment is complicated by real or perceived environmental contamination. The objectives of the Brownfield Pilot Cooperative Agreement are to: (1) generally develop administrative, managerial, and technical models to assist States or political subdivisions thereof or Indian Tribes establish self-sustaining independent processes to assess and respond to environmental conditions inhibiting redevelopment of brownfield sites including development of creative financing solutions for brownfield problems; (2) specifically provide opportunities for creative two-year demonstrations of site assessment activities leading to actions to respond to environmental contamination and return "brownfield" sites to productive use; and (3) provide financial assistance for capitalization of revolving loan funds for follow up brownfield response actions.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** (1) Funds for assessment pilot activities must show direct links to site assessment, site identification, site investigation, planning and or site characterization at sites which have an actual, threatened, or suspected release of a hazardous substance, pollutant, or contaminant, and may be used to develop creative financing solutions (e.g. tax incentives, revolving loan funds) for brownfield response actions. (2) Pilot funds may only be used for activities associated with "removal actions" as that term is defined at Section 101(23) of CERCLA. Funds may not be used to pay for "remedial actions" as that term is defined at Section 101(24) of CERCLA. (3) Funds may not be used for actual development activities (e.g. construction of a new facility) or to pay for job training. (4) Funds for pilot activities may not be used at sites contaminated by petroleum products except to the extent they are believed to be co-mingled with a hazardous substance, pollutant or contaminant. (5) Funds may not be used to match any other Federal funds. (6) Funds awarded for capitalization of revolving loan programs must be used for follow up response actions at Brownfield assessment pilot sites that have completed or have substantially completed assessment activities, unless unique circumstances warrant an exception to this restriction.

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**Applicant Eligibility:** States and political subdivisions, Commonwealths, and U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** EPA Regional Brownfield Coordinators.

**Headquarters Office:** Director, Outreach and Special Projects Staff, OSWER, EPA, Washington, DC 20460. Telephone: (202) 260-1223.

**EXAMPLES OF FUNDED PROJECTS:** Developing inventory of Brownfield sites; development of site response and reuse program; coordination of response and reuse group meetings; establishment of community involvement, public participation, environmental justice components of a response plan; development of communication strategies for conveyance of technical information to communities; and investigation of alternative funding strategies.

### **66.812 HAZARDOUS WASTE MANAGEMENT GRANTS FOR TRIBES**

#### **FEDERAL AGENCY: OFFICE OF SOLID WASTE, ENVIRONMENTAL PROTECTION AGENCY**

**OBJECTIVES:** The purpose of the Hazardous Waste Management Grant Program for Tribes is to provide financial assistance to Tribal governments and Intertribal Consortia for the development and implementation of programs to manage hazardous waste; including building capacity to improve and maintain regulatory compliance, and developing solutions to address hazardous waste mismanagement impacting Tribal lands. Fiscal year 2002 funding priorities include support for Tribes potentially affected by regulated RCRA "Treatment Storage and Disposal Facilities" and "Large Quantity Generators."

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** This grant program will support Tribal programs to manage hazardous waste. These programs should improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. All hazardous waste management program activities that address the Resource Conservation and Recovery Act (RCRA) Subtitle C "cradle to grave" approach are eligible. Assistance will be provided under this program only for activities which the agency determines are appropriate to carry out the purposes of RCRA.

**Applicant Eligibility:** The following are eligible to receive financial assistance: (a) an Indian tribal government; and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. A consortium is a partnership between two

or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program and that meet the requirements of 40 CFR 35.504.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Designated Regional Tribal Hazardous Waste Management Program Contacts may be listed in local telephone directories or check headquarters office.

**Headquarters Office:** Luke Jones, Office of Solid Waste, Environmental Protection Agency, 1200 Pennsylvania Ave., NW., (5303W) Washington, DC 20460. Telephone: (703) 605-0728.

**EXAMPLES OF FUNDED PROJECTS:** The following activities are suggestive and do not limit the range of activities considered eligible under this grant program. These activities are eligible only when they are performed in support of developing and implementing a tribal program to manage hazardous waste: (1) Develop and implement codes, regulations, and ordinances to regulate hazardous waste management activities including generators, transporters, and treatment, storage and disposal facilities; and policies and guidance consistent with national standards to direct regulatory operations; (2) Develop and implement hazardous waste program enforcement policies and procedures to ensure regulatory compliance, including monitoring and inspection schemes; (3) Develop legal and administrative infrastructure adequate to implement and maintain regulatory and/or non-regulatory hazardous waste management programs. Includes support for Tribal Emergency Response Committees (TERC); (4) Identify and assess hazardous waste generation and ongoing management of concern to the community, including: (a) inventory active and inactive hazardous waste dump/disposal sites and assess contamination potential; (b) characterize hazardous waste stream, including illegal dumpsites; and (c) identify sensitive hazardous waste sites with potential or actual impacts on water bodies, soil, air, cultural/historic resources, threatened or endangered species, etc. (5) Develop and implement tribal integrated hazardous waste management plans (including, but not limited to, source reduction/recycling, household hazardous waste collection programs, pesticide container collection); (6) Implement used oil collection/re-use and other programs to reduce the mismanagement of hazardous waste in the community; (7) Develop outreach/education documents and other resource materials; (8) Plan and conduct hazardous waste management training; (9) Establish qualifications for hazardous waste management personnel relative to the tribe's needs and plan for program implementation; (10) Establish a communications plan for hazardous waste management program activities; (11) Identify multi-jurisdictional opportunities for hazardous waste management, including feasibility for intergovernmental (Tribal, Federal, State, local) cooperative efforts.

### **66.926 INDIAN ENVIRONMENTAL GENERAL**

## Federal Funding Sources For Rural Areas

### **ASSISTANCE PROGRAM (General Assistance Program (GAP) for Tribes)**

**FEDERAL AGENCY:** AMERICAN INDIAN ENVIRONMENTAL OFFICE, ENVIRONMENTAL PROTECTION AGENCY

**OBJECTIVES:** To provide general assistance grants (GAP) to Indian tribal governments and intertribal consortia to build capacity to administer environmental regulatory programs on Indian lands; and provide technical assistance from EPA to Indian tribal governments and intertribal consortia in the development of multimedia programs to address environmental issues on Indian lands.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Activities eligible for funding under this program are those for planning, developing, and establishing capability to implement environmental protection programs including development of solid and hazardous waste programs. General assistance agreements offer the opportunity for a tribe to develop an integrated environmental program, develop the capability to manage specific programs and establish a core program for environmental protection. These assistance agreements provide the opportunity for the tribes to define and develop administrative and legal infrastructures; to conduct assessments, monitoring, planning, and other actions; and to undertake additional activities within a simplified administrative framework. The primary purpose of these assistance agreements is to support the development of elements of a core environmental program, such as: providing for tribal capacity-building to assure an environmental presence for identifying programs and projects, including developing proposals for environmental program grants and managing environmental work; fostering compliance with Federal environmental statutes by developing appropriate tribal environmental programs, ordinances and services; and establishing a communications capability to work with Federal, State, local and other tribal environmental officials. Restrictions: The principal focus of this program is on the development of general tribal environmental capability. Assistance will be provided under this program only for activities which the agency determines are appropriate to carry out the purposes of the Act. No single grant awarded under this program may be for an amount exceeding ten percent of the total annual funds appropriated under Section (h) of the Act. As with many of EPA's grants to States and Tribes, GAP grants may now be combined with one or more other EP grants into a Performance Partnership Grant for administrative savings and, with EPA concurrence, programmatic flexibility.

**Applicant Eligibility:** The following are eligible to receive financial assistance: (a) an Indian tribal government; and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43

U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. A consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applicants are encouraged to communicate with their Regional Indian Program Coordinator listed in your local telephone directory.

**Headquarters Office:** American Indian Environmental Office (4104) U.S. Environmental Protection Agency, 401 M Street, SW., Washington, DC 20460. Telephone: (202) 260-7939.

**EXAMPLES OF FUNDED PROJECTS:** Purpose: The general assistance program is intended to provide general assistance grants for planning, developing and establishing the capability to implement programs administered by EPA on Indian lands. Examples of past funded projects include: establishment of a water quality assessment program; an environmental assessment inventory; establishment of an environmental code; establishment of a multi-media program to address water quality, air quality, safe drinking water, solid waste, hazardous waste and pesticides; establishment of a management plan with emphasis on wastewater sludge reclamation and tire recovery; establishment of a program to address underground storage tanks, SARA Title III and radon.

## FEDERAL EMERGENCY MANAGEMENT AGENCY

### 83.010 NATIONAL FIRE ACADEMY EDUCATIONAL PROGRAM

**FEDERAL AGENCY:** NATIONAL FIRE ACADEMY,  
UNITED STATES FIRE ADMINISTRATION, FEDERAL  
EMERGENCY MANAGEMENT AGENCY

**OBJECTIVES:** To increase the professional level of the fire service and others responsible for fire prevention and control.

**TYPES OF ASSISTANCE:** Training.

**USES AND USE RESTRICTIONS:** Training is provided at the resident facility in Emmitsburg, Maryland, and in the field in cooperation with State or local fire training agencies on specific subjects to specific audiences. The program is not open to the general public or to individuals who do not have a need for the information as part of their normal responsibilities.

**Applicant Eligibility:** Any individual who is a member of a fire department or has significant responsibility for fire prevention and control.

#### **INFORMATION CONTACTS:**

**Headquarters Office:** National Emergency Training Center, Educational and Technology Services Branch, 16825 S. Seton Avenue, Emmitsburg, MD 21727. Telephone: (301) 447-1000.

**EXAMPLES OF FUNDED PROJECTS:** Students are provided an opportunity to attend courses at the National Fire Academy resident facility or at a convenient off-campus location with a minimal cost to the individual or the fire department represented.

### 83.012 HAZARDOUS MATERIALS ASSISTANCE PROGRAM

**FEDERAL AGENCY:** CHEMICAL AND  
RADIOLOGICAL PREPAREDNESS DIVISION,  
PREPAREDNESS, TRAINING AND EXERCISES  
DIRECTORATE, FEDERAL EMERGENCY  
MANAGEMENT AGENCY

**OBJECTIVES:** Provide technical and financial assistance through the States to support State, local and Indian tribal governments in oil and hazardous materials emergency planning and exercising. To encourage State, Tribal and local governments to support the National Response System (NRS). To support the Comprehensive Hazardous Materials (HAZMAT) Emergency Response - Capability Assessment Program (CHER-CAP) Activities.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Equipment purchases (e.g. ADP, facsimile), training and reimbursement of salaries and benefits are restricted. Funding must be used for planning and/or exercising projects that will serve to enhance emergency management capability for dealing with oil and hazardous materials releases.

**Applicant Eligibility:** States, locals, tribes, U.S. territories, State Emergency Response Committees (SERCs), and Local Emergency Planning Commissions (LEPCs).

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** FEMA regional office responsible for coordinating program activities.

**Headquarters Office:** Chemical and Radiological Preparedness Division, 500 C St., S.W., Washington, DC 20472. Telephone: (202) 646-4542.

**EXAMPLES OF FUNDED PROJECTS:** Planning and/or exercise projects.

### 83.105 COMMUNITY ASSISTANCE PROGRAM STATE SUPPORT SERVICES ELEMENT (CAP-SSSE)

**FEDERAL AGENCY:** MITIGATION DIRECTORATE,  
FEDERAL EMERGENCY MANAGEMENT AGENCY

**OBJECTIVES:** To ensure that communities participating in the National Flood Insurance Program (NFIP) are achieving flood loss reduction measures consistent with program direction. The CAP-SSSE is intended to identify, prevent and resolve floodplain management issues in participating communities before they develop into problems requiring enforcement action.

**TYPES OF ASSISTANCE:** Formula Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** The CAP-SSSE is a product-oriented program directly related to the flood loss reduction objectives of the NFIP. Available CAP-SSSE funding is provided on a 75 percent maximum Federal and 25 percent minimum State cost-sharing basis. Both cash and in-kind resources must be specifically identified to designated activities. States estimate product completion as described in a mutually negotiated cooperative agreement under FEMA's Performance Partnership Agreement (PPA).

**Applicant Eligibility:** FEMA's ten Regional Offices will provide each State Governor's Office with the Cooperative Agreement Performance Partnership Agreement (PPA) Package. While all States receive copies of the solicitation package, the Request for Application does not establish eligibility to participate in the SSSE. FEMA annually informs each State of its eligibility to participate in the SSSE under notification separate from the solicitation package. The SSSE is administered through the Mitigation Division of each FEMA Regional Office. FEMA's Regional Offices will contact eligible States to negotiate a mutually acceptable cooperative agreement. Funding is made available when the cooperative agreement is negotiated and agreed upon. Participation in the SSSE is dependent upon the above described factors and completing activities described in the cooperative agreement.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Local telephone directory for list for FEMA Regional Offices.

**Headquarters Office:** Federal Emergency Management Agency, Mitigation Directorate, Program Implementation Division, 500 C Street, SW., Washington, DC 20472.

## Federal Funding Sources For Rural Areas

Telephone: (202) 646-3121.

**EXAMPLES OF FUNDED PROJECTS:** Community Assistance Visits/Contacts (CAV/CAC), Ordinance Assistance, Coordination Meeting with Regional Office, Community Rating System Application Assistance and Review/Workshops, Flood Loss Reduction Assistance and Publications, General Technical Assistance.

### 83.537 COMMUNITY DISASTER LOANS

**FEDERAL AGENCY: RESPONSE AND RECOVERY DIRECTORATE, FEDERAL EMERGENCY MANAGEMENT AGENCY**

**OBJECTIVES:** To provide loans to any local government that has suffered substantial loss of tax and other revenue in an area in which the President designates a major disaster exists. The funds can only be used to maintain existing functions of a municipal operating character and the local government must demonstrate a need for financial assistance.

**TYPES OF ASSISTANCE:** Direct Loans.

**USES AND USE RESTRICTIONS:** Loans are made only to local governments or other political subdivisions of the State, and are based on need, not to exceed 25 percent of the annual operating budget of the local government for the fiscal year in which the disaster occurs. The funds cannot be used to meet the nonfederal share of any Federal program, finance capital improvements, or repair or restore public facilities. FEMA may cancel repayment of all or part of the loan. Cancellation depends on the extent that revenues in the 3 full fiscal years following fiscal year of the major disaster are insufficient to meet the operating budget of the local government, as a result of the disaster, including additional disaster-related operating expenses.

**Applicant Eligibility:** Applicants must be in a designated major disaster area and must demonstrate that they meet the specific conditions of FEMA Disaster Assistance Regulations 44 CFR Part 206, Subpart K, Community Disaster Loans. To be eligible the applicant must demonstrate: 1) a substantial loss of tax and other revenues, as a result of a major disaster; and 2) a need for financial assistance to perform its governmental functions.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** See your local telephone directory for a listing of addresses for FEMA's Regional Offices.

**Headquarters Office:** Federal Emergency Management Agency, Response and Recovery Directorate, 500 C Street, SW, Washington, DC 20472. Telephone: (202) 646-3683.

### 83.544 PUBLIC ASSISTANCE GRANTS

**FEDERAL AGENCY: RESPONSE AND RECOVERY DIRECTORATE, FEDERAL EMERGENCY MANAGEMENT AGENCY**

**OBJECTIVES:** To provide supplemental assistance to States, local governments, and political subdivisions to the State, Indian Tribes, Alaskan Native Villages, and certain nonprofit organizations in alleviating suffering and hardship resulting

from major disasters or emergencies declared by the President.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Public Assistance is awarded in the form of cost-sharing grants to States, local governments, other political subdivisions such as special districts, federally recognized Indian tribal governments and Alaska Native villages or organizations, and certain eligible Private Non-Profit organizations. A State is defined as any State of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Trust Territory of the Pacific Islands, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, or the Republic of the Marshall Islands. Following a Presidential declaration, grants may be made for: removal of wreckage and debris; performance of emergency protective measures on private and public lands; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

**Applicant Eligibility:** State and local governments, other political subdivisions such as a special districts, federally recognized Indian tribal governments, Alaska Native villages or organizations, but not Alaska Native Corporations, and certain Private Non-Profit organizations in designated emergency or major disaster areas.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Use FEMA's Regional Offices.

**Headquarters Office:** Federal Emergency Management Agency, Response and Recovery Directorate, Washington, DC 20472. Telephone: (202) 646-2751.

### 83.552 EMERGENCY MANAGEMENT PERFORMANCE GRANTS (EMPG)

**FEDERAL AGENCY: OFFICE OF FINANCIAL MANAGEMENT, FEDERAL EMERGENCY MANAGEMENT AGENCY**

**OBJECTIVES:** To encourage the development of comprehensive emergency management, including for terrorism consequence management, at the State and local level and to improve emergency planning, preparedness, mitigation, response, and recovery capabilities. By combining several funding streams into a consolidated Emergency Management Performance Grant (EMPG), FEMA's purpose is to ensure that recipients have the flexibility necessary to achieve measurable results in key functional areas of emergency management. Incorporated into EMPG are the following: 83.011, Hazardous Materials Training Program for Implementation of the Superfund Amendment and Reauthorization Act (SARA) of 1986; 83.505, State Disaster Preparedness Grants; and 83.535, Mitigation Assistance. Indian tribal governments must still apply under 83.011. This flexibility will be achieved within the standard grant administration process. The thirteen key functional areas of emergency management are: Laws and Authorities; Hazard Identification and Risk Assessment; Hazard Management; Resource Management; Planning; Direction, Control, and Coordination; Communications and Warning; Operations and Procedures; Logistics and Facilities; Training;



## Rural Information Center Publication Series

Exercises; Public Education and Information; and Finance and Administration.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** EMPGs may be used for necessary and reasonable expenses to accomplish program purposes consistent with statutory authorization.

**Applicant Eligibility:** All States are eligible (including the District of Columbia and territories and possessions of the United States).

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Local telephone directory for a listing of FEMA Regional Office addresses. State and local government officials are encouraged to contact their State and local emergency management departments or agencies.

**Headquarters Office:** Federal Emergency Management Agency, Washington, DC 20472. Telephone: (202) 646-7057. FAX: (202) 646-4157. E-mail: [jonna.long@fema.gov](mailto:jonna.long@fema.gov).

### **83.553 STATE FIRE TRAINING SYSTEMS**

#### **GRANTS (National Fire Academy Training Grants)**

**FEDERAL AGENCY:** FIRE ADMINISTRATION, FEDERAL EMERGENCY MANAGEMENT AGENCY

**OBJECTIVES:** To provide financial assistance to State Fire Training Systems for the delivery of a variety of National Fire Academy courses/programs.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds are to be used within each State to deliver NFA training courses/programs and for marketing, administrative costs and electronic feedback of student data. Each State shall spend at least \$20,000 in costs relating directly to the delivery of course materials. Computer purchases are restricted.

**Applicant Eligibility:** Representatives from the 50 State Fire Training Systems.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** FEMA regional offices.

**Headquarters Office:** National Fire Academy, 16825 South Seton Avenue, Emmitsburg, Maryland 21727. Attention: Field Training Specialist. Telephone: (301) 447-1376.

### **83.554 ASSISTANCE TO FIREFIGHTERS**

#### **GRANT(FIRE GRANTS)**

**FEDERAL AGENCY:** UNITED STATES FIRE ADMINISTRATION, FEDERAL EMERGENCY MANAGEMENT AGENCY

**OBJECTIVES:** To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Firefighting Operations and Firefighter Safety, Eligible Uses: Training, Wellness and Fitness, Firefighting Equipment, Personal Protective Equipment, Other Equipment and Supplies; Restrictions: One-

year Funds, Funding Restrictions and/or Limits on Certain Equipment, Personnel, Construction, Vehicles. Protecting the Public from Fire and Fire Related Hazards, Eligible Uses: Public Awareness, Public Education, Inspector Certifications, Building Code Development and Enforcement, Arson Prevention and Detection, Emergency Medical Services, Emergency Medical Vehicles, Training, Equipment/Props/Supplies, Transportation, Contracts/Consultants, Program Personnel; Restrictions: One-year Funds, Funding Limits on Certain Equipment, Construction. Firefighting Vehicles, Eligible Uses: Pumpers/Engines, Tankers/Tenders, Brush Trucks/Attack Pumpers, Rescue, Quints, Aerial Apparatus, Hazardous Material, Ambulance/Transport, Communications/Command, Foam Units, Boats, Equipment for the Vehicle; Restrictions: Aircraft, Hazardous Material, Construction, Personnel, 1- year Funding, Funding Limits on Certain Equipment.

**Applicant Eligibility:** Eligible applicants for this grant program are limited to fire departments located in the fifty United States, tribal nations, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands. A fire department is defined as an agency or organization that has a formally recognized arrangement with a State or local authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression, fire prevention and rescue services within a fixed geographical area. An emergency medical services unit can apply for assistance provided the unit falls organizationally under the auspices of a fire department as defined above. Also, States, Tribal Nations, U.S. Territories, and national, local, or community organizations, that currently have a fire prevention or fire safety program or activity focused on the prevention of injuries to children from fire, are eligible for funding under this grant program expand or enhance their existing fire prevention or fire safety program. Fire departments, which are Federal or contracted by the Federal government and whose sole responsibility is suppression of fires on Federal installations, are not eligible for funding under this grant program. Also not eligible for this program are Ambulance Services, Rescue Squads, Auxiliaries, Dive Teams, Urban, Search and Rescue Teams, Fire Service Organizations and Associations, and State/local agencies such as Forest Service, Fire Marshals, Hospitals, and Training Facilities. Tribal fire departments are not eligible to apply for functions, activities, or materials under this program that are funded by other Federal agencies.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Detailed information about the Assistance to Firefighters Grant Program can be obtained from the FEMA Fire Liaisons in FEMA's regional offices. See your local telephone directory for a listing of FEMA Regional Offices or check with headquarters.

**Headquarters Office:** Detailed information about the Assistance to Firefighters Grant Program can also be obtained from the National Fire Programs Division of the U.S. Fire Administration. The specific contacts, addresses, and phone numbers are as follows: Director, National Fire Programs

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Division, Room 307, N Building, National Emergency Training Center, 16825 South Seton Avenue, Emmitsburg, MD 21727. Telephone: (301) 447-1362; Chief, Fire Grants Program Branch, Room 304, Federal Center Plaza, 500 C Street, SW, Washington, DC 20472. Telephone: (202) 646-2821.

**EXAMPLES OF FUNDED PROJECTS:** Training: A fire department where a high percentage of firefighting staff needs basic firefighting training. Wellness and Fitness: A fire department that wants to establish a wellness and fitness program that offers an entry-level physical and a job-related immunization program. Firefighting Vehicle: A fire department that has few or no vehicles of the type for which they are applying and whose existing fleet is old with high mileage and/or high volume of calls. Firefighting Equipment: A fire department that needs basic firefighting equipment to satisfy a statutory requirement but has never been able to purchase such equipment. Personal Protective Equipment: A fire department where a high percentage of firefighting staff has never had personal protective equipment and wants to purchase enough equipment to protect all of the firefighting staff. Fire Prevention Programs: A fire department that wants to establish a public education program that focuses on children under 16 years of age, seniors, and/or firefighters, in a community that has no such program.

### **83.556 FIRE MANAGEMENT ASSISTANCE GRANT**

**FEDERAL AGENCY: READINESS, RESPONSE AND RECOVERY DIRECTORATE, FEDERAL EMERGENCY MANAGEMENT AGENCY**

**OBJECTIVES:** To provide grants to States, Indian tribal governments and local governments for the mitigation, management and control of any fire burning on publicly (nonfederal) or privately owned forest or grassland that threatens such destruction as would constitute a major disaster.

**TYPES OF ASSISTANCE:** Project Grants; Provision of Specialized Services.

**USES AND USE RESTRICTIONS:** Fire Management Assistance Grants are made in the form of cost-sharing grants for the mitigation, management, and control of any fire on publicly (nonfederal) or privately owned forestland or grassland that threatens such destruction as would constitute a major disaster.

**Applicant Eligibility:** State governments and Indian tribal governments are eligible for fire management assistance grants. The State or Indian tribal government may be the Grantee. The Grantee is the government to which a grant is awarded, and is accountable for the use of funds provided.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** See your local telephone directory for a listing of addresses for FEMA's Regional Offices.

**Headquarters Office:** Federal Emergency Management Agency, Readiness, Response and Recovery Directorate, Washington, DC 20472. Telephone: (202) 646-4535.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### 93.005 PROJECT GRANTS FOR FACILITIES TO IMPROVE THE HEALTH STATUS OF MINORITY POPULATIONS

**FEDERAL AGENCY:** OFFICE OF MINORITY HEALTH, OFFICE OF PUBLIC HEALTH AND SCIENCE, OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To construct, renovate, expand, repair, or modernize facilities designed to promote the improvement of the health status of minority under served communities and populations.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** All funds awarded should be expended solely for carrying out approved projects in accordance with the provisions of the Omnibus Consolidated Appropriations Act for FY 1997 (Public Law 104-208).

**Applicant Eligibility:** Public and private nonprofit entities.  
**INFORMATION CONTACTS:**

**Headquarters Office:** Program Contact:, Office of Minority Health, Office of Public Health and Science, Office of the Secretary, DHHS, Rockwall II Building, Suite 1000, 5515 Security Lane, Rockville, Maryland 20852. Telephone: (301) 443-5084. Grants Management Contact: Grants Management Officer, Office of Minority Health, Office of Public Health and Science, Rockwall II, Suite 1000, 5515 Security Lane, Rockville, MD 20852. Telephone: (301) 594-0758.

**EXAMPLES OF FUNDED PROJECTS:** Construction of National Primary Care Center; construction and renovation of facility for the promotion of the wellness of African-American women; construction of a facility to enhance educational programs in the pre-health/health and regulatory sciences programs.

### 93.045 SPECIAL PROGRAMS FOR THE AGING TITLE III, PART C NUTRITION SERVICES

**FEDERAL AGENCY:** ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To provide grants to States to support nutrition services including nutritious meals, nutrition education and other appropriate nutrition services for older Americans in order to maintain health, independence and quality of life. Meals may be served in a congregate setting or delivered to the home.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Local projects must provide a hot or other appropriate meal which provides one-third of the "recommended dietary allowance" (RDA), at least once per day, five or more days per week, except in rural

areas where a lesser frequency is determined feasible, to eligible people aged 60 and over and their spouses.

**Applicant Eligibility:** All States and Territories may apply.  
**INFORMATION CONTACTS:**

**Regional or Local Office:** Regional Administrator, Administration on Aging, Office of the Secretary, Regional Offices. (See your local telephone directory.)

**Headquarters Office:** Office of State and Community Programs, Administration on Aging, Department of Health and Human Services, Washington, DC 20201. Telephone: (202) 619-0011.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 93.047 SPECIAL PROGRAMS FOR THE AGING TITLE VI, PART A, GRANTS TO INDIAN TRIBES PART B, GRANTS TO NATIVE HAWAIIANS

**FEDERAL AGENCY:** ADMINISTRATION ON AGING, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To promote the delivery of supportive services, including nutrition services, to older Indians, Alaskan Natives, and Native Hawaiians and to enable tribal organizations to provide multifaceted systems of support services to family caregivers. Services are comparable to services provided under Title III of the Older Americans Act of 1965, as amended.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds are available through grants to eligible Indian Tribal Organizations for services comparable to those provided under Title III. Services must include nutrition services and information and referral, and may include transportation and other services authorized under Title III. Funds may be used for staffing the center. Indian Tribes receive funds based on a formula that considers the number of eligible elder Indians represented by the tribal organizations with population ranges from 50-100; 101-200; 201-300; 301-400; 401-500; and 501 to 1,500 and 1,501 over. Grants also are available to private or nonprofit organizations having the capacity to provide services to older Native Hawaiians.

**Applicant Eligibility:** Tribal organizations of Indian tribes eligible for assistance under Section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b) and public or nonprofit private organizations which serve Native Hawaiian Elders, which represent at least 50 Indians or Hawaiians 60 years of age or older. Applicants must document that they have or will have the ability to deliver social and nutrition services. To receive a Part C grant, the organization must have a Part A or Part B grant.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Regional Administrator, Administration on Aging, Department of Health and Human

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Services Regional Offices in your local telephone directory.

**Headquarters Office:** Office of American Indian, Alaskan Native, and Native Hawaiian Programs, Administration on Aging, Department of Health and Human Services, 330 Independence Avenue, SW., Wilbur Cohen Building, Room 4743, Washington, DC 20201. Telephone: (202) 619-2713.

**EXAMPLES OF FUNDED PROJECTS:** (1) Supportive and Nutrition Services for Older Indian Persons - Passamaquoddy Tribe, Perry, Maine; (2) Supportive and Nutrition Services to Older Indian Persons - San Carlos Apache Tribe; (3) Supportive and Nutrition Services to Older Indian Persons - Yakima Indian Nation; (4) Supportive and Nutrition Services to Older Indian Persons - St. Croix Tribal Council, Wisconsin; (5) Supportive and Nutrition Services to Older Indians - Ute Mountain Ute Tribe.

### **93.110 MATERNAL AND CHILD HEALTH FEDERAL CONSOLIDATED PROGRAMS (Special Projects of Regional and National Significance (SPRANS))**

**FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To carry out special maternal and child health (MCH) projects of regional and national significance; to conduct training and research; to conduct genetic disease testing, counseling, and information development and dissemination programs; and to support comprehensive hemophilia diagnostic and treatment centers. These grants are funded with a set-aside from the MCH Block grant program. SPRANS grants are funded with 15 percent of the Block Grant appropriation of up to \$600 million, and when the appropriation exceeds \$600 million, an additional 12.75 percent is set aside for the Community Integrated Service Systems grants.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Training grants are made to institutions of higher learning for training personnel for health care and related services for mothers and children. Research grants are for the purpose of research activities which show promise of a substantial contribution to the advancement of maternal and child health services. Genetic grants are for genetic disease testing, counseling and information development and dissemination. Hemophilia grants are for the support of centers which provide hemophilia diagnostic and treatment services. Other special project grants are designed to support activities of a demonstration nature which are designed to improve services for mothers and children. The grant program, the Community Integrated Service Systems (CISS) program, is initiated whenever the total appropriation for a year exceeds \$600 million. The sum of 12.75 percent of the amount over \$600 million is used to fund this program in which communities employ a variety of strategies including: (1) Home visitation; (2) increased participation of obstetricians and pediatricians; (3) integrated service delivery systems; (4) maternal and child health centers for women and infants, under the direction of

a not-for-profit hospital; (5) services for rural populations; and (6) community-based services for children with special health care needs in order to enhance or develop an integrated services system.

**Applicant Eligibility:** Training grants may be made to public or private nonprofit institutions of higher learning. Research grants may be made to public or private nonprofit institutions of higher learning and public or private nonprofit private agencies and organizations engaged in research or in Maternal and Child Health (MCH) or Children with Special Health Care Needs (CSHCN) programs. Any public or private entity is eligible for hemophilia and genetics grants and other special project grants.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Consult appropriate DHHS Regional Office in your local telephone directory.

**Headquarters Office:** Central Office Contacts: Maternal and Child Health Bureau, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, Room 18-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2170. Grants Management Contact: Grants Management Officer, Maternal and Child Health Bureau, Health Resources and Services Administration, Public Health Service, Room 18-12, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1440.

**EXAMPLES OF FUNDED PROJECTS:** (1) Health Professional Training Projects; (2) Schools of Public Health; (3) Maternal and Child Health Research; (4) Genetic Screening, Testing and Counseling; (5) Hemophilia diagnosis and treatment centers; (6) Child and Adolescent Health Services Demonstration Projects; (7) Healthy Tomorrows Community Based Partnerships; and (8) Community Integrated Service Systems (CISS) to develop comprehensive community based systems to services.

### **93.127 EMERGENCY MEDICAL SERVICES FOR CHILDREN (EMS for Children)**

**FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To support demonstration projects for the expansion and improvement of emergency medical services for children who need treatment for trauma or critical care. It is expected that maximum distribution of projects among the States will be made and that priority will be given to projects targeted toward populations with special needs, including Native Americans, minorities, and the disabled.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** All funds granted should be expended solely for carrying out the approved project in accordance with Section 1910 of the Public Health Service Act.

**Applicant Eligibility:** State governments and schools of medicine.

### **INFORMATION CONTACTS:**

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**Regional or Local Office:** Not applicable.

**Headquarters Office:** Central Office Contacts: Maternal and Child Health Bureau, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, Room 18A-39, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4026 or Office of Emergency Enforcement Services, Traffic Safety Program, National Highway Traffic Safety Administration, NTS-42, 400 7th Street, SW., Washington DC 20590. Telephone: (202) 366-5440. Grants Management Contact: Maternal and Child Health Bureau, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, Room 18-12, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857 (301) 443-1440.

**EXAMPLES OF FUNDED PROJECTS:** The project funded at the Utah Department of Health is designed to expand and improve a state-wide system of emergency medical services for children. The project is regional in scope, working closely with the Idaho, Oregon, and Washington EMSC projects. Components include development of a comprehensive inter-mountain pediatric EMS database, reducing the morbidity and mortality of Native American children living in the region, educating emergency care providers within the region about the capability, availability and response times of existing inter-mountain regional air transport, and establishing regional protocols and educational programs for the EMS systems through the region.

### **93.129 TECHNICAL AND NON-FINANCIAL ASSISTANCE TO HEALTH CENTERS AND NATIONAL HEALTH SERVICE CORPS (NHSC) DELIVERY SITES**

**FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To provide assistance to health centers and NHSC delivery sites in the following areas: (1) Collaborative activities on State, regional or market area levels; (2) involvement of State agencies in assuring primary care to medically underserved populations; (3) development of shared services and joint purchasing arrangements; and (4) provision of, or arrangement for, training, assessment and technical assistance -- for purposes including, but not limited to, primary care provider retention and recruitment, clinical development, assessment of community health needs, expertise in dealing with homeless, public housing, farmworkers, children, rural health and other special populations, and management and maximization of nonfederal resources.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Recipients will be expected to provide certain technical and non-financial assistance to health centers and NHSC delivery sites.

**Applicant Eligibility:** Eligible applicants are private nonprofit entities, including State and regional primary care associations, and a limited number of public agencies.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the appropriate HRSA Field Office or the Director, Office of State and National Partnerships of the Bureau of Primary Health Care, HRSA.

**Headquarters Office:** Program Contact: Office of State and National Partnerships, Bureau of Primary Health Care, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 4350 East-West Highway, 3rd Floor, Bethesda, MD 20814. Telephone: (301) 594-4488. Grants Management, Office of Grants Management, Bureau of Primary Health Care, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 4350 East-West Highway, 11th Floor, Bethesda, MD 20814. Telephone: (301) 594-4235.

**EXAMPLES OF FUNDED PROJECTS:** A grant to a State regional primary care association for any or all of the following activities: (1) Supporting the use of State resources (including Medicaid, Children's Health Insurance Program, Maternal and Child Health and Special Population funding) for primary care purposes to medically underserved populations; (2) assisting Health Centers in preparing their applications for Federal, State and local funding; (3) providing training and technical assistance in management and governance; (4) developing shared services and joint purchasing agreements; (5) assisting health centers, NHSC delivery sites and other similar providers in retaining and recruiting primary care providers; (6) promoting partnerships with State and local health departments, State Offices of Rural Health, Area Health Education Centers, Ryan White programs, Maternal and Child Health programs, hospitals, specialty and social service providers and residency programs; (7) coordinating activities with National Health Service Corps State loan repayment activities, and other State health profession loan repayment/scholarship programs and encouraging students to pursue careers in primary care; and (8) planning and developing resources for activities in support of pregnant women and children as well as activities targeted towards special needs populations such as the homeless, substance abusers, HIV-infected individuals, rural populations, the elderly and migrant/seasonal farmworkers.

### **93.130 PRIMARY CARE SERVICES RESOURCE COORDINATION AND DEVELOPMENT (STATE PRIMARY CARE OFFICES)**

**FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To coordinate local, State, and Federal resources contributing to primary care service delivery and workforce issues in the State to meet the needs of medically-underserved populations through health centers and other community-based providers of comprehensive primary care and the retention, recruitment, and oversight of health professions for medically underserved populations.

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**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Recipients will be expected to perform State-wide primary care planning and resource coordination, including Medicaid, Children's Health Insurance program, State Offices of Rural Health, Ryan White Area Health Education Centers, Maternal and Child Health, etc.; support health systems development, primary care practitioners retention and recruitment, National Health Services Corps monitoring activities, as well as activities relating to other special populations including rural populations; and conduct operational and administrative support activities.

**Applicant Eligibility:** Cooperative agreements will be made to a State, State agency, or other statewide public or nonprofit entity that operates solely within one State.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact appropriate HRSA Field Office.

**Headquarters Office:** Program Contacts: Director, Division of State, Community and Public Health, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 5600 Fishers Lane, Room 9-105, Rockville, Maryland 20857. Telephone: (301) 443-1648. Grants Management Contact: Office of Grants Management, Bureau of Health Professions, Public Health Service, Department of Health and Human Services, 5600 Fishers Lane, Room 8C-26, Rockville, Maryland 20857. Telephone 301-443-6880.

**EXAMPLES OF FUNDED PROJECTS:** A State health department was engaged in the following: statewide primary care planning and resource coordination, including coordination with Medicaid, Children's Health Insurance Program, Ryan White Program Area Health Education Centers, Maternal and Child Health and State Offices of Rural health, health systems development; National Health Service Corps monitoring activities, and perinatal activities.

### **93.151 HEALTH CENTER GRANTS FOR HOMELESS POPULATIONS**

**FEDERAL AGENCY: BUREAU OF PRIMARY HEALTH CARE, HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To award grants for the purpose of enabling grantees, directly or through contracts, to provide for the delivery of primary health services and substance abuse (alcohol and/or illicit drugs) services to homeless individuals including homeless children. The grants may be used to continue to provide the services listed above for up to 12 months to individuals who have obtained permanent housing if services were provided to these individuals when they were homeless. For the purpose of this program, the term "homeless individual" means an individual who lacks housing (without regard to whether the individual is a member of a family), including an individual whose primary residence during the night is a supervised public or private facility that

provides temporary living accommodations or an individual who is a resident in transitional housing.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds must be used to provide the following services, directly or through contract: (1) primary health care and substance abuse services at locations accessible to homeless individuals; (2) 24-hour emergency primary health and substance abuse services; (3) referral, as appropriate to medical facilities, for necessary hospital services; (4) referral of homeless individuals who are mentally ill to entities that provide mental health services; (5) outreach services to inform homeless individuals of the availability of primary health and substance abuse services; and (6) aid in establishing eligibility for assistance, and in obtaining services under entitlement programs. Federal funds may not supplant existing public or private resources that are currently allocated to assist homeless populations. Separate grants will be awarded to a limited number of organizations to provide outreach, primary care services, and referral exclusively to homeless children. These grants will be limited to the same restrictions as the other grants.

**Applicant Eligibility:** Nonprofit private organizations and public entities, including State and local governmental agencies. Grantees and other organizations with whom they may contract for services under this program must have an agreement with a State under its Medicaid program, Title XIX of the Social Security Act (if they provide services that are covered under the Title XIX plan for the State), and be qualified to receive payments under the agreement.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact HRSA Field Offices.

**Headquarters Office:** Director, Division of Programs for Special Populations, Bureau of Primary Health Care, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 4350 East-West Highway, 9th Floor, Bethesda, MD 20814 (301) 594-4420. Grants Management Contact: Office of Grants Management, Bureau of Primary Health Care, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 4350 East-West Highway, 11th Floor, Bethesda, MD 20814 (301) 594-4235.

**EXAMPLES OF FUNDED PROJECTS:** A Health Care for the Homeless Program formed a coalition of community representatives and providers for the provision of primary health, substance abuse, and mental health services to homeless individuals. Health care provider teams will travel to areas with heavy concentrations of homeless individuals. Homeless persons will be provided with primary care outpatient services either at a community health center or in off-site locations, such as shelters for the homeless or welfare hotels. The program will refer for inpatient hospitalization services if necessary. Substance abuse services and mental health services will be provided through existing facilities within the community. The community coalition represents organizations responsible for the provision of other services

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for homeless individuals such as food, clothing and shelter. Through a system of case management, the program will serve to guide homeless persons to these services. Arrangements for 24-hour emergency services have been made through a community hospital.

### 93.155 RURAL HEALTH RESEARCH CENTERS

#### FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To support the operation of rural health research centers to provide an information base and policy analysis capability on the full range of rural health services issues, including financing, recruitment and retention of health professionals, access to care, and rural delivery systems.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** All funds awarded should be expended solely for carrying out the approved projects in accordance with the provisions of Public Law 102-170.

**Applicant Eligibility:** All public and private entities, nonprofit and for-profit, are eligible to apply. Eligible entities may include, but are not limited to, public and private institutions for higher education, public or private health research organizations, and foundations.

#### INFORMATION CONTACTS:

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Director, Office of Rural Health Policy, Office of the Administrator, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9-05, 5600 Fishers Lane, Rockville, MD 20857 (301) 443-0835. Grants Management Contact: Chief, Grant Award Operations Section, Grants Management Office, Bureau of Primary Health Care, Public Health Service, Health Resources and Services Administration, Department of Health and Human Services, 4350 East-West Highway, 11th Floor, Bethesda, MD 20814 (301) 594-4235.

**EXAMPLES OF FUNDED PROJECTS:** (1) The Maine Rural Health Research Center is based in the Muskie Institute of Public Affairs at the University of Southern Maine. This center's research focuses on issues in rural primary mental health services, and rural aging and long-term care. (2) The University of North Carolina Rural Health Research Program is a multi-disciplinary health policy analytic unit in the Health Services Research Center of the University of North Carolina at Chapel Hill. This program addresses issues in rural hospitals, including the expanded rural hospital and the limited service hospital; collects and publishes data on rural health care providers and the rural workforce; and produces maps displaying the location of a variety of rural health resources. (3) The Project HOPE Walsh Center for Rural Health Analyses, located in Bethesda, Maryland prepares

issue analyses on a breadth of rural health issues of current national interest such as proposed changes in Medicare and Medicaid, and the health insurance coverage of rural children. This center is creating a data base that will permit speedy analyses of the impact of proposed changes in Federal health programs. (4) The University of Minnesota Rural Health Research Center focuses on rural networks and managed care, the impact of the changing health care market on rural providers and consumers, and implications of the diffusion of health care technology in rural areas. (5) The WADI Rural Health Research Center is based in the Department of Family Medicine at the University of Washington School of Medicine. It has close working relationships with the other health science schools at the university as well as the other major universities in the five WADI States (Washington, Wyoming, Alaska, Montana, and Idaho). This center focuses on the rural health professions workforce, perinatal care in rural areas, and health care restructuring and its influence on clinical performance and outcomes.

### 93.162 NATIONAL HEALTH SERVICE CORPS LOAN REPAYMENT PROGRAM (NHSC Loan Repayment Program)

#### FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To help assure an adequate supply of trained health professionals for the National Health Service Corps (NHSC) by providing for the repayment of educational loans for participants who agree (by written contract) to serve an applicable period of time in an approved loan repayment program service site located in a health professional shortage area.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Awards provide payments of up to \$25,000 a year plus a tax assistance payment of 39% towards participants' qualified government and commercial health professions undergraduate and graduate education loans during the first 2 years of practice at a selected NHSC Loan Repayment Service Site (2-year service minimum and up to \$35,000 plus a tax assistance payment of 39% in the third year and beyond), under a written agreement with this program. Health professions given priority for selection are those determined by the manpower needs of the NHSC. For fiscal year 1998, priority for selection is given to: (1) primary care physicians; (2) dentists; (3) certified nurse midwives; (4) certified nurse practitioners; (5) physicians assistants; (6) clinical psychologists; (7) clinical social workers; (8) psychiatric nurse specialists; (9) marriage and family therapists; and (10) dental hygienists. Contracts may be awarded to those who are available for service during the period from October 1997 to September 30, 1998.

**Applicant Eligibility:** Individuals are eligible to apply if they have (1) U.S. citizenship; (2) a health professions degree or are in professional practice (they must hold an unrestricted

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health professions license in the State for which they will be working, and be eligible for selection for a Federal civil service appointment, or hold an appointment as a commissioned officer in the Regular or Reserve Corps of the U.S. Public Health Service); (3) not defaulted on any Federal debt or do not have a court judgement against them; and (4) no existing service obligation.

### INFORMATION CONTACTS:

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Chief, Loan Repayment Programs Branch, Division of Scholarships and Loan Repayments, Bureau of Primary Health Care, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 10th Floor, 4350 East-West Highway, Bethesda, MD 20814 (301) 594-4400.

**Public Information Phone: 1-800-435-6464.**

**EXAMPLES OF FUNDED PROJECTS:** During fiscal year 1997, the program matched a total of 515 contracts to students and graduates in health professions training or with health professions degrees or licenses.

### 93.164 INDIAN HEALTH SERVICE EDUCATIONAL LOAN REPAYMENT (IHS Loan Repayment Program)

**FEDERAL AGENCY: INDIAN HEALTH SERVICE, PUBLIC HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To insure that the Indian Health Service (IHS) has an adequate supply of trained health professionals for Indian health program facilities by providing for the repayment of educational loans for participants who agree (by written contract) to serve an applicable period of time at a facility IHS has designated as a loan repayment priority site or in a designated specialty at a site with an appropriate position.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** This program will provide repayment of loans incurred for health professions educational expenses in exchange for service in a designated loan repayment priority site. Recipients must agree by written contract to serve an applicable period of time in such a site; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied. The minimum period of participation is 2 years. Maximum payment to a program participant is \$30,000 per year and an additional 31 per cent of the \$30,000 for tax liability.

**Applicant Eligibility:** Eligible individuals must be enrolled: (1) in a course of study or program in an accredited institution, as determined by the Secretary, within any State and be scheduled to complete such course of study in the same year such as individual applies to participate in such program; (2) in an approved graduate training program in a health profession; (3) have a degree in health profession and a license to practice a health profession; (4) be eligible for, or hold, an appointment as a commissioned officer in the

Regular or Reserve Corps of the Public Health Service (PHS); (5) be eligible for selection for civilian service in the Regular or Reserve Corps of the PHS; (6) meet the professional standards for civil service employment in the IHS; (7) be employed with an Indian health program funded under Public Law 93-638, Indian Self-Determination, Title V of Public Law 94-437 and its amendments or the Buy Indian Act (25 U.S.C. 47); (8) submit an application to participate in the IHS Loan Repayment Program; and (9) sign and submit to the Secretary for Health and Human Services at the time of such application, a written contract agreeing to accept loan repayment of health professions educational loans and to serve for an applicable period of service at loan repayment priority site as determined by the Secretary. The term "State" is defined in Section 331 (i)(4) of the PHS Act.

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local IHS Area Offices.

**Headquarters Office:** Chief, Loan Repayment Program, Indian Health Service, 12300 Twinbrook Parkway, Suite 100A, Rockville, MD 20852. Telephone: (301) 443-3369.

**EXAMPLES OF FUNDED PROJECTS:** Physicians, nurses, mental health, and other health professionals who have agreed to provide full-time clinical services at an appropriate site for an applicable period of time (2 years) in return for repayment of their health profession education loans.

### 93.191 ALLIED HEALTH SPECIAL PROJECTS FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** Grants are awarded to assist eligible entities in meeting the costs associated with expanding or establishing programs that will: (1) Expand enrollments in allied health disciplines that are in short supply or whose services are most needed by the elderly; (2) provide rapid transition training programs in allied health fields to individuals who have baccalaureate degrees in health-related sciences; (3) establish community-based training programs that link academic centers to rural clinical settings; (4) provide career advancement training for practicing allied health professionals; (5) expand or establish clinical training sites for allied health professionals in medically underserved or rural communities in order to increase the number of individuals trained; (6) develop curriculum that will emphasize knowledge and practice in the areas of prevention and health promotion, geriatrics, long-term care, home health and hospice care, and ethics; (7) expand or establish interdisciplinary training programs that promote the effectiveness of allied health practitioners in geriatric assessment and the rehabilitation of the elderly; (8) expand or establish demonstration centers to emphasize innovative models to link allied health, clinical practice, education, and research; and (9) meet the costs of projects to plan, develop, and operate or maintain graduate programs in behavioral and mental health practice.

**TYPES OF ASSISTANCE:** Project Grants.



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**USES AND USE RESTRICTIONS:** Grant funds may be used for personnel, equipment, supplies, domestic travel, consultants and guest lectures, rental of space, renovation and other costs directly related to the project as described in the approved application. Grant funds may not be used for construction of facilities, acquisition of land, foreign travel or support of students, including fellowships, stipends, tuition, fees, or travel allowances.

**Applicant Eligibility:** Eligible entities are health professions schools, academic health centers, State or local governments or other appropriate public or private nonprofit entities. Eligible academic institutions shall also be required to use funds in collaboration with two or more disciplines.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Program Contact: Division of State, Community and Public Health, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Room 8C-02, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-3353. Grants Management Contact: Grants Management Officer, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Room 8C-26, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6857.

**EXAMPLES OF FUNDED PROJECTS:** Multi-Tiered Geriatric Education and Training Projects; Recruitment and Development of Allied Health Professionals; Enhancement and Expansion of Existing Allied Health Training Programs; Enhancement of Faculty, Curriculum and Student Recruitment; Interdisciplinary Training Programs; Video Disc and Computer-Based Instructional Technologies Development; Development of auto-tutorial teaching materials; distance learning project using satellite technologies; outcomes assessment projects; and Model geriatric clinical education in Allied Health, Rural Health Education Projects.

### **93.192 QUENTIN N. BURDICK PROGRAMS FOR RURAL INTERDISCIPLINARY TRAINING (Interdisciplinary Training for Health Care for Rural Areas)**

**FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** The goal of this program is to provide or improve access to health care in rural areas. Specifically, projects funded under this authority shall be designed to: (a) Use new and innovative methods to train health care practitioners to provide services in rural areas; (b) demonstrate and evaluate innovative interdisciplinary methods and models designed to provide access to cost-effective comprehensive health care; (c) deliver health care services to individuals residing in rural areas; (d) enhance the amount of relevant research conducted concerning health care issues in rural areas; and (e) increase

the recruitment and retention of health care practitioners from rural areas and make rural practice a more attractive career choice for health care practitioners.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds are awarded to eligible applicants to carry out their interdisciplinary training projects using various methods, including student stipends, post-doctoral fellowships, faculty training, and the purchase or rental of (transportation) and (telecommunication) equipment where appropriate to the rural area.

**Applicant Eligibility:** Applications will be accepted from health professions schools, academic health centers, State or local governments or other appropriate public or private nonprofit entities for funding and participation in health professions and nursing training activities. Applications shall be jointly submitted by at least two eligible entities with the express purpose of assisting individuals in academic institutions in establishing long-term collaborative relationships with health care providers in rural areas. Applicants must designate a rural health care agency or agencies for clinical treatment or training hospitals, community health centers, migrant health centers, rural health clinics, community behavioral and mental health centers, long-term care facilities, Native Hawaiian health centers or facilities operated by the Indian Health Service or an Indian Tribe organization or Indian organization under a contract with the Indian Health Service under the Indian Self Determination Act.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Program Contact: CDR US Public Health Service, Interdisciplinary, Geriatrics and Allied Health Branch, Division of Associated, Dental and Public Health Professions, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Room 8C02, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6867. Grants Management Contact: Grants Management Officer, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Room 8C26, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. (301) 443-6880.

**EXAMPLES OF FUNDED PROJECTS:** Funded programs were jointly sponsored, usually by academic and rural health care entities; several programs had health department sponsors. There was no preference as to whether academia took the lead and worked with rural health care entity or vice versa. Funded programs involved three or more of the eligible health care disciplines. Emphasis was on opportunities for providing clinical services in rural areas and efforts to promote rural practice options.

### **93.202 CAPACITY BUILDING AMONG AMERICAN INDIAN TRIBES FEDERAL AGENCY: AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

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**OBJECTIVES:** To address the Tribal public health issues that result from hazardous substances in the environment by: 1) building Tribal environmental health capacity 2) addressing health issues from releases of hazardous substances into the environment, and 3) develop culturally appropriate health education materials and/or vehicles to engage Tribal community members in public health activities.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** To enhance the Tribes ability to collaborate with Agency for Toxic Substance and Disease Registry (ATSDR) in conducting public health activities related to potential human exposures from the Hanford Nuclear Reservation.

**Applicant Eligibility:** Federally recognized American Indian Tribal Governments: Coeur d'Alene Tribe; Coville Confederated Tribes; Confederated Tribes of the Umatilla Indian Reservation; Kalispel Tribe; Kootenai Tribe of Idaho; Nez Perce Tribe; Spokane Tribe; Confederated Tribes of the Warm Springs Reservation of Oregon; and Yakama Indian Nation.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Program contact, Division of Health Assessment and Consultation, Agency for Toxic Substances and Disease Registry; 1600 Clifton Road, N.E., Mailstop E32, Atlanta, GA 30333. Telephone: 404-498-0457. E-mail address: zkg8@cdc.gov. Grants Management Contact: Acquisition & Assistance Branch A, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road., Room 3000, Atlanta, GA 30341. Telephone: 770-488-2743. Fax: 770-488-2777. ECG4@cdc.gov.

**EXAMPLES OF FUNDED PROJECTS:** Funded projects include grants to American Indian Tribal governments to conduct public health activities related to potential human exposures from the Hanford Nuclear reservations. Examples of funded projects are: Health assessments, health consultations, community involvement and health education.

### **93.210 TRIBAL SELF-GOVERNANCE DEMONSTRATION PROGRAM: PLANNING AND NEGOTIATION COOPERATIVE AGREEMENTS AND IHS COMPACTS (Tribal Self-Governance)**

#### **FEDERAL AGENCY: INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To make financial assistance awards to Indian tribes to enable them to assume programs, functions, services, and activities (PFSA) of the Indian Health Service (IHS), Department of Health and Human Services (DHHS), and of the Department of Interior that are otherwise available to Indian tribes or organizations. Such assumptions will be by compact awards. The Self-Governance (SG) Demonstration Projects initially limited the number of compacts that could

be awarded to 30. However, the 1994 Amendments authorize the addition of up to 30 tribes per fiscal year for the 10 year demonstration period not to exceed 18 years. In preparation for additional tribes each fiscal year, the IHS will make planning cooperative agreements available. The planning cooperative agreement allows a tribe to gather information to determine the current types and extent of PFSAs and funding levels available within its service area and to plan for the types and extent of PFSAs and funding to be made available to the tribe under a compact. The IHS will also award funding of negotiating cooperative agreements which allows tribes to prepare to negotiate for compact status.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Establishing and operating programs to provide planning and negotiation resources to tribes interested in participating in the SGDP. These cooperative agreements will be awarded on a one-time basis. Compacts awarded as the result of the negotiation cooperative agreements will be multi-year for the length of the demonstration phase.

**Applicant Eligibility:** For planning projects, any federally recognized tribe which (1) formally requests, through a governing body action, a planning cooperative agreement for the purpose of participation in the SGDP (2) has operated two or more mature contracts; and (3) has furnished organization wide single audit reports as prescribed by Public Law 96-502, the Single Audit Act of 1984, for the previous 3 years that contain no significant or material audit exceptions. For each fiscal year, an additional 30 tribes may be eligible for selection to participate in the SGDP.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Office of Tribal Self-Governance, Indian Health Service, Parklawn Building, Room 5A-55, 5600 Fishers Lane, Rockville, MD 20857 (301) 443-7821. Grants Management Contact Grants Management Branch, Division of Acquisition and Grants Management, Indian Health Service, Twinbrook Metro Plaza, Suite 100, 12300 Twinbrook Parkway, Rockville, MD 20852 (301) 443-5204.

### **93.211 RURAL TELEMEDICINE GRANTS (Rural Telemedicine Grant Program)**

#### **FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** The purpose of this grant program is to expand access to, coordinate, restrain the cost of, and improve the quality of essential health care services, including preventive and emergency services, through the development of integrated health care delivery systems or networks in rural areas and regions. Specifically the purpose of the Rural Telemedicine Grant Program is to demonstrate how Telemedicine can be used as a tool in developing integrated systems of health care, improving access to health services for rural citizens and reducing the isolation of rural health care practitioners. The purpose is also to collect information for a systematic evaluation on the feasibility, costs, appropriateness and acceptability of rural Telemedicine.

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**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds may be used to demonstrate the use of Telemedicine in facilitating the development of rural health care networks and for improving access to health care services for rural citizens; provide a baseline of information for a systematic evaluation of Telemedicine systems serving rural areas; purchase or lease and install equipment; and to operate the Telemedicine system and evaluate the Telemedicine system. Not more than 40 percent of grant funds may be expended for equipment. Not more than 20 percent of grant funds may be expended for indirect costs. Grant funds may not be used for purchasing and installing telecommunications transmission equipment (e.g., microwave towers, satellite dishes, amplifiers, digital switching equipment or laying cable or telephone lines). Construction costs are allowable only for minor renovations related to the installation of equipment.

**Applicant Eligibility:** An entity that is a health care provider and a member of an existing or proposed Telemedicine network, or an entity that is a consortium of health care providers that are members of an existing or proposed Telemedicine network shall be eligible for a grant under this section. An eligible network may include for-profit entities so long as the network grantee is a nonprofit entity.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Office of Rural Health Policy, Health Resources and Services Administration, Public Health Service, 5600 Fishers Lane, Room 9-05, Rockville, MD, 20857 (301) 443-0835. Grants Management contact: Grants Management Office, Bureau of Primary Health Care, Health Resources and Services Administration, PHS, East-West Building, 11th Floor, 4350 East-West Highway, Rockville, MD 20814 (301) 594-4235.

**EXAMPLES OF FUNDED PROJECTS:** High Plains Rural Health Network, Fort Morgan, Colorado: Lee Green, 303-867-6195. The High Plains Rural Health Network is a consortium of hospitals, clinics, and physician practices in the tri-state region encompassing Colorado, Nebraska, and Kansas. Its telemedicine network will have two hub facilities--one in Denver and one in Ft. Collins--serving nine rural hospitals, one community health center, and a long-term care facility. The telemedicine system will utilize a videoconferencing system and an electronic bulletin board for ongoing communications among all network practitioners. The network also will facilitate "shared call," so a single physician can be "on call" for the largely frontier area served by the network. University of Kentucky Medical Center, Lexington, Kentucky: Dr. James Norton, 606-323-8018. The University of Kentucky Medical Center (UKMC) and Outpatient Clinic will provide specialty consultations to 13 rural clinics, 2 small rural hospitals, 5 rural secondary care level hospitals, and one community college student health service clinic. The secondary care hospitals will also provide consultations. The telemedicine system will use a variety of technologies, including video conferencing and computer-based image transfer. This project builds upon a

1-800 telephone consultation and referral system called UKMDs. One goal of the project is to alleviate the isolation experienced by health professions in the Appalachian region served by the project.

### **93.219 MATCHING GRANTS FOR HEALTH PROFESSIONS SCHOLARSHIPS TO INDIAN TRIBES (Health Professions Scholarships)**

**FEDERAL AGENCY:** INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To provide scholarships to Indians for health professions education through Indian tribes and tribal organizations. Scholarships must be for health careers listed in the current fiscal year Federal Register Notice for the Indian Health Professions Scholarship Program. Related objectives of the program are the proper administration of a project, selection of scholarship recipients by fair and consistent means, monitoring of recipients to assure compliance with scholarship requirements, and eventual job placement within the service area of the grantee organization to fulfill service obligation. One of the monitoring objectives is assuring satisfactory academic performance which is defined as: (1) a 2.0 grade point average (GPA); (2) the GPA required by the college/university; or (3) a 3.0 GPA for most graduate level programs. A project awarded under this program will be by a grant to the tribe or tribal organization.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Establishing and operating a program to provide matching grants to Indian tribes and tribal organizations to assist them in educating Indians to serve as health professionals in Indian communities. Under these grants, Indian tribes and tribal organizations shall provide scholarships to Indians pursuing health professions careers as listed in the current Federal Register Notice for the Indian Health Professions Scholarship Program. The grantees shall provide 20 percent matching of such scholarship costs. A scholarship recipient under this program is required to sign a service obligation contract with the Indian Health Service (IHS). The service obligation is the number of years equal to the number of years for which the scholarship is awarded but not less than 2 years. There are penalties for recipients because of: failure to maintain academic standing, dismissal, voluntary termination of training, failure to accept payment under the scholarship, or failure to begin or complete service. The IHS is responsible for collection of penalties.

**Applicant Eligibility:** Any federally recognized Indian tribe or tribal organization is eligible to apply for a grant. The definitions of federally-recognized Indian tribes and tribal organizations are found under Sections 4(d) and 4(e) of the Indian Health Care Improvement Act, Public Law 94-437, as amended.

### **INFORMATION CONTACTS:**

**Headquarters Office:** The Chief, Scholarships Branch, Indian Health Service, DHHS, Twinbrook Metro Plaza, Suite 100A, 12300 Twinbrook Parkway, Rockville, MD 20852.

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(301) 443-6197. Grants Management Contact: Grants Management Branch, Division of Acquisition and Grants Management, Indian Health Service, Twinbrook Metro Plaza, Suite 100, 12300 Twinbrook Parkway, Rockville, MD 20852. (301) 443-5204.

### 93.223 DEVELOPMENT AND COORDINATION OF RURAL HEALTH SERVICES

#### FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To develop and disseminate information to assist rural communities and rural health care organizations in developing and coordinating rural health care services. Sources of information include, but are not limited to, Federal and State agencies working in rural health care, health publications, research reports, and reports from national health care associations.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** All funds awarded are to be expended solely for carrying out the approved activities in accordance with the intent of the cooperative agreement as stipulated in the notice of grant award.

**Applicant Eligibility:** Nonprofit private organizations that represent national, State and local rural health constituencies. The applicant must represent National, State, and local constituency groups who are interested in and committed to improvements in rural health care.

#### INFORMATION CONTACTS:

**Headquarters Office:** Office of Rural Health Policy, Health Resources and Services Administration, Public Health Service, DHHS, Parklawn Building, Room 9-05, 5600 Fishers Lane, Rockville, MD 20857 (301) 443-0835. Grants Management Contact: Office of Grants Management, Bureau of Primary Health Care, East-West Building, 11th Floor, 4350 East-West Highway, Bethesda, MD 20814 (301) 594-4235.

**EXAMPLES OF FUNDED PROJECTS:** Two National and three Regional meetings of State Offices. Two workshops on Rural Minority Health. Meetings and support for the National Recruitment Network, and technical assistance to States and local organizations.

### 93.224 COMMUNITY HEALTH CENTERS

#### FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To support the development and operation of community health centers which provide preventive and primary health care services, supplemental health and support services and environmental health services to medically underserved areas/populations. Priorities will be focused on providing services in the most medically underserved areas and maintaining existing centers which are serving high priority populations. Centers must have demonstrated sound

capacities in the following areas: fiscal and management capabilities; monitoring and assessment of project performance; development and implementation of mechanisms for improving quality of care; and maximization of third-party reimbursement levels, through improved project administration and management.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Applications should be designed to improve the availability, accessibility and organization of health care within medically underserved communities.

**Applicant Eligibility:** Public or nonprofit private agency, institution, or organization and a limited number of State and local governments. Profit-making organizations are not eligible.

#### INFORMATION CONTACTS:

**Regional or Local Office:** HRSA Offices of Field Coordination.

**Headquarters Office:** Director, Division of Community and Migrant Health, Bureau of Primary Health Care, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 7th Floor, 4350 East-West Highway, Bethesda, MD 20814 (301) 594-4300. Grants Management Contact: Office of Grants Management, Bureau of Primary Health Care, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 11th Floor, 4350 East-West Highway, Bethesda, MD 20814. Telephone: (301) 594-4235.

**EXAMPLES OF FUNDED PROJECTS:** (1) Health centers; (2) health networks to support systems of care; (3) a community health program; and (4) planning grants.

### 93.226 RESEARCH ON HEALTHCARE COSTS, QUALITY AND OUTCOMES

#### FEDERAL AGENCY: AGENCY FOR HEALTH CARE POLICY AND RESEARCH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To support health services research to create new knowledge and better understanding of the process by which health services are made available, and how they may be provided more efficiently and effectively. The Agency for Healthcare Research and Quality (AHRQ) has a broad legislative mandate to support, conduct, and disseminate research that improves the outcomes, quality, access to, and cost and utilization of health care services. Major categories of research include outcomes and effectiveness, clinical effectiveness trials, evidence-based practice centers (EPCs) translating research into practice, quality measurement and improvement, health information technology, dissemination activities, primary care, rural health, and managed care.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The research should contribute to the health services knowledge base from which empirically based information can be derived by

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policymakers, both immediately and over the coming decades. Grants include funds for direct costs (such as personnel, travel, equipment, or supplies) necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs. Unallowable costs, as well as those for which prior written approval is required, are indicated in the PHS Grants Policy Statement. Discretionary funds are available.

**Applicant Eligibility:** Federal, State or local government agencies, federally-recognized Indian Tribal Governments, U.S. Territories, sponsored organizations, non-government organizations, minority groups, specialized groups, public or private institutions of higher education, and other public or nonprofit private agencies, institutions, or organizations. Research project grants may also be awarded to individuals. For-profit organizations are eligible to apply for these grants only if "cooperative agreement" is the designated funding mechanism.

### INFORMATION CONTACTS:

**Headquarters Office:** Agency for Healthcare Research and Quality, Department of Health and Human Services, Suite 601, Executive Office Center, 2101 East Jefferson Street, Rockville, MD 20852. Program and Grants Management Contact: Mable Lam, Grants Management Officer. Telephone: (301) 594-1844. Use the same number for FTS.

**EXAMPLES OF FUNDED PROJECTS:** (1) Risk Adjustment Methods for Hysterectomy Complications; (2) Quality of Care for Newborn Jaundice; (3) Improving Heart Failure Care in Minority Communities; (4) Medical Intervention Effectiveness and Outcomes in Chronic Obstructive Pulmonary Disease; (5) Comparison of Treatment Efficacy for Prostate Cancer; and (6) Organization Determinants of HIV Care Improvement.

### 93.228 INDIAN HEALTH SERVICE HEALTH MANAGEMENT DEVELOPMENT PROGRAM (Indian Health)

**FEDERAL AGENCY:** INDIAN HEALTH SERVICE, PUBLIC HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To improve the quality of the health of American Indians and Alaska Natives by providing a full range of curative, preventive and rehabilitative health services; and to improve the management capability of American Indians and Alaska Natives to assume operation of all or part of an existing Indian Health Service (IHS) direct-operated health care program.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The assistance is used for six types of management related projects: (1) Feasibility Studies; (2) Planning; (3) Tribal Health Management Structure Development; (4) Evaluation; (5) Technical Assistance; and (6) Federal Programs Analysis. Tribal management grants may not be used to support operational programs, or to supplant existing public and private resources. Assistance is also provided for health-related

services such as injury prevention. This assistance is available to federally-recognized Indian tribes and tribal organizations.

**Applicant Eligibility:** Federally-recognized tribes and tribal organizations.

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory for Indian Health Service Area Offices.

**Headquarters Office:** For Tribal Management Program: The Division of Community Services, Office of Tribal Programs, Indian Health Service, Room 6A-05, 5600 Fishers Lane, Rockville, MD 20857 (301) 443-6840. For Injury Prevention Program: The Division of Community & Environmental Health, Office of Public Health, Indian Health Service, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 450, Rockville, MD 20852 (301) 443-1054. For Health Services Projects and Grants Management Contact: The Grants Management Branch, Division of Acquisitions and Grants Management, Indian Health Service, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, MD 20852. Telephone: (301) 443-5204.

**EXAMPLES OF FUNDED PROJECTS:** The development of: (1) A management structure (2) an evaluation of tribal health programs; (3) a feasibility study and comprehensive health plan; (4) a management information system; (5) technical assistance; (6) a development of financial/management systems for Public Law 93-638 programs; (7) a Federal program analysis; and (8) development of an infrastructure for injury prevention.

### 93.241 STATE RURAL HOSPITAL FLEXIBILITY PROGRAM

**FEDERAL AGENCY:** HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To help States work with rural communities and hospitals to develop and implement a rural health plan, develop integrated networks of care, improve emergency medical services and designate critical access hospitals (CAHS).

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** All funds awarded are to be expended solely for the purposes outlined in the approved projects.

**Applicant Eligibility:** States that have submitted a State rural health Plan to the Health Care Financing Administration (HCFA) can apply. All other States need to submit an application to the Regional Administrator of their HCFA Regional Office that expresses the State's interest in developing a Medicare Rural Hospital Flexibility Program before they can apply.

### INFORMATION CONTACTS:

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Associate Director, Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 9-05, Rockville, MD 20857 (301) 443-0835. Grants Management: Grants Management Office, Bureau of Primary Health Care, 4350 East-West Highway, 11th Floor, Bethesda, MD 20814 (301) 594-4235.

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**EXAMPLES OF FUNDED PROJECTS:** None.

### 93.242 MENTAL HEALTH RESEARCH GRANTS

**FEDERAL AGENCY:** NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To increase knowledge of basic biological and behavioral processes that underlie mental and behavioral disorders and of processes that contribute to maintaining mental health; to improve methodologies for research relevant to these disorders; and to conduct research on mental health services. Research supported by the National Institute of Mental Health may employ theoretical, laboratory, clinical, methodological and field studies. Studies may involve individuals with a mental disorder diagnosis, individuals with symptom levels that do not meet diagnostic thresholds, and healthy individuals of all ages. Research also may involve animal, computational and mathematical models appropriate to the system being investigated and the state of the field. Areas eligible for research support are: neuroscience, including molecular genetics; behavioral sciences; epidemiology; clinical assessment; etiological studies; treatment; prevention; services research; and research on HIV/AIDS behavior. The Minority Research Infrastructure Support Program provides awards to increase the capacity of institutions with a substantial enrollment of racial ethnic minority students to conduct mental health research projects. The Small Business Innovation Research (SBIR) program and the Small Business Technology Transfer (STTR) program provide awards to increase small business participation in Federal research and development by means of increasing cooperative research and development between small businesses and research institutions (SBIR); and encouraging participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation (STTR).

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** (1) Research project grants provide support for clearly defined projects or a small group of related research activities, and when appropriate, support of research conferences; (2) Program Project and Center grants support large-scale, broad-based programs of research, usually interdisciplinary consisting of several projects with a common focus; (3) Research Resources Development, Research Demonstrations, special Research Exploratory projects, and Dissertation Support; and (4) Small grants support small-scale exploratory and pilot studies or exploration of an unusual research opportunity. Standard small grants are limited to \$50,000 direct costs for a period of 2 years or less, while newer small grants are for less time and funds. SBIR and STTR grants are awarded in two stages: Phase I grants are awarded to establish the technical merit and feasibility of a proposed research and development effort; only Phase I awardees are eligible to receive Phase II support.

**Applicant Eligibility:** Public, private, profit, or nonprofit agencies (including State and local government agencies), eligible Federal agencies, universities, colleges, hospitals, and academic or research institutions may apply for research grants. SBIR grants can be awarded only to domestic small

businesses, and STTR grants can be awarded only to domestic small businesses which "partner" with a research institution in cooperative research and development. For further definitions, requirements, and restrictions see the Omnibus Solicitation of the Public Health Service for Small Business Innovation Research Grant Applications (PHS 97-2) and the Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer Grant Applications (PHS 97-3).

#### **INFORMATION CONTACTS:**

**Headquarters Office:** Director, Division of Basic and Clinical Neuroscience Research (Branches: Behavioral and Integrative Neuroscience Research; Molecular and Cellular Neuroscience Research; Genetics Research; Preclinical and Clinical Therapeutics. Other Programs: Translational Research and Scientific Technology; Research Training and Research Development) (301) 443-3563. Division of Mental Disorders, Behavioral Research and AIDS (Branches: Behavioral Science Research; Developmental Psychopathology Research; Prevention, Early Intervention, and Risk Factors Research; Office on AIDS Research. Other Programs: Research Training; Children and Adolescents; Aggression and Trauma; Technology Transfer) (301) 443-7281. Division of Services and Treatment Research (Branches: Services Research and Clinical Epidemiology; Adult and Geriatric Treatment and Prevention Intervention Research; Child and Adolescent Treatment and Prevention Intervention Research. Other Programs: Research Training; Multisite Studies; Preventive Interventions; Disability Research. Other Units: Research Publication; and Biostatistics and Data Management) (301) 443-3648. Human Brain Project and SBIR/STTR: (301) 443-3563. AIDS-related SBIR/STTR: (301) 443-3175. Office of Rural Mental Health Research (301) 443-3664. The Associate Director for Special Populations (Minority Research Infrastructure Support Program) (301) 443-2847. Grants Management Contact: National Institute of Mental Health, NIH, Department of Health and Human Services, Room 7C-08, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2811.

**EXAMPLES OF FUNDED PROJECTS:** (1) Basic and clinical neuroscience approaches to normal and disordered behavior; Genetic studies of depressive disorders; (2) prospective study of children of schizophrenic parents; (3) neural bases of major psychiatric disorders; (4) genomic control of CNS development; (5) Psychosocial interventions in senile dementia; (6) legal impact on mental health practice; (7) processes in learning and behavioral change; (8) prevention of high-risk AIDS behavior; and (9) antibodies to rationally modulate specific neurotransmitter receptors.

### 93.244 MENTAL HEALTH CLINICAL AND AIDS SERVICE-RELATED TRAINING GRANTS

**FEDERAL AGENCY:** SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** (1) Institutions Clinical Training Grants: To encourage mental health specialists to work in areas and settings where severe shortages exist; to increase the number

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of qualified minority personnel in the mental health professions, and the number of mental health personnel trained to deal with the special problems of children, adolescents, the elderly, the seriously mentally ill, and rural populations; and to assure that the skills and knowledge of mental health personnel are appropriate to the needs of those they serve. (2) State Human Resource Development (HRD) Grants: To assist State mental health authorities in the development of human resources.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds may be used by Clinical Training grantees: (1) to defray institution costs of the training program (personnel, supplies, equipment, travel, and other necessary expenses) according to formula: \$10,008 per predoctoral trainee, \$19,608 to \$32,300 per postdoctoral trainee depending on years of relevant experience; and (2) to provide trainee stipends and other allowances (tuition and fees, etc.) for individuals enrolled in the training program. Funds may be used only for expenses directly related to the training program. Individual Faculty Scholar Awards: Salary support, \$25,000 in educational expenses, etc. State HRD Grants: Varies according to project.

**Applicant Eligibility:** Grants are awarded to public or private nonprofit institutions and organizations, and State and local government agencies for training relevant to mental health service priorities in the mental health disciplines of psychiatry, psychology, social work, psychiatric nursing, marriage and family therapy and in specialized areas of high priority and need such as State manpower development projects; and for State mental health agencies to plan, implement, and evaluate systems for mental health services manpower development. Trainees in psychology, psychiatry, psychiatric nursing, social work, or marriage and family therapy unless training program is of limited duration or experimental nature, will be subject to payback requirements of Section 303 of the PHS Act.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Human Resource Planning and Development Branch, Center for Mental Health Services, SAMHSA, Department of Health and Human Services, Room 15C-18, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857 (301) 443-5850. AIDS Training, HIV/AIDS Program, CMHS, SAMHSA, Rm. 15-81, (301) 443-7817. Grants Management Contact: SAMHSA, Department of Health and Human Services, Rockwall II Building, Room 630, 5600 Fishers Lane, Rockville, MD 20857 (301) 443-9666.

**EXAMPLES OF FUNDED PROJECTS:** (1) Psychosocial pediatrics for primary care physicians; (2) social work training in community mental health; (3) a graduate program in nursing for ethnic minorities; (4) training foster parents as mental health workers; and (5) an interdisciplinary mental health care education program.

### **93.246 HEALTH CENTERS GRANTS FOR MIGRANT AND SEASONAL FARMWORKERS**

**FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To support the development and operation

of Health Centers and Migrant health programs which provide primary health care services, supplemental health services, technical assistance and environmental health services, which are accessible to migrant and seasonal agricultural farm workers and their families as they move and work.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Migrant health centers program funds may be used for the planning, development, and operation of health programs for migrant and seasonal agricultural workers. Health Centers Programs for migrant farmworkers include the defined primary and supplemental services as specified in the legislation. Funds may also be used to assist in the implementation of acceptable environmental health programs. In addition, program funds may be used to conduct projects and studies to assist States and entities which have received grants or contracts under Section 330 (g) in the assessment of problems related to camp and field sanitation, pesticide hazards, and other environmental health hazards to which migrant agricultural workers, seasonal agricultural workers, and members of their families are exposed.

**Applicant Eligibility:** Any public or nonprofit private entity. Priority will be given to applications submitted by community-based organizations which are representative of the populations to be served. Profit-making organizations are not eligible.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the appropriate HRSA Field Office.

**Headquarters Office:** Program Contact: Adolfo Mata, Chief, Migrant Health Branch, Bureau of Primary Health Care, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 4350 East-West Highway, 7th Floor, Bethesda, MD 20814. Telephone: (301) 594-4303. Grants Management Contact: Grants Management Officer, Bureau of Primary Health Care, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 4350 East-West Highway, 11th Floor, Bethesda, MD 20814. Telephone: (301) 594-4235.

**EXAMPLES OF FUNDED PROJECTS:** Primary or comprehensive ambulatory health care projects include: outreach, transportation, lab pharmacy, x-ray services, occupational hazards and environmental studies, as well as technical and nonfinancial assistance to migrant health centers and programs, children's health insurance program.

### **93.252 COMMUNITY ACCESS PROGRAM**

**FEDERAL AGENCY: OFFICE OF THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To assist communities and consortia of health care providers to develop the infrastructure necessary to fully develop or strengthen integrated health care systems of care that coordinate health services for the uninsured.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Examples of activities that could be supported with this funding include: (1) Offering a comprehensive delivery system for the uninsured

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and underinsured through a network of safety net providers (single registration, eligibility systems); (2) integrating preventive, mental health, substance abuse, HIV/AIDS, and maternal and child health services within the system (Block Grant funded services, other DHHS programs, State and local programs); (3) developing a shared information system among the community's safety net providers (tracking, case management, medical records, financial records); (4) developing and incorporating shared clinical protocols, quality improvement systems, utilization management systems, and error prevention systems; (5) sharing core management functions (finance, purchasing, appointment systems); (6) coordinating and strengthening priority services to specific targeted patient groups; (7) developing affordable pharmaceutical services. Funding provided through this program may not be used to substitute for or duplicate funds currently supporting similar activities. Grant funds may support costs such as: (1) Project staff salaries; (2) consultant support; (3) management information systems (e.g. hardware and software); (4) project related travel; (5) other direct expenses necessary for the integration of administrative, clinical, and information systems, of financial functions; and (6) program evaluation activities. With appropriate justification on why funds are needed to support the following costs up to 15 percent of grant funds may be used for: (1) Alteration or renovation of facilities; (2) primary care site development; (3) service expansions or direct patient care. Grant funds may not be used for: (1) Construction; (2) reserve requirements for State insurance licensure. Twenty-five million is available for up to 20 communities to further their development of integrated delivery systems for the uninsured. Approximately 95 percent will be used for discretionary activities. Grants will vary in size based on the scope of the project and the size of the service area. A typical grant may not exceed \$1 million.

**Applicant Eligibility:** Applications may be submitted by the public, private, and nonprofit entities who demonstrate a commitment to and experience with providing a continuum of care to uninsured individuals. Each applicant must represent a community-wide coalition that is committed to the project and includes safety net providers (where they exist) that have traditionally provided care to the community's uninsured and underinsured regardless of ability to pay. The community-wide coalition must consist of partners from all levels of care (i.e., primary, secondary, tertiary) and partners who represent a range of services (e.g., mental health and substance abuse treatment, maternal and child health care, oral health, HIV/AIDS). Examples of eligible applicants that may apply on behalf of the community-wide coalition include but are not limited to: (1) A consortium or network of providers (e.g. public and charitable hospitals; community, migrant, homeless, public housing, and school-based health centers; rural health clinics; free health clinics; teaching hospitals and health professions education schools); (2) local government agencies (e.g., local public health departments with service delivery components); (3) Tribal governments; (4) managed care plans or other payers (e.g., HMOs, insurance companies); (5) agencies of State governments, multi-state health systems, or special interest groups may submit applications on behalf of multiple communities if they demonstrate the ability to coordinate community health care delivery systems and bring resources to the community.

Competing applications for the same patient population will not be considered for funding; therefore, applicants from the same community should collaborate.

### INFORMATION CONTACTS:

**Regional or Local Office:** Boston (617) 565-1420: New York (212) 264-2549 - Primary Care; Philadelphia (215) 861-4414 : Atlanta (404) 562-4127 - Public Health Advisor; Chicago (312) 353-1254 -Regional Program Consultant; Kansas City (816) 426-5296 ext. 239 - Regional Program Consultant; Dallas (214) 767-4533 - Associate Field Director for Primary Care; Denver (303) 844-3203 - Public Health Advisor; San Francisco (415) 437-8113 - Public Health Advisor; and Seattle (206) 615-2490 - Regional Program Consultant.

**Headquarters Office:** Community Access Program Office, Health Resources and Services Administration, Parklawn Building, Suite 9A-30, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0536. Fax: (301) 443-0248.

**EXAMPLES OF FUNDED PROJECTS:** This is a new program no examples are available.

### 93.259 RURAL ACCESS TO EMERGENCY DEVICES GRANT (RURAL ACCESS TO AEDS)

**FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To help community partnerships within States to purchase and obtain training on use of Access to Emergency Devices (AEDs). A limited amount of funds may also be used for medical dispatcher training addressing the use of AEDs for the layperson until EMS arrives, maintenance costs and data reporting costs.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** All funds awarded are to be expended solely for the purposes outlined in the approved projects.

**Applicant Eligibility:** Grantees applying as a community partnership may apply. These grantees must be located in rural areas as defined in the grant guidance may include local first response entities such as fire, EMS and police as well as for and nonprofit entities concerned with cardiac arrest survival rates. Statewide or regional offices may apply as part of the community partnership, but such participation must be documented in the grant application and clearly delineate how funds would be disseminated to local rural entities within the community partnership.

### INFORMATION CONTACTS:

**Regional or Local Office:** None.

**Headquarters Office:** Program Contact: Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-55, Rockville, MD 20857. Telephone: (301)443-0835. Grants Management Contact: Grants Management Officer, Grants Management Office, Bureau of Primary Health Care, Health Resources and Services Administration, East-West Tower, 11th Floor, 4350 East-West Highway, Bethesda, MD 20814. Telephone: (301)594-4235.

**EXAMPLES OF FUNDED PROJECTS:** Pilot AED



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projects funded by the State Offices of Rural Health in Vermont, Maine and Wisconsin during fiscal year 2001.

### **93.268 IMMUNIZATION GRANTS (Section 301 and 317, Public Health Service Act; Immunization Program)**

**FEDERAL AGENCY: CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To assist States and communities in establishing and maintaining preventive health service programs to immunize individuals against vaccine-preventable diseases (including measles, rubella, poliomyelitis, diphtheria, pertussis, tetanus, hepatitis B, hepatitis A, varicella, mumps, haemophilus influenza type b, influenza, and pneumococcal pneumonia).

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds may be used for costs associated with planning, organizing, and conducting immunization programs directed toward vaccine-preventable diseases and for the purchase of vaccine; and for the implementation of other program elements, such as assessment of the problem; surveillance and outbreak control; information and education; adequate notification of the risks and benefits of immunization; compliance with compulsory school immunization laws; vaccine storage, supply, and delivery; citizen participation; and use of volunteers. Vaccine will be available "in lieu of cash" if requested by the applicants. Requests for personnel and other items "in lieu of cash" will also be considered. Vaccine purchased with grant funds may be provided to private practitioners who agree not to charge for vaccine. Grant funds may be used to supplement (not substitute for) existing immunization services and operations provided by a State or locality.

**Applicant Eligibility:** Any State, and in consultation with State health authorities, political subdivisions of States and other public entities. Private individuals and private nonprofit agencies are not eligible for immunization grants.

#### **INFORMATION CONTACTS:**

**Headquarters Office:** Immunization Services Division, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE., Atlanta, GA 30333 (404) 639-8208; Fax: (404) 639-8627; E-mail: JNK2@cdc.gov. Grants Management Contact: Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Department of Health and Human Services, Room 300, 255 E. Paces Ferry Road, NE., Atlanta, GA 30305 (404) 842-6517.

**EXAMPLES OF FUNDED PROJECTS:** The majority of official State Health Departments (such as California, Michigan, and Texas) and some large local health departments (such as New York and Chicago) have ongoing disease control programs utilizing these grants. Immunization Projects: The national program goals for immunization are to reduce morbidity and mortality due to vaccine-preventable diseases; maintain interruption of indigenous measles transmission; prevent perinatal transmission of hepatitis b; maintain 95 percent immunization levels for school enterers, and 90 percent immunization levels for children enrolled in licensed day-care centers against measles, poliomyelitis,

diphtheria, tetanus, pertussis, rubella, mumps, and Haemophilus influenza type b (Hib); develop, test, and implement systems for use in the States to ensure that 90 percent or more of children complete basic immunizations by age 2; and promote appropriate immunization programs for adults. Effective comprehensive programs, include the following elements: (1) surveillance of vaccine-preventable diseases; (2) development and implementation of specific plans to raise immunization levels within pre-school age high-risk groups; (3) assessment of immunization status in public clinics, private physician offices, and schools; (4) public information and education programs; (5) participation of citizens groups and volunteers; and (6) consistent enforcement of compulsory school immunization laws.

### **93.284 INJURY PREVENTION PROGRAM FOR AMERICAN INDIANS AND ALASKAN NATIVES—COOPERATIVE AGREEMENTS**

**FEDERAL AGENCY: INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To improve the quality of the health of American Indians and Alaska Natives by providing a full range of injury preventive health services.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The assistance is used for three types of injury prevention related projects: (1) Part I: Build or enhance local injury prevention capacity; (2) Part II: Implement a proven or promising injury prevention intervention; or (3) Part III: Provide training meetings or conferences related to injury prevention and control. This assistance is available to federally-recognized Indian tribes, tribal organizations, and urban Indian organizations.

**Applicant Eligibility:** Federally-recognized tribes, tribal organizations, and urban Indian organizations may apply.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** See your local telephone directory for Indian Health Service Area Offices.

**Headquarters Office:** Injury Prevention, NCIPC, CDC, 4700 Buford Highway, NE, Mail Stop K-63, Atlanta, GA 30341. Telephone: (770) 488-4712. For Grants Management Contact: Grants Management Branch, Division of Acquisitions and Grants Management, Indian Health Service, Twinbrook Metro Plaza, Suite 100, 12300 Twinbrook Parkway, Rockville, MD 20852. Telephone: (301) 443-5204.

**EXAMPLES OF FUNDED PROJECTS:** Basic Core Capacity Injury Prevention Program Development or Enhanced Injury Prevention Program Development, implementation of proven or promising injury prevention projects that are based on addressing local injury problems and provision of training for tribes, tribal organizations, and urban Indian organizations to address local injury prevention issues and concerns.

### **93.288 NATIONAL HEALTH SERVICE CORPS SCHOLARSHIP PROGRAM (NHSC Scholarship Program)**

**FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

## Federal Funding Sources For Rural Areas

**OBJECTIVES:** To provide service-obligated scholarships to health professions students to assure an adequate supply of primary care physicians, certified nurse midwives, certified family nurse practitioners, and physician assistants in Health Professional Shortage Areas of the U.S.A.; and, if needed by the National Health Service Corps, an adequate supply of other health professionals.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Service-obligated scholarships for full-time students of allopathic (M.D.) and osteopathic (D.O.) medicine, family nurse practitioner, nurse midwifery, and primary care physician assistants. Other health disciplines may be included after 1998 if needed by National Health Service Corps. Scholarship pays funds to school for tuition and required fees for the year, pays monthly stipend to student (\$935 for 12 months of the 1998-1999 school year) and a single annual payment to cover cost of all other reasonable educational expenses (books, supplies, equipment, uniforms, clinical travel, etc.) based on average costs by school and class year. Awards are limited to U.S. citizens due to potential for Federal employment during service. Applicant must be enrolled in an U.S. accredited school. Each year of support incurs 1 year of service. A 2-year minimum service obligation is required. A maximum of 4 years of support may be awarded. Service may be as Federal or salaried nonfederal employee providing full-time primary health services. Service sites are selected from those listed by National Health Service Corps 1 year prior to service in federally-designated Health Professional Shortage Areas for physicians and in May of the year of graduation for nurse practitioners, nurse mid-wives, and physicians assistants. Service sites are limited to the United States, territories, and successor States to the Trust Territories, the Republic of Marshall Islands, the Federated States of Micronesia, and the Republic of Palau. Deferments of service are granted physicians to complete residencies in family practice, internal medicine, pediatrics, and OB/GYN.

**Applicant Eligibility:** At the time of award, the applicant must be a U.S. citizen or national. At the time of award, the applicant must be enrolled or accepted for enrollment in accredited school in U.S. The applicant must submit application and signed contract to the Public Health Service (PHS) by the last Friday in March, agreeing to accept payment of scholarship and provide full-time primary health services in a Health Professional Shortage Area.

**INFORMATION CONTACTS:**

**Headquarters Office:** Scholarship Programs Branch, Division of Scholarships and Loan Repayments, Bureau of Primary Health Care, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 4350 East-West Highway, 10th Floor, Bethesda MD 20814. Public Information (301) 594-4410. For 24-hour toll-free calls from outside MD: 1-800-638-0824, use for requesting applications.

**EXAMPLES OF FUNDED PROJECTS:** Scholarships covering tuition, monthly stipends and payments for other reasonable education expenses made to medical school students, as well as for students of nurse practitioner, nurse midwifery and physician assistant programs.

### 93.301 SMALL RURAL HOSPITAL

### IMPROVEMENT GRANTS

#### FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To help hospitals: (1) pay for costs related to implementation of PPS; (2) comply with provisions of HIPAA; and (3) reduce medical errors and support quality improvement.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** All funds awarded are to be expended solely for carrying out the approved projects.

**Applicant Eligibility:** All small rural hospitals located in the 50 States and Territories.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Program contact: Office of Rural Health Policy, Parklawn Building, Room 9A-55, 5600 Fishers Lane, Rockville, MD, 20857, 301-443-0835, 301-443-2803 (fax). Grants Management contact: Grants Management Officer, Grants Management Office, Bureau of Primary Health Care, HRSA, East-West Towers, 11th Floor, 4350 East-West Highway, Bethesda, MD 20814, 301-594-42350.

**EXAMPLES OF FUNDED PROJECTS:** None.

### 93.441 INDIAN SELF-DETERMINATION

(Indian Self-Determination 638 Contracts)

#### FEDERAL AGENCY: INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To enable Indian tribes to assume the management and operation of programs, functions, services, and activities (PFSA) for the delivery of health care to Indian people. To enter into a self-determination contract or contracts with Indian tribes or tribal organizations (T/TO) interested in transferring the responsibility for the administration and provision of health services from the government to tribal leadership.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds are for the tribes to provide the PFSA's transferred from the Indian Health Service (IHS). The funds are not available for construction unless specifically authorized by Congress. Tribes can reallocate funds within their budget from one line item to another without contract modifications. Tribes also receive administrative funds for the contracted programs.

**Applicant Eligibility:** Any federally-recognized tribe that formally requests, through a governing body action, a resolution, to enter into a self-determination contract or contracts for the purpose of planning, conducting, and administering programs, or portions thereof, including construction programs, provided that the T/TO submits a contract proposal that conforms to the requirements contained in 25 CFR 900.8 may apply.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Aberdeen Area IHS, Alaska Area IHS, Albuquerque Area IHS, Bemidji Area IHS, Billings Area IHS, California Area IHS, Nashville Area IHS, Navajo

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Area IHS, Oklahoma City Area IHS, Phoenix Area IHS, Portland Area IHS, and Tucson Area IHS.

**Headquarters Office:** Mr. Douglas Black, Director, Office of Tribal Programs, Indian Health Service, Parklawn Building, Room 6A-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1104.

**EXAMPLES OF FUNDED PROJECTS:** Hospitals and Health Clinics, Dental Health, Mental Health, Alcohol and Substance Abuse, Contract Health Service, HIV/AIDS, Public Health Nursing, Health Education, Community Health Representatives, Immunization AK, Indian Health Professionals, Director Operations, Contract Support Costs, Diabetes, Catastrophic Health Emergency Fund, Facilities Maintenance and Improvement, Sanitation Facilities Construction, Health Care Facilities Construction, Facilities and Environmental Health Support, Equipment, and Personnel Quarters.

### **93.558 TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (Temporary Assistance for Needy Families (TANF) Programs; State Family Assistance Grants; Tribal Family Assistance Grants; Assistance Grants to Territories; Matching Grants to Territories; Supplemental Grants for Population Increases; Contingency Fund; High Performance Bonus; Decrease in Illegitimacy Bonus)**

**FEDERAL AGENCY: ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To provide assistance to needy families with children so that children can be cared for in their own homes; to reduce dependency by promoting job preparation, work, and marriage; to reduce and prevent out-of-wedlock pregnancies; and to encourage the formation and maintenance of two-parent families.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Cash grants, work opportunities and other services are made directly to needy families with children. States, Territories, or Tribes have broad flexibility to use the grant funds in any manner that meets the purposes of the program (including providing low-income households with assistance in meeting home heating and cooling costs) and in ways that the State, Territory and Tribe was authorized to use funds received under the predecessor Aid to Families with Dependent Children (AFDC), Job Opportunities and Basic Skills Training (JOBS) and Emergency Assistance (EA) programs. States and Territories may also transfer a limited portion of their assistance grant funds to the Child Care and Development Block Grant (CCDBG) and Social Services Block Grant (SSBG) Programs. Not more than 15 percent of any grant may be spent on administrative costs, exclusive of certain computerization and information technology expenses.

**Applicant Eligibility:** In general, all States, Territories, the District of Columbia, and all Tribes, as defined by the Indian Self-Determination Act, are eligible except in Alaska, where only 13 specified entities are eligible. State and local agencies and Tribes that operate TANF programs must do so under

plans determined to be complete or approved by the Department of Health and Human Services (DHHS). For contingency funds, all States and the District of Columbia are eligible if they are determined to be a "needy State" by satisfying either an unemployment trigger or a food stamp trigger. Territories and Tribes are not eligible for Supplemental funds. Eligibility for the High Performance Bonus is based on performance in certain criteria as determined by the Secretary. Eligibility for the Decrease in Illegitimacy Bonus is based on available statistical data.

**INFORMATION CONTACTS:**

**Regional or Local Office:** States, Territories and Tribes should contact the Administration for Children and Families (ACF) Regional Offices. (See your local telephone directory.)

**Headquarters Office:** For all grants except Tribal grants: Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 5th Floor, Aerospace Building, 370 L'Enfant Promenade, SW., Washington, DC 20447. For Tribal Grants: Office of the Director, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 5th Floor, Aerospace Building, 370 L'Enfant Promenade, SW., Washington, DC 20447.

### **93.568 LOW-INCOME HOME ENERGY ASSISTANCE**

**FEDERAL AGENCY: ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** Energy Assistance Block Grants: To make Low-Income Home Energy Assistance Program (LIHEAP) grants available to States and other jurisdictions to assist eligible households to meet the costs of home energy. Supplemental Leveraging Incentive Funds may be awarded to reward States and other jurisdictions that provide additional benefits and services to LIHEAP-eligible households beyond what could be provided with Federal funds. Up to 25 percent of the leveraging incentive funds may be set aside for LIHEAP grantees that provide services through community-based nonprofit organizations to help LIHEAP-eligible households reduce their energy vulnerability; under the Residential Energy Assistance Challenge Program (REACH). Training and Technical Assistance: To provide training and technical assistance to States and other jurisdictions administering the LIHEAP block grant program.

**TYPES OF ASSISTANCE:** Formula Grants; Project Grants; Project Grants (Cooperative Agreements); Project Grants (Contracts).

**USES AND USE RESTRICTIONS:** Energy Assistance Block Grants: Funds are awarded to States and other jurisdictions, which then make payments directly to an eligible low-income household or, on behalf of such household, to an energy supplier to assist in meeting the cost of home energy. Up to 10 percent of these funds may be used for State and local planning and administration. Up to 15 percent may be used for low-cost residential weatherization. Grantees may request that DHHS grant a waiver for the fiscal year that increases from 15 percent to 25 percent funds that can be allotted for residential weatherization.) Depending

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upon specific appropriations, DHHS may allocate supplemental LIHEAP leveraging incentive funds to grantees that have acquired nonfederal leveraged resources for their LIHEAP programs. Beginning in fiscal year 1996, up to 25 percent of leveraging incentive funds may be allocated by DHHS to LIHEAP grantees that provide services through community-based nonprofit organizations to LIHEAP-eligible households to reduce their energy vulnerability, under the Residential Energy Assistance Challenge Program (REACH). Up to \$600,000,000 is authorized as emergency contingency funds to be used to meet additional needs arising from a natural disaster or other emergency. Such funds will be made available only after submission to Congress of a formal budget request for all or part of the funds by the President that designates the amount of the request as an emergency under the Balanced Budget and Emergency Deficit Control Act of 1985. Training and Technical Assistance: The Secretary has authority to set aside up to \$250,000 from each year's appropriation for training and technical assistance relating to the Low-Income Home Energy Assistance Program. These activities are accomplished through grants, contracts, or jointly financed cooperative agreements with States, Indian tribes, tribal organizations, public agencies, or private nonprofit organizations. The nature of and amount awarded for training and technical assistance vary from year to year.

**Applicant Eligibility:** Energy Assistance Block Grants: All States, the District of Columbia, federally and State-recognized Indian Tribal governments which request direct funding, and specified Territories may receive direct grants. The prospective grantee must submit an annual application. Grantees desiring leveraging incentive funds and REACH funds must submit special applications each year. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: States, Indian tribes or tribal organizations, Territories, public agencies, and private nonprofit organizations may apply. Nothing in the statute precludes a business concern that applies jointly with a private nonprofit organization from receiving a training and technical assistance grant.

### INFORMATION CONTACTS:

**Headquarters Office:** Division of Energy Assistance, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-9351. FAX: (202)401-5718.

**EXAMPLES OF FUNDED PROJECTS:** Training and Technical Assistance: Training workshops and clearinghouses have been conducted.

### 93.569 COMMUNITY SERVICES BLOCK GRANT

**FEDERAL AGENCY:** OFFICE OF COMMUNITY SERVICES, ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** (1) To provide services and activities having a measurable and potential major impact on causes of poverty in the community or those areas of the community where poverty is a particularly acute problem; (2) to provide activities designed to assist low-income participants,

including the elderly poor, to: (a) secure and retain meaningful employment; (b) attain an adequate education; (c) make better use of available income; (d) obtain and maintain adequate housing and a suitable living environment; (e) obtain emergency assistance through loans or grants to meet immediate and urgent individual and family needs, including health services, nutritious food, housing, and employment-related assistance; (f) remove obstacles and solve problems which block the achievement of self-sufficiency; (g) achieve greater participation in the affairs of the community; and (h) make more effective use of other related programs; (3) to provide on an emergency basis for the provision of such supplies and services, nutritious foodstuffs, and related services, as may be necessary to counteract conditions of starvation and malnutrition among the poor; (4) to coordinate and establish linkages between governmental and other social services programs to assure the effective delivery of such services to low-income individuals; and (5) to encourage the use of entities in the private sector of the community in efforts to ameliorate poverty in the community.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** (1) States receive block grants to ameliorate the causes of poverty in communities. The block grant approach gives the States flexibility to tailor their programs to the particular services needs in their communities. (2) States are required to use at least 90 percent of their allocations for grants to "eligible entities" as defined in the Community Services Block Grant (CSBG) Act, as amended; this includes primarily locally-based community action agencies and/or organizations that serve seasonal or migrant farm workers. States are allowed to grant up to 7 percent of the funds available under Section 675 (a)(2)(A)(i) of the CSBG Act to organizations which were not eligible entities in the previous fiscal year. (3) No more than the greater of \$55,000 or 5 percent of each State's allocation may be used for administrative expenses at the State level. (4) States may transfer up to 5 percent of their allocation for services under the Older Americans Act, the Head Start program, the Low-Income Home Energy Assistance Program, or the Temporary Emergency Food Assistance Act of 1983.

**Applicant Eligibility:** The Secretary is authorized to make grants to States. This means each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the Virgin Islands, American Samoa, the Commonwealth of the Northern Mariana Islands, and the Republic of Palau. The Secretary also provides assistance directly to the governing body of an Indian Tribe or Tribal organization upon application by the tribe. Only State-recognized tribes, as evidenced by a statement to that effect by the Governor, or tribes formally recognized by the Secretary of the Interior under the procedure for such recognition in 25 CFR, Part 54 are eligible to receive direct grants.

### INFORMATION CONTACTS:

**Headquarters Office:** Division of State Assistance, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-9343.

### 93.570 COMMUNITY SERVICES BLOCK GRANT DISCRETIONARY AWARDS

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### **FEDERAL AGENCY: OFFICE OF COMMUNITY SERVICES, ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To support program activities of national or regional significance to alleviate the causes of poverty in distressed communities which promote: (1) full-time permanent jobs for poverty level project area residents; (2) income and/or ownership opportunities for low-income community members; (3) a better standard of living for rural low-income individuals in terms of housing or water and waste-water treatment; (4) the implementation of projects, including projects proposing new and innovative strategies for addressing the special needs of migrants and seasonal farm workers; and (5) national or regional programs designed to provide character building, sports and physical fitness activities for low-income youth.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Federal funds awarded under the Secretary's Discretionary Authority may be used for activities that: improve the quality of the economic and social environment of low-income residents by providing resources to eligible applicants, by arresting tendencies toward dependency, chronic unemployment and community deterioration in urban and rural areas; address the two major needs of housing, repair and rehabilitation, and water and wastewater treatment; focus on the special needs of migrant and seasonal farm workers to improve their quality of life and advance self-sufficiency; provide national or regional character building, sports and physical fitness programs for low-income youth; and involve significant new combinations of resources. (1) Projects must result in direct benefits targeted toward low-income people as defined in the most recent Department of Health and Human Services Annual Revision of Poverty Income Guidelines, which are published in the Federal Register. (2) Generally, the project must be capable of being completed within 12 to 36 months of the award of the grant. Each project will have an expiration date. (3) OCS will give favorable consideration to projects which document public/private partnership which mobilize cash and/or in-kind contributions.

**Applicant Eligibility:** For economic development projects, eligibility is restricted to private, locally initiated, nonprofit community development corporations (or affiliates of such corporations) governed by a board consisting of residents of the community and business and civic leaders. For all other projects, the Secretary is authorized to make direct grants to States, cities, counties, and private nonprofit organizations.

#### **INFORMATION CONTACTS:**

**Headquarters Office:** Division of Community Discretionary Programs, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-9345.

#### **93.575 CHILD CARE AND DEVELOPMENT BLOCK GRANT (Discretionary Fund of the Child Care and Development Fund)**

**FEDERAL AGENCY: ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF**

### **HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To make grants to States and Tribes to assist low-income families with child care and to: (1) to allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within State; (2) to promote parental choice to empower working parents to make their own decisions on the child care that best suits their family's needs; (3) to encourage States to provide consumer education information to help parents make informed choices about child care; (4) to assist States to provide child care to parents trying to achieve independence from public assistance; and (5) to assist States in implementing the health, safety, licensing, and registration standards established in State regulations.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Lead agencies shall assure that a substantial portion of the Discretionary Funds will be used to provide assistance to low-income working families. Not more than 5 percent of the aggregate amount of funds available to the State may be expended for administrative costs incurred by the State to carry out all of its functions and duties. The term 'administrative costs' does not include the costs of providing direct services. A State shall use not less than 4 percent of the amount of funds for activities that are designed to provide comprehensive consumer education to parents and the public, activities that increase parental choice, and activities designed to improve the quality and availability of child care (such as resource and referral services). Except for approved construction of child care facilities by Tribal grantees, no CCDF funds may be used for purchase or improvement of land, or for the purchase, construction, or permanent improvement (other than minor remodeling) of any building or facility. A portion of discretionary funds separately allocated must be used for Child Care Resource and Referral and/or school-age child care services. Another portion of the discretionary funds separately allocated must be used for improving infant/toddler child care services.

**Applicant Eligibility:** All States, the District of Columbia, Territories (Guam, American Samoa, Puerto Rico, U.S. Virgin Islands, and Commonwealth of the Northern Mariana Islands), Federally recognized Tribal Governments, Tribal organizations, Alaska Native organizations, and Native Hawaiian organizations.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Regional Administrators. (See your local telephone directory for addresses of Regional Offices.)

**Headquarters Office:** Child Care Bureau, Administration on Children, Youth, and Families, Administration for Children and Families, Department of Health and Human Services, 200 Independence Avenue, S.W., 3rd Floor, Room 300-F, Washington, D.C. 20201. Telephone: (202) 690-6782.

#### **93.581 IMPROVING THE CAPABILITY OF INDIAN TRIBAL GOVERNMENTS TO REGULATE ENVIRONMENTAL QUALITY FEDERAL AGENCY: ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

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**OBJECTIVES:** To provide financial assistance to advance tribal capacity and capability to plan for, develop, and implement enhancements to tribal environmental regulatory infrastructure required to support a tribal environmental program and to regulate and enforce environmental activities on Indian lands; to develop regulations, ordinances and laws to protect the environment; to develop the technical and program capacity to carry out a comprehensive tribal environmental program and perform essential environmental program functions; to promote training and education of tribal employees; to develop technical and program capability to meet tribal and Federal regulatory requirements; to develop technical and program capability to monitor compliance and enforcement of tribal environmental regulations, ordinances, and laws; and to ensure the tribal court system enforcement requirements are developed in concert with and support the tribe's comprehensive environmental program.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds may be used for planning, developing and implementing tribal environmental regulatory programs through competitive grants.

**Applicant Eligibility:** The following organizations are eligible to apply: Federally-recognized Indian tribes; consortia of Indian tribes; incorporated non-Federally-recognized tribes; Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANCSA) and/or nonprofit village consortia; and tribal governing bodies (IRA or traditional councils) as recognized by the Bureau of Indian Affairs.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Administration for Native Americans, Department of Health and Human Services, Room 348-F, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 690-6326.

**EXAMPLES OF FUNDED PROJECTS:** None are available at this time.

### **93.582 MITIGATION OF ENVIRONMENTAL IMPACTS TO INDIAN LANDS DUE TO DEPARTMENT OF DEFENSE ACTIVITIES**

**FEDERAL AGENCY:** ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To provide financial assistance to American Indian tribes and Alaska Native villages to mitigate environmental impacts to their lands due to Department of Defense activities by assisting the tribes and villages in the planning, development and implementation of programs for such mitigation.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds may be used to support one or more of the following phases of mitigation work: Phase I: Research and planning to identify environmental impacts to tribal and village lands due to Department of Defense activities; Phase II: Examination of alternative approaches for mitigation of impacts identified in Phase I; Phase III: Development of a comprehensive plan for conducting all aspects of mitigation actions; and Phase IV: Implementation of the mitigation plan.

**Applicant Eligibility:** The following organizations are eligible to apply: Federally-recognized Indian tribes; incorporated non-Federally-recognized and State-recognized Indian tribes; Alaska Native villages, tribes or tribal governing bodies (IRA or traditional councils) as recognized by the Bureau of Indian Affairs in the Federal Register Notice dated October 21, 1993; nonprofit Alaska Native Regional Associations and/or Corporations with village-specific projects; nonprofit Native organizations in Alaska with village-specific projects; other tribal or village organizations or consortia of Indian tribes.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Administration for Native Americans, Department of Health and Human Services, Room HHH, 348-F, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 690-5780.

**EXAMPLES OF FUNDED PROJECTS:** None.

### **93.590 COMMUNITY-BASED FAMILY RESOURCE AND SUPPORT GRANTS**

**FEDERAL AGENCY:** ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To assist States to develop and implement, or expand and enhance, a comprehensive, statewide system of community-based family resource and support services.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** To receive funds, States must meet eligibility requirements stated in the authorizing statute and use funds to establish and expand statewide networks of community-based family resource support programs.

**Applicant Eligibility:** States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Island, and the Trust Territory of the Pacific Islands.

### **INFORMATION CONTACTS:**

**Headquarters Office:** National Center on Child Abuse and Neglect, P.O. Box 1182, Washington, DC 20013. Telephone: (202) 205-8879.

### **93.593 JOB OPPORTUNITIES FOR LOW-INCOME INDIVIDUALS (JOLI Program)**

**FEDERAL AGENCY:** OFFICE OF COMMUNITY SERVICES, ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To promote the ability of welfare recipients and other low-income individuals and families to become financially self-sufficient by awarding grants to certain nonprofit organizations and community development corporations that will create new employment and business opportunities through: self-employment; micro enterprise; new business ventures; and the expansion of existing businesses through technical and financial assistance that will result in full-time permanent jobs for eligible participants.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Projects must: (1)

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create new employment and/or business opportunities for welfare recipients and other low-income individuals; (2) each nonprofit organization funded under this demonstration must enter into a cooperative relationship with the agency responsible for administering the Temporary Assistance for Needy Families (TANF) program in the area served by the project; (3) develop a comprehensive project design that describes the work program and strategy, the training design, support services and counseling, technical and financial assistance plan, access to business capital and follow up support services; and (4) include an independent, methodologically sound evaluation of the effectiveness of the activities in creating new jobs and/or business opportunities. Funds awarded under this demonstration program cannot be used for new construction or the purchase of real property.

**Applicant Eligibility:** Grants are made to nonprofit organizations (including community development corporations) exempt from taxation under Section 501(c) 3 or 4 of the Internal Revenue Code, to develop demonstration projects as authorized.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Richard Saul, Director, Division of Community Demonstration Programs, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-9341.

**EXAMPLES OF FUNDED PROJECTS:** Micro enterprise business development, self-employment business training, specialized job training related to new business ventures and/or a specific business expansion project that is designed to create permanent full-time jobs for eligible AFDC and low-income individuals to move toward economic self-sufficiency.

### **93.594 TRIBAL WORK GRANTS Native Employment Works (NEW) Grants (Grants for Indian tribes and Alaska Native organizations that Received Job Opportunities and Basic Skills Training (JOBS) Funds)**

**FEDERAL AGENCY: ADMINISTRATION FOR  
CHILDREN AND FAMILIES, DEPARTMENT OF  
HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To allow Indian tribes and Alaska Native organizations to operate a program to make work activities available.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Tribes have broad flexibility to use the grant for the purpose of making work activities available.

**Applicant Eligibility:** An Indian tribe or Alaska Native organization that conducted a JOBS program in fiscal year 1995.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Tribes should contact ACF Regional Offices. (See your local telephone directory.)

**Headquarters Office:** Office of the Director, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 5th Floor, Aerospace Building, 370 L'Enfant Promenade, SW.,

Washington, DC 20447. Telephone: (202) 401-9333.

**EXAMPLES OF FUNDED PROJECTS:** Currently, 78 tribal grantees operate work activity programs under NEW.

### **93.595 WELFARE REFORM RESEARCH, EVALUATIONS AND NATIONAL STUDIES (Welfare Reform Research)**

**FEDERAL AGENCY: OFFICE OF PLANNING,  
RESEARCH AND EVALUATION, ADMINISTRATION  
FOR CHILDREN AND FAMILIES, DEPARTMENT OF  
HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To support research on the benefits, effects, and costs of different welfare reform interventions; to fund studies such as on the effects of different programs on welfare dependency, illegitimacy, teen pregnancy, employment rates, child well-being, and related areas; to assist in the development and evaluation of innovative approaches for reducing welfare dependency and increasing the well-being of minor children in welfare families; to study and analyze outcome measures for evaluating the success of the States in moving individuals out of the welfare system into employment; and to evaluate how Welfare- to-Work grants to States and Indian tribes have been used.

**TYPES OF ASSISTANCE:** Project Grants; Project Grants (Cooperative Agreements); Project Grants (Contracts).

**USES AND USE RESTRICTIONS:** Grants, cooperative agreements, and contracts are awarded for innovative research, demonstrations, and evaluations that are responsive to the Administration for Children and Families (ACF) program priorities. All applications must meet standards of excellence in research, demonstration, or evaluation design.

**Applicant Eligibility:** Grants and cooperative agreements may be made to or with governmental entities, colleges, universities, non-profit and for-profit organizations (if fee is waived). Contracts may be awarded to nonprofit or for-profit organizations. Grants or cooperative agreements cannot be made directly to individuals.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See your local telephone directory for ACF Regional Offices.

**Headquarters Office:** Office of Planning, Research and Evaluation, Administration for Children and Families, Department of Health and Human Services, 7th Floor, Aerospace Building, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-4535.

### **93.596 CHILD CARE MANDATORY AND MATCHING FUNDS OF THE CHILD CARE AND DEVELOPMENT FUND (Child Care and Development Fund)**

**FEDERAL AGENCY: ADMINISTRATION FOR  
CHILDREN AND FAMILIES, DEPARTMENT OF  
HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To make grants to States and Tribes to assist low-income families with child care and to: (1) to allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within such State; (2) to promote parental choice to empower working parents to make their own decisions on the child care that best suits their family's needs; (3) to encourage States to

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provide consumer education information to help parents make informed choices about child care; (4) to assist States to provide child care to parents trying to achieve independence from public assistance; and (5) to assist States in implementing the health, safety, licensing, and registration standards established in State regulations.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** States must ensure that not less than 70 percent of the total amount of Mandatory and Matching funds from the Child Care and Development Fund are used to provide child care assistance to families who are receiving assistance under a State program under title IV-A of the Social Security Act as amended by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996, families who are attempting through work activities to transition off of temporary assistance programs, and families who are at risk of becoming dependent on temporary assistance programs. Not more than 5 percent of the aggregate amount of funds available to the State may be expended for administrative costs incurred by the State to carry out all of its functions and duties. The term administrative costs does not include the costs of providing direct services. A State shall use not less than 4 percent of the amount of funds for activities that are designed to provide comprehensive consumer education to parents and the public, activities that increase parental choice, and activities designed to improve the quality and availability of child care (such as resource and referral services).

**Applicant Eligibility:** All States, the District of Columbia, Federally recognized Tribal Governments, Tribal organizations, and Alaskan Native Corporations.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See your local telephone directory  
**Headquarters Office:** Child Care Bureau, Administration on Children, Youth, and Families, Administration for Children and Families, Department of Health and Human Services, 200 Independence Avenue, S.W., 3rd Floor, Room 300-F, Washington, D.C. 20201. Telephone: (202) 690-6782.

### **93.600 HEAD START (Head Start)**

**FEDERAL AGENCY: ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To provide comprehensive health, educational, nutritional, social and other services primarily to economically disadvantaged preschool children, including Indian children on federally recognized reservations, and children of migratory farmworkers and their families; and to involve parents in activities with their children so that the children will attain overall social competence.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** At least 90 percent of the enrollees in a program must come from families whose income is at or below the poverty guidelines as established by the Office of Management and Budget or from families receiving Temporary Assistance to Needy Families. Training and technical assistance grants are available to Head Start programs and to agencies which provide services to Head Start programs.

**Applicant Eligibility:** Any local government, federally

recognized Indian tribe, or public or private nonprofit agency which meets the requirements may apply for a grant. However, new applications will be considered only when submitted in response to a specific announcement, published in the Federal Register, which solicits proposals to establish new Head Start Programs. Grantee agencies may subcontract with other child-serving agencies to provide services to Head Start children.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the Regional Administrator for Children, and Families. (See your local telephone directory.)

**Headquarters Office:** Administration for Children and Families/Head Start, Department of Health and Human Services, P.O. Box 1182, Washington, DC 20013. Telephone: (202) 205-8569.

**EXAMPLES OF FUNDED PROJECTS:** (1) Full-Year and Full-Day Head Start Programs; and (2) Full-Year and Part-Day Head Start Programs.

### **93.612 NATIVE AMERICAN PROGRAMS**

**FEDERAL AGENCY: ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To provide financial assistance, training and technical assistance, and research, demonstration and evaluation activities to public and private nonprofit organizations including Indian Tribes, urban Indian centers, Alaska Native villages, Native Hawaiian organizations, rural off-reservation groups, and Native American Pacific Island groups for the development and implementation of social and economic development strategies that promote self-sufficiency. These projects are expected to result in improved social and economic conditions of Native Americans within their communities and to increase the effectiveness of Indian Tribes and Native American organizations in meeting their economic and social goals. Additional competitive areas include: 1) Environmental Regulatory Enhancement, designed to assist Tribal and Alaska Village governments in developing environmental programs responsive to tribal needs; and 2) Native Languages Preservation and Enhancement, a program to assist Native American tribes and communities in ensuring the survival and continued vitality of their languages.

**TYPES OF ASSISTANCE:** Project Grants (Contracts); Direct Loans.

**USES AND USE RESTRICTIONS:** Grants may be used for such purposes as, but not limited to: (1) Governance Projects which assist tribal and village governments, Native American institutions, and local leadership to exercise local control and decision-making over their resources; (2) Economic Development Projects, which promote the long term mobilization and management of economic resources necessary to achieve a diversified economy; and (3) Social Development Projects which support local access to, control of, and coordination of services and programs for the social benefit of community members. Funds may be used for Financial Assistance Grants; Training and Technical Assistance; and Research, Demonstration and Evaluation.

**Applicant Eligibility:** Public and private nonprofit agencies, including but not limited to, governing bodies of Indian tribes



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on Federal and State reservations, Alaska Native villages and regional corporations established by the Alaska Native Claims Settlement Act, such public and nonprofit private agencies serving Native Hawaiians, Indian and Alaska Native organizations in urban or rural nonreservation areas, and Native American Pacific Islanders (American Samoan Natives, and indigenous peoples of Guam, the Commonwealth of the Northern Mariana and the Republic of Palau).

### **INFORMATION CONTACTS:**

**Headquarters Office:** Administration for Native Americans, Department of Health and Human Services, HHH Building, Room 348-F, 200 Independence Avenue, SW., Washington, DC 20201. Director, Program Operations. Telephone: (202) 690-5780.

**EXAMPLES OF FUNDED PROJECTS:** (1) Improved Governance Capabilities; (2) Native American social development projects; and (3) Native American economic development projects.

### **93.667 SOCIAL SERVICES BLOCK GRANT (Social Services)**

#### **FEDERAL AGENCY: ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To enable each State to furnish social services best suited to the needs of the individuals residing in the State. Federal block grant funds may be used to provide services directed toward one of the following five goals specified in the law: (1) to prevent, reduce, or eliminate dependency; (2) to achieve or maintain self-sufficiency; (3) to prevent neglect, abuse, or exploitation of children and adults; (4) to prevent or reduce inappropriate institutional care; and (5) to secure admission or referral for institutional care when other forms of care are not appropriate.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Federal funds may be used by States for the proper and efficient operation of social service programs. Except for items (1) and (4) below, for which a waiver from the Secretary may be requested, Federal funds cannot be used for the following: (1) the purchase or improvement of land, or the purchase, construction, or permanent improvement of any building or other facility; (2) the provision of cash payments for costs of subsistence or the provision of room and board (other than costs of subsistence during rehabilitation, room and board provided for a short term as an integral but subordinate part of a social service, or temporary shelter provided as a protective service); (3) the payment of wages to any individual as a social service (other than payment of wages to welfare recipients employed in the provision of child day care services); (4) the provision of medical care (other than family planning services, rehabilitation services or initial detoxification of an alcoholic or drug dependent individual) unless it is an integral but subordinate part of a social service for which grants may be used; (5) social services (except services to an alcoholic or drug dependent individual or rehabilitation services) provided in and by employees of any hospital, skilled nursing facility, intermediate care facility, or prison, to any individual living in such institution; (6) the provision of any educational service which the State makes generally available to its

residents without cost and without regard to their income; (7) any child day care service unless such service meets applicable standards of State and local law; (8) the provision of cash payments as a service; or (9) for payment for any item or service (other than an emergency item or service) furnished by an individual or entity during the period when such individual or entity is excluded pursuant to Section 1128 or Section 1128(A) of the Social Security Act from participation in this program; or at the medical direction or on the prescription of a physician during the period when the physician is excluded based on Section 1128 or 1128(A) from participation in the program and when the person furnishing such item or service knew or had reason to know of the exclusion (after a reasonable time period after reasonable notice has been furnished to the person). A State may transfer up to 10 percent of its allotment for any fiscal year to the preventive health and health services, alcohol and drug abuse, mental health services, maternal and child health services, and low-income home energy assistance block grants.

**Applicant Eligibility:** The 50 States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, and American Samoa.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Office of Community Services, Division of State Assistance, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-2333.

**EXAMPLES OF FUNDED PROJECTS:** States and other eligible jurisdictions determine their own social services programs. Examples of funded services include child day care, protective and emergency services for children and adults, homemaker and chore services, information and referral, adoption, foster care, counseling, and transportation.

### **93.671 FAMILY VIOLENCE PREVENTION AND SERVICES/GRANTS FOR BATTERED WOMEN'S SHELTERS GRANTS TO STATES AND INDIAN TRIBES**

#### **FEDERAL AGENCY: ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To assist States and Indian Tribes in the prevention of family violence and the provision of immediate shelter and related assistance for victims of family violence and their dependents.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Federal funds are used by States for grants to local public agencies and nonprofit private organizations to prevent incidents of family violence and to provide immediate shelter and related assistance to victims of family violence. States must give special emphasis to the support of community-based projects of demonstrated effectiveness carried out by nonprofit private organizations, particularly those projects where the primary purpose is to operate shelters for victims of family violence, and those which provide counseling, advocacy, and self-help services to victims and their children. States and Indian Tribes may not impose an income eligibility standard on individuals receiving services supported by funds appropriated under this Act and Federal funds may not be used as direct payment to any victim of family violence. No less than 70 percent of the

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funds distributed must be used for immediate shelter and related assistance, and no less than 25 percent for related assistance.

**Applicant Eligibility:** The 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, the Northern Mariana Islands, Palau, and certain federally recognized Indian Tribes.

### INFORMATION CONTACTS

**Headquarters Office:** Office of Community Services, Administration for Children and Families, 370 L'Enfant Promenade, S.W., 5th Floor, Washington, DC, 20447. Telephone: (202) 401-5529.

**EXAMPLES OF FUNDED PROJECTS:** States determine the sub-State services and activities to be funded. These may include funding for shelters for victims of family violence, counseling and self-help services, and projects to address elder abuse.

### 93.674 CHAFEE FOSTER CARE INDEPENDENT LIVING (CFCIP)

**FEDERAL AGENCY:** ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To assist States and localities in establishing and carrying out programs designed to assist foster youth likely to remain in foster care until 18 years of age and youth who have left foster care because they attained 18 years of age, have not yet attained 21 years of age, to make the transition from foster care to independent living.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Grants may be used to assist youth: to make the transition to self-sufficiency; to receive education, training and services; to obtain employment; to prepare for and enter post secondary training and educational institutions; to provide personal and emotional support to youth through mentors and the promotion of interactions with dedicated adults; and to provide financial, housing, counseling, employment, education, and other appropriate support and services to current and former foster care recipients up to the age of 21.

**Applicant Eligibility:** State governments, including the 50 States, the District of Columbia and Puerto Rico.

### INFORMATION CONTACTS:

**Regional or Local Office:** Contact Regional Administration for Children and Families. (See local telephone directory for addresses.)

**Headquarters Office:** Associate Commissioner, Children's Bureau, Administration for Children and Families, P.O. Box 1182, Washington, DC 20013. Telephone: (202) 205-8618.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 93.779 CENTERS FOR MEDICARE AND MEDICAID SERVICES (CMS) RESEARCH, DEMONSTRATIONS AND EVALUATIONS (CMS RESEARCH)

**FEDERAL AGENCY:** CENTERS FOR MEDICARE AND MEDICAID SERVICES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To support analyses, experiments,

demonstrations and pilot projects in efforts to resolve major health care financing issues and to develop innovative methods for the administration of Medicare and Medicaid. These awards are in the form of research grants and cooperative agreements; small business grants; dissertation fellowship grants; Hispanic health services grants; historical black colleges and university grants. For fiscal years 2002 and 2003, the Centers for Medicare and Medicaid Service (CMS) has identified a number of areas where specific information or experience is necessary to improve program effectiveness or guide decisions anticipated in the near future. These priority areas for CMS's discretionary contracts, cooperative agreements or grants are as follows: (1) Monitoring and evaluating CMS's programs; (2) improving managed care payment and delivery; (3) improving fee-for-service payment and delivery; (4) future trends influencing our programs; (5) strengthening medicaid (SCHIP), and State programs; (6) meeting the needs of vulnerable populations; (7) outcomes, quality, and performance; and (8) building research capacity.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Under all authorizations, all applications must meet standards of excellence in research or evaluation design. Funds may not be used for construction or renovation of buildings. Funds authorized by Section 1115 of the Social Security Act are limited to State agencies administering the Medicaid program.

**Applicant Eligibility:** Grants or cooperative agreements may be made to private, or public agencies or organizations, including State agencies that administer the Medicaid program. Private profit organizations may apply. For the Small Business Innovation Research Grant Program, only for-profit organizations may apply. Awards cannot be made directly to individuals.

### INFORMATION CONTACTS:

**Regional or Local Office:** Contact the appropriate CMS Regional Administrator. (See your local telephone directory for Regional Offices.)

**Headquarters Office:** Director, Office of Research, Development, and Information (ORDI), Centers for Medicare & Medicaid Services, Department of Health and Human Services, Room C-3-20-11, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. Telephone: (410) 786-6503.

**EXAMPLES OF FUNDED PROJECTS:** Examples for fiscal year 2001 include: (1) Real Choice System Change grants; (2) Community -integrated Personal Assistance Services and Support grants; (3) Nursing Facility Transition grants; (4) Dissertation Fellowship grants; (5) Grants to Historically Black Colleges and Universities; and (6) grants to Hispanic serving Institutions.

### 93.910 FAMILY AND COMMUNITY VIOLENCE PREVENTION PROGRAM (Family Life Centers)

**FEDERAL AGENCY:** OFFICE OF MINORITY HEALTH, OFFICE PUBLIC HEALTH AND SCIENCES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

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**OBJECTIVES:** To establish a consortium of nineteen Family Life Centers on the campuses of minority institutions of higher education to: 1) Assess local community resources for violence prevention projects; 2) Coordinate activities with existing violence prevention projects; 3) Design and implement educational interventions addressing interpersonal family violence; and 4) Design and implement a project to identify students from dysfunctional families and support them with coping strategies.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** Funds will be used to support a cooperative agreement for a family and community violence consortium to prevent minority related violence and improve health and human services to minorities. Grants funds may not be used for construction of facilities, including additions and extensions; acquisition of land; or any costs prohibited by the cost principles in 45 CFR, Parts 74 and 92. Grants may not be used for sectarian instruction or any religious purposes, or for lobbying.

**Applicant Eligibility:** Eligible Applicants: An institute of higher education representing 4-year undergraduate institutions historically identified as providing education primarily to minority students, or having a majority enrollment of minority students.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Division of Program Operations, Office of Minority Health, Office of Public Health and Science, Office of the Secretary, Department of Health and Human Services, Suite 1000, Rockwall II Building, 5515 Security Lane, Rockville, MD 20852. Telephone: (301) 594-0769. Grants Management Contact: Division of Management Operations, Office of Minority Health, Office of Public Health and Science, Office of the Secretary, Department of Health and Human Services, Suite 1000, Rockwall II Building, 5515 Security Lane, Rockville, MD 20852 (301)594-0758.

**EXAMPLES OF FUNDED PROJECTS:** The following are examples of projects funded under the cooperative agreement: 1) A database and resource directory was developed on viable violence and substance abuse services and resources; 2) A variety of education experiences were provided to youth and families to assist them in making positive life style choices and facilitating their educational pursuits; 3) Community outreach services were provided to neighborhoods.

### **93.912 RURAL HEALTH OUTREACH AND RURAL NETWORK DEVELOPMENT PROGRAM**

#### **FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To expand access to, coordinate, restrain the cost of, and improve the quality of essential health services, including preventive and emergency services, through the development of integrated health care delivery systems or networks in rural areas and regions.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** All funds awarded are to be expended solely for carrying out the approved projects.

The applicant must apply in conjunction with two or more other entities as part of a network.

**Applicant Eligibility:** Rural public or non-profit private entities that include three or more health care providers or other entities that provide or support the delivery of health care services. The administrative headquarters of the organization must be located in a rural county or a rural census tract of an urban county; or an organization is constituted exclusively to provide services to migrant and seasonal farmworkers in rural areas and supported under Section 330G of the Public Health Service Act; or the applicant is a Native American Tribal or quasi-tribal entity for services delivered on reservation or recognized tribal lands.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Program Contact: Grant Programs Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 9A-55, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-7529. Grants Management Contact: Grants Management Officer, Grants Management Office, Bureau of Primary Health Care, Health Resources and Services Administration, East-West Towers, 11th Floor, 4350 East-West Highway, Bethesda, MD 20814. Telephone: (301)594-4235. **EXAMPLES OF FUNDED PROJECTS:** Funded projects include efforts to provide primary care services in rural areas, including mental health services, emergency medical services, prenatal care, free clinical services, and preventive health services. In addition, projects designed to increase the number of health professionals available to provide services in rural areas have also been funded. These include upgrading nursing skills from R.N. to N.P. or C.N.S. levels or upgrading the skills of emergency medical services personnel. In addition, projects designed to improve access to rural health services (such as transportation or services on mobile vans) have also been funded. More recently projects to develop or enhance vertically integrated networks have been supported.

### **93.913 GRANTS TO STATES FOR OPERATION OF OFFICES OF RURAL HEALTH**

#### **FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To improve health care in rural areas through the establishment of State Offices of Rural Health.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** This is a matching grant program with States to support the establishment and ongoing operation of Offices of Rural Health. Each State may determine the appropriate location for the office. While a wide range of activities can be conducted to address unique State needs, each State Office of Rural Health must (1) establish an information clearinghouse, (2) coordinate State and Federal rural health programs throughout the State, and (3) provide TA that will improve participation in State and Federal programs.

**Applicant Eligibility:** The 50 States.

### **INFORMATION CONTACTS:**

**Headquarters Office:** State Office of Rural Health Grant Program, Office of Rural Health Policy, Health Resources

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and Services Administration, Public Health Service, Parklawn Building, Room 9-05, 5600 Fishers Lane, Rockville, MD 20857 (301) 443-0835. Grants Management Contact: Chief, Grant Award Operations Section, Bureau of Primary Health Care, Health Resources and Services Administration, Public Health Service, 4350 East-West Highway, 11th Floor, Bethesda, MD 20814 (301) 594-4235.

**EXAMPLES OF FUNDED PROJECTS:** This program establishes State Offices of Rural Health in each State. A few of the projects in State Offices of Rural Health include: 1) providing technical assistance to rural communities seeking to develop or improve primary health care; 2) training community leaders and providers of health services so that they may better respond to the needs of their rural clientele; and 3) taking lead roles in drafting, obtaining, and implementing the rural physician loan repayment program to increase the likelihood of recruiting physicians to remote rural area. In general, these projects tend to address problems and issues unique to their particular State, therefore, projects cover a broad range of activities.

### **93.926 HEALTHY START INITIATIVE (Targeted Infant Mortality Initiative)**

**FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To reduce infant mortality and improve maternal and infant health and well-being by targeting 15 communities with high infant mortality rates and directing resources and interventions to improve access to, utilization of, and full participation in comprehensive maternity and infant care services.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** To build a community-oriented, multi-faceted approach to integrate a variety of health care, social, and support services including development of: an aggressive program of outreach and case management to bring pregnant women into prenatal care early; to increase the number of primary care and obstetrical providers available to low-income women in targeted areas; and to link health department, community health centers, State maternal and child health programs, community residents and other groups in local consortia in a joint effort to identify problems and to develop a flexible array of solutions intended to reduce the infant mortality rate by 50 percent within 5 years.

**Applicant Eligibility:** Urban and rural communities which have average annual infant mortality rates of 15.7 deaths or more per 1,000 live births. Eligible applicants are local or State health departments or authorities or other publicly supported organizations, tribal organizations, private nonprofit organizations, or consortia of the same, approved by the chief elected official of the city or county in which the project area is located (or, if there is more than one county, the chief elected officials acting in concert), or by the tribal leadership of the tribe or tribal organization which has jurisdiction over the project area. No more than one application may be made for a given project area, and each application must be endorsed by the Governor of the State or the head of the tribal organization. Applicants must be public or nonprofit private organizations, or tribal and other

organizations representing American Indians, Alaskan Natives, Native Hawaiians, or Pacific Islanders. Applicants must be in partnership with a current consortium which has been (1) in operation at least the last 2 years prior to date of the application; and (2) involved in Maternal and child health activities in the project area.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** DHHS Regional Office.

**Headquarters Office:** Central Office Contacts: Division of Healthy Start, Maternal and Child Health Bureau, Health Resources and Services Administration, Public Health Service, Room 11A-05, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857 (301) 443-0543. Grants Management Contact: Grants Management Branch, Maternal and Child Health Bureau, Health Resources and Services Administration, Public Health Service, Room 18-12, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857 (301) 443-1440.

**EXAMPLES OF FUNDED PROJECTS:** A number of the projects have developed one-stop models of co-located services and experienced a marked reduction of infant mortality in these neighborhood-based models.

### **93.932 NATIVE HAWAIIAN HEALTH SYSTEMS**

**FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To raise the health status of Native Hawaiians living in Hawaii to the highest possible level through the provision of comprehensive health promotion and disease prevention services, as well as primary health services, and to provide existing Native Hawaiian health care programs with all resources necessary to effectuate this policy.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The services of this program will be developed around outreach, case management, and referral components and will attempt to integrate traditional health concepts with western medicine so that existing barriers to and disparities in health care can be removed. It is anticipated that the primary care and the health promotion and disease prevention components will be integrated into one system of care and that the existing health resources of the community will be used to the greatest extent possible.

**Applicant Eligibility:** Eligible entities include Papa Ola Lokahi and the "Native Hawaiian Health Care Systems." The term Native Hawaiian health care system is defined as an entity (1) which is organized under the laws of the State of Hawaii; (2) which provides or arranges for health care services through practitioners licensed by the State of Hawaii, where licensure requirements are applicable; (3) which is a public or nonprofit private entity; (4) in which Native Hawaiian health practitioners significantly participate in the planning, management, monitoring, and evaluation of health care services; (5) which may be composed of as many Native Hawaiian health systems as necessary to meet the health care needs of each island's Native Hawaiians; and (6) which is recognized by Papa Ola Lokahi (a consortium of Hawaiian and Native Hawaiian organizations) for the purpose of

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planning, conducting, or administering programs or portions of programs, authorized by this act for the benefit of Native Hawaiians, and is certified by Papa Ola Lokahi as having the qualifications and the capacity to provide the services and meet the requirements of this Act for the benefit of Native Hawaiians.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the HRSA Field Office.

**Headquarters Office:** Division of Programs for Special Populations, Bureau of Primary Health Care, Health Resources and Services Administration, PHS, 4350 East-West Highway, 9th Floor, Bethesda, MD 20814 (301) 594-4420. Grants Management Contact: Office of Grants Management, Bureau of Primary Health Care, Health Resources and Services Administration, PHS, 4350 East-West Highway, 11th Floor, Bethesda, MD 20814. Telephone: (301) 594-4260.

**EXAMPLES OF FUNDED PROJECTS:** Each recipient of funds shall provide outreach, health education, a link to primary care providers, case management, immunizations, preventive measures for diabetes, high blood pressure and otitis media; pregnancy and infant care, improvement in nutrition, chronic disease prevention, and collection of data to prevent disease. Papa Ola Lokahi is responsible for: planning; training; research; dissemination of information on Native Hawaiian health; and coordination of resources for Native Hawaiian; advocacy, and special projects.

### **93.933 DEMONSTRATION PROJECTS FOR INDIAN HEALTH**

**FEDERAL AGENCY: INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To promote improved health care among American Indians and Alaska Natives through research studies and demonstration projects, addressing such issues as Elder Care, Women's Health Care, and Child Protection and Child Abuse Prevention.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Federal assistance is to be used for the following purposes: (1) research, analysis, and investigation of a broad range of issues affecting the health of American Indians and Alaska Natives; and (2) demonstration projects and studies that provide American Indians/Alaska Natives with impetus and involvement in their health care and that promote improved health care to Indian people.

**Applicant Eligibility:** Federally recognized Indian tribes; tribal organizations; nonprofit intertribal organizations; nonprofit urban Indian organizations contracting with the Indian Health Service under Title V of the Indian Health Care Improvement Act; public or private nonprofit health and education entities; and State and local government health agencies.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Program Contact: Management Analyst, Office of Managed Care, Indian Health Service, Parklawn Bldg., Room 6A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-3024. For Indian Women's Health Demonstration Program Contact: Public Health Nurse Consultant, Office of Public Health, Parklawn Building, Room 6A-44, 5600 Fishers Lane, Rockville, MD 20857.

Telephone: (301) 443-1840. For Grants Management Contact: Grants Management Branch, Division of Acquisition and Grants Management, Indian Health Service, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, MD 20852. Telephone: (301) 443-5204.

**EXAMPLES OF FUNDED PROJECTS:** (1) National Indian Health Board is conducting a tribal health care advocacy demonstration project to provide advice and consultation on behalf of Indian health care consumers to improve health care delivery in Indian communities; and (2) Ponca Tribe of Nebraska is providing a smoking cessation project aimed at improving women's health.

### **93.952 IMPROVING EMS/TRAUMA CARE IN RURAL AREAS (RURAL EMS/TRAUMA CARE)**

**FEDERAL AGENCY: HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To make grants to public and private nonprofit entities for the purpose of carrying out research and demonstration projects with respect to improving the availability and quality of emergency medical services in rural areas.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds received under a grant are to be used for the following purposes: (1) Developing innovative uses of communications technologies and the use of new communications technology; (2) developing model curricula for training emergency medical services personnel, including first responders, emergency medical technicians, emergency nurses and physicians, and paramedics; (a) In the assessment, stabilization, treatment preparation for transport, and resuscitation of seriously injured patients, with special attention to problems that arise during long transport and to methods of minimizing delays in transport to the appropriate facility; and (b) in the management of the operation of the emergency medical services system; (3) making training for original certification, and continuing education, in the provision and management of emergency medical services more accessible to emergency medical personnel in rural areas through telecommunications, home studies, providing teachers and training at locations accessible to such personnel, and other methods; (4) developing innovative protocols and agreements to increase access to pre-hospital care and equipment necessary for the transportation of seriously injured patients to the appropriate facilities; and (5) evaluating the effectiveness of protocols with respect to emergency medical services and systems.

**Applicant Eligibility:** Any public or private nonprofit entity which has as its primary objective improving the availability and quality of emergency medical services in rural areas.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Chief, Injury/EMS Branch, Maternal and Child Health Bureau, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, Parklawn Building, Room 18A-38, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0324. Grants Management Contact: Grants Management

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Branch, Maternal and Child Health Bureau, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, Parklawn Building, Room 18-12, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1440.

**EXAMPLES OF FUNDED PROJECTS:** For fiscal year 2001, existing Emergency Medical Services for Children grants were supplemented with these funds.

### **93.954 TRIBAL RECRUITMENT AND RETENTION OF HEALTH PROFESSIONALS INTO INDIAN HEALTH PROGRAMS (Tribal Recruitment)**

**FEDERAL AGENCY:** INDIAN HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To make financial assistance awards to Indian tribes and tribal and Indian health organizations to enable them to recruit, place, and retain health professionals to fill critical vacancies and to meet the staffing needs of Indian health programs and facilities including those administered by the Indian Health Service (IHS).

**TYPES OF ASSISTANCE:** Project Grants; Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** Establishing and operating programs designed to recruit and retain health professionals into Indian health programs and facilities.

**Applicant Eligibility:** Any federally recognized Indian tribe or tribal or Indian health organization is eligible to apply.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** The Leader Health Professions Support Team, Division of Health Professions Support, Indian Health Service, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 100A, Rockville, MD 20852. Telephone: (301) 443-4242. For grants management information, contact: Grants Management Branch, Division of Acquisition and Grants Management, Indian Health Service, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, MD 20852. (301) 443-5204.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **93.956 AGRICULTURAL HEALTH AND SAFETY PROGRAMS**

**Centers for Agricultural Disease and Injury Research, Education, and Prevention; Community Partners for Healthy Farming (CPHF);**

**Deep-South Center for Agricultural Disease and Injury Research, Education, and Prevention**

**FEDERAL AGENCY:** NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH, CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** Centers for Agricultural Research: To address the research, education, and intervention activities that are unique to agriculture by establishing centers for agricultural research, education, and disease and injury prevention. Musculoskeletal: To assist in the development, implementation, and maintenance of a model program for the

diagnosis, evaluation, and rehabilitation of individuals with occupational respiratory disease and occupational musculoskeletal disorders. Additionally, this program will report and disseminate findings, relevant health and safety education and training information to State health officials, health care providers, workers, management, unions, and employers. CPHF: This project is a component of the NIOSH Agriculture Initiative which consists of cooperative agreements between NIOSH and State Health Departments to fund the placement of public health/occupational health nurses in agricultural communities. Local recruiting of nurses with farm background and interests in agriculture has enhanced their ability to become a part of the communities they serve, to establish effective disease and injury reporting systems based on the cooperation of health care providers, hospitals and other potential sources and to follow up their information-gathering activities with public health interventions (usually education or the recruitment of other resources such as cooperative extension services, NIOSH, etc.). Nurses in this project are practicing community health and providing a public health infrastructure which targets the farming community. Deep-South Center for Agricultural Disease and Injury Research, Education, and Prevention: This cooperative agreement program will significantly strengthen the occupational and public health infrastructure by building on past Agricultural Center accomplishments aimed at integrating resources for occupational safety and health research and public health prevention programs at the State and local levels. Deep-South Center for Agricultural Disease and Injury Research, Education, and Prevention will serve as a regional Center to address the research, education, and intervention activities that are unique to agriculture in the deep-south. The Center will also have a special charge to address agricultural health and safety problems among minority, migrant and low-income agricultural communities in this region.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** Eligible Uses: Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses; and other expenses related to the project.

**Applicant Eligibility:** Eligible applicants are: Centers for Agricultural Research State and private universities and university-affiliated, not-for-profit medical centers within the United States of America. CPHF Nonprofit and for-profit organizations, thus, universities, colleges, research institutions, hospitals, and other public and private organizations, State and local health departments, and small, minority and/or women-owned businesses.

**INFORMATION CONTACTS:**

**Headquarters Office:** Grants Management Contact: Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 255 E. Paces Ferry Road, NE., Atlanta, Georgia 30305 (404) 842-6517. Program Contact: Division of Respiratory Disease Studies, NIOSH, 1095 Willowdale Road, MS-P04/18, Morgantown, WV 26505-2888 (304) 285-5711. Program Contact: Occupational Respiratory Disease Evaluation and Rehabilitation: Division of Respiratory Disease Studies, MS-122, NIOSH, 1095

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Willowdale Road, Morgantown, WV 26505 (304) 285-5726. Program Contact: Occupational Musculoskeletal Disorders Evaluation and Rehabilitation: Division of Surveillance, Hazard Evaluation and Field Studies, MS-R13, N10SH4676 Columbia Parkway, Cincinnati, OH 45226 (513) 841-4486. Program Contact: Centers for Agricultural Research: Division of Respiratory Disease Studies, NIOSH, MS-P04/18. 304/285-5711. CPHF: Division of Surveillance, Hazard Evaluations and Field Studies, NIOSH, MS-P03/R21 513/841-4353. CDC, PHS, 5555 Ridge Avenue, Cincinnati, Ohio 45213. Grants Management Contact: Grants Management Branch, Procurement and Grants Office, CDC, PHS, 255 East Paces Ferry Road, NE, Atlanta, Georgia 30305 404/842-6517.

**EXAMPLES OF FUNDED PROJECTS:** The following are cooperative agreements funded: Research on Experimental Exposures to Grain Dust, Assessment of Respiratory Disease Among Dairy Farmers, Research on Redesign of Tools, Hearing Conservation Studies, and Improving Detection of Exposure of Workers to Chemicals.

### **93.958 BLOCK GRANTS FOR COMMUNITY MENTAL HEALTH SERVICES (CMHS Block Grant)**

**FEDERAL AGENCY: SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To provide financial assistance to States and Territories to enable them to carry out the State's Plan for providing comprehensive community mental health services to adults with a serious mental illness and to children with a serious emotional disturbance; monitor the progress in implementing a comprehensive community based mental health system; provide technical assistance to states and the Mental Health Planning Council that will assist the states in planning and implementing a comprehensive community based mental health system.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Funds may be used at the discretion of the State to achieve the described objectives except for certain requirements. State plans must meet prescribed criteria. Services under the plan will be provided only through appropriate, qualified community programs (which may include community mental health centers, child mental-health programs, psychosocial rehabilitation programs, mental health peer-support programs and mental-health primary consumer-directed programs). Services under the plan will be provided through community mental health centers only if the centers meet prescribed criteria. For fiscal year 1994, the State must expend not less than 10 percent of the grant to increase (relative to fiscal year 1993) funding for such system and for any subsequent fiscal year, the State must expend for such system not less than an amount equal to the amount expended by the State for fiscal year 1994. Up to 5 percent of grant funds may be used for administering the funds. In general, any amount paid to a State under the program shall be available for obligation until the end of the fiscal year for which the amounts were paid and if obligated by the end of such year, shall remain available for

expenditure until the end of the succeeding fiscal year. Funds may not be used to provide inpatient services; to make cash payments to intended recipients of health services; to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment; to satisfy any requirement for the expenditure of nonfederal funds as a condition for the receipt of Federal funds; or to provide financial assistance to any entity other than a public or nonprofit private entity.

**Applicant Eligibility:** State and U.S. Territory Governments.

### **INFORMATION CONTACTS:**

**Headquarters Office:** State Planning and System Development Branch, Center for Mental Health Services, Substance Abuse and Mental Health Services Administration, Department of Health and Human Services, Room 15C-26, Parklawn Bldg, 5600 Fishers Lane, Rockville, Maryland 20857 (301) 443-4257. Grants Management Contact: Division of Grants Management, Substance Abuse and Mental Health Services Administration, Department of Health and Human Services, Room 15C-05, Parklawn Building, 5600 Fishers Lane, Rockville, Maryland 20857 (301) 443-4456.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **93.959 BLOCK GRANTS FOR PREVENTION AND TREATMENT OF SUBSTANCE ABUSE (Prevention and Treatment (SAPT) Block Grant)**

**FEDERAL AGENCY: SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**OBJECTIVES:** To provide financial assistance to States and Territories to support projects for the development and implementation of prevention, treatment and rehabilitation activities directed to the diseases of alcohol and drug abuse.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Funds may be used at the discretion of the States to achieve the statutory objectives, including the fulfillment of certain requirements. Not less than 20 percent of the funds shall be spent for programs for individuals who do not require treatment for substance abuse, but to educate and counsel such individuals and to provide for activities to reduce the risk of such abuse by the individuals by developing community-based strategies for prevention of such abuse, including the use of alcoholic beverages and tobacco products by individuals to whom it is unlawful to sell or distribute such beverages or products. States, for fiscal year 1994, shall expend not less than 5 percent of the grant to increase (relative to fiscal year 1992) the availability of treatment services designed for pregnant women and women with dependent children (either by establishing new programs or expanding the capacity of existing programs). States, for fiscal year 1994, shall also expend not less than 5 percent of the grant to increase (relative to fiscal year 1993) the availability of such services to pregnant women and women with dependent children. States must require programs of treatment for intravenous drug abuse to admit individuals into treatment within 14 days after making such a request or 120 days of such a request, if interim vices are made available within 48 hours. States will

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provide, directly or through arrangements with other public or nonprofit entities, tuberculosis services such as counseling, testing, treatment, and early intervention services for substance abusers at risk for the human immunodeficiency virus (HIV) disease. Other statutory requirements also apply.

**Applicant Eligibility:** State and U.S. Territory Governments; Indian Tribes or Tribal Organizations.

### INFORMATION CONTACTS:

**Headquarters Office:** Division for State and Community Assistance, Center for Substance Abuse Treatment, Substance Abuse and Mental Health Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Rockwall II, Suite 880, Rockville, MD 20857. Telephone: (301) 443-3820. Grants Management Contact: Grants Management Branch, Office of Resource Management, Office of Program Support, Substance Abuse and Mental Health Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Rockwall II, Suite 618, Rockville, MD 20857 (301) 443-4456.

### 93.960 SPECIAL MINORITY INITIATIVES

#### FEDERAL AGENCY: NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To increase the number of under represented minority students trained to pursue careers in biomedical research through the use of National Research Service Awards, institutional education projects, and technical assistance workshops. National Research Service Award (NRSA) fellowships are available to under represented minorities at all institutions to prepare them for research careers in the biomedical sciences. Institutional Education Projects (Bridges Program) encourage the development of new and innovative programs and the expansion of existing programs to improve the academic competitiveness of under represented minority students and facilitate their transition into the next stage of preparation for careers in biomedical research, whether in a post-secondary or graduate school setting. Technical assistance workshops promote or enhance biomedical research conducted by minority students and investigators who receive support from the Division of Minority Opportunities in Research of the National Institute of General Medical Sciences.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** NRSA's are made directly to individuals for research training leading to a Ph.D. or combined professional degree-Ph.D. in disciplines supporting biomedical research. Responsibilities of grantees and restrictions on use of funds are set forth in the Public Health Service Policy Statement on Grants for Research Projects, which is available upon request from the Office of Extramural Outreach and Information Resources, National Institutes of Health, Bethesda, MD 20892. The Bridges Program targets two different under represented minority student populations: those in colleges and universities offering only master of science degree programs in biomedically related sciences, and those in 2-year junior or community colleges. Grants are given for partnership programs involving: (1) institutions awarding the master's degree and universities awarding the Ph.D. degree, or (2) 2-year colleges awarding the associate's degree and

institutions awarding the baccalaureate degree. Funds may be used for the costs of administering and coordinating the partnership programs within and between each of the participants. Although compensation for student participation in research experiences may be requested, stipends, housing, tuition, and fees are not allowable costs under this program. However, salary/wages, tuition remission, and other forms of compensation paid in lieu of wages to students performing necessary work are allowable, provided there is an employer-employee relationship between the student and the institution, the total compensation is reasonable for the work performed, and it is the institution's practice to provide compensation for all students in similar circumstances, regardless of the source of support for the activity. Funds for equipment, supplies, travel, and other expenses are limited to those necessary for program development. Funds for technical assistance workshops can support international or national meetings and conferences as well as workshops.

**Applicant Eligibility:** NRSA eligibility is limited to students who are U.S. citizens, noncitizen nationals, or permanent residents from ethnic/racial groups that are under represented in research in the biomedical sciences in the United States. These groups include, but are not limited to, African Americans, Hispanic Americans, Native Americans, and natives of the U.S. Pacific Islands. Individual NRSA awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. Applications for the Bridges Program may be submitted by domestic, private or public educational institutions, and by State or local systems of higher education. Programs developed or modified under this initiative must be specifically designed to target under represented minorities. Applications must include a partnership between: (1) a 2-year institution that offers the associate degree as the only undergraduate degree in the sciences within the participating departments and that has a significant enrollment of under represented minorities, and a college or university offering the baccalaureate degree in areas relevant to the biomedical sciences; or (2) an institution that offers the master of science degree as the only postgraduate degree in the sciences within the participating departments and that has a significant enrollment of under represented minorities, and a research university providing Ph.D. degree programs in areas relevant to the biomedical sciences. Applications must involve a partnership of at least two colleges or universities. Technical assistance workshop applications may be submitted by public, private or nonprofit agencies or organizations including State and local government agencies, universities, colleges, and academic or research institutions.

### INFORMATION CONTACTS:

**Headquarters Office:** For Predoctoral NRSA awards, National Institute of General Medical Sciences, National Institutes of Health, 45 Center Drive MSC 6200, Bethesda, MD 20892-6200 (301) 594-3900. For the Bridges Program, National Institute of General Medical Sciences, National Institutes of Health, 45 Center Drive MSC 6200, Bethesda, MD 20892-6200 (301) 496-0533. For technical assistance workshop support, National Institute of General Medical Sciences, National Institutes of Health, 45 Center Drive MSC 6200, Bethesda, MD 20892-6200 (301) 594-3833. Grants Management, National Institute of General Medical Sciences,



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National Institutes of Health, 45 Center Drive MSC 6200, Bethesda, MD 20892-6200. Telephone: (301) 594-5135.

**EXAMPLES OF FUNDED PROJECTS:** Individual predoctoral NRSA fellowships, institutional education projects, and technical assistance workshops.

### **93.970 HEALTH PROFESSIONS RECRUITMENT PROGRAM FOR INDIANS (Recruitment Program)**

**FEDERAL AGENCY:** INDIAN HEALTH SERVICE,  
DEPARTMENT OF HEALTH AND HUMAN  
SERVICES

**OBJECTIVES:** (1) To identify American Indians and Alaska Natives with a potential for education or training in the health professions, and to encourage and assist them to enroll in health or allied health professional schools; (2) to increase the number of nurses, nurse midwives, nurse practitioners and nurse anesthetists who deliver health care services to American Indians and Alaska Natives; and (3) to place health professional residents for short-term assignments at Indian Health Service (IHS) facilities as a recruitment aid.

**TYPES OF ASSISTANCE:** Project Grants; Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** Establishing and operating programs designed to recruit American Indians and Alaska Natives into health and allied health professional schools.

**Applicant Eligibility:** Public or private nonprofit health or educational entities or Indian tribes or tribal organizations as specifically provided in legislative authority.

#### **INFORMATION CONTACTS:**

**Headquarters Office:** For Health Professions Recruitment and Health Resident Recruitment Contact: Division of Health Professions Support, Indian Health Service, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 100A, Rockville, MD 20852 (301) 443-4242. For Nursing Recruitment Contact: Nursing Program, Indian Health Service, Room 6A-44, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857 (301) 443-1840. Grants Management Contact: Grants Management Branch, Division of Acquisitions and Grants Management, Indian Health Service, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, MD 20852 (301) 443-5204.

**EXAMPLES OF FUNDED PROJECTS:** (1) The University of North Dakota recruited Indians into its medical and health care programs; (2) the Salish-Kootenai College recruited students for nursing careers, and provided scholarship support through the grant; and (3) University of South Dakota places medical residents at IHS facilities as recruitment aid.

### **93.971 HEALTH PROFESSIONS PREPARATORY SCHOLARSHIP PROGRAM FOR INDIANS**

**FEDERAL AGENCY:** INDIAN HEALTH SERVICE,  
DEPARTMENT OF HEALTH AND HUMAN  
SERVICES

**OBJECTIVES:** To make scholarships to American Indians and Alaska Natives for the purpose of completing compensatory pre-professional education to enable the recipient to qualify for enrollment or re-enrollment in a health

professions school or curriculum.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Scholarship awards are limited to persons of American Indian or Alaska Native descent. Awards are limited to a maximum of 2 years of full-time scholarship support under this section of the Indian Health Care Improvement Act.

**Applicant Eligibility:** Scholarship awards are made to individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency and who have been accepted for enrollment in a compensatory, pre-professional general education course or curriculum.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** See your local telephone directory for Indian Health Service Area Offices.

**Headquarters Office:** Program Contact: Scholarship Branch, Division of Health Professions Support, Indian Health Service, Twinbrook Metro Plaza, Suite 100A, 12300 Twinbrook Parkway, Rockville, MD 20852. Telephone: (301) 443-6197. Grants Contact: Grants Management Officer, Grants Management Branch, Division of Acquisition and Grants Management, Indian Health Service, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, MD 20852. Telephone: (301) 443-0243.

**EXAMPLES OF FUNDED PROJECTS:** In fiscal year 1998, continuing scholarship recipients will be funded in the pre-professional categories of pre-nursing, pre-medical technology, pre-physical therapy, pre-engineering, pre-sanitation, and pre-pharmacy only.

### **93.972 HEALTH PROFESSIONS SCHOLARSHIP PROGRAM**

**FEDERAL AGENCY:** INDIAN HEALTH SERVICE,  
DEPARTMENT OF HEALTH AND HUMAN  
SERVICES

**OBJECTIVES:** To provide scholarships to American Indians and Alaska Natives at health professions schools in order to obtain health professionals to serve Indians. Upon completion, scholarship recipients are obligated to serve in the Indian Health Service or an Indian health organization for each year of support with a minimum of 2 years.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Scholarships support students who pursue full-time or part-time courses of study in health professions needed by the Indian Health Service (IHS). Disciplines have included allopathic and osteopathic medicine, dentistry, nursing (baccalaureate and graduate), public health nutrition (graduate), medical social work (graduate), speech pathology/audiology (graduate), optometry, pharmacology and health care administration. Not all disciplines participate each year. Scholarships may not be awarded to students who have conflicting service obligations after graduation or who are not enrolled members of Federally recognized tribes. Recipients are required to perform 1 year of obligated service for each year of scholarship support, with a minimum obligation of 2 years in the Indian Health Service, or in a program conducted under a contract entered into under the Indian Self-Determination Act; or in an Indian health organization assisted under Title

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V of the Indian Health Care Improvement Act; or in private practice in a Health Manpower Shortage Area which addresses the health care needs of a substantial number of Indians. Deferment for internship, residency, or other advanced clinical training for medical, osteopathy, and dental students is generally not to exceed 3 years, consistent with the specialty needs of the IHS. Currently, 4-year deferments may be granted for obstetrics-gynecology and psychiatry residencies.

**Applicant Eligibility:** Individuals of American Indian or Alaska Native who are enrolled members of a Federally-recognized tribe are eligible. Applicants for new awards: (1) must be accepted by an accredited U.S. educational institution for a full-time or part-time course of study leading to a degree in medicine, osteopathy, dentistry, or other participating health profession which is deemed necessary by the Indian Health Service; (2) be eligible for or hold an appointment as a Commissioned Officer in the Regular or Reserve Corps of the Public Health Service; or (3) be eligible for civilian service in the Indian Health Service.

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory for Indian Health Service Area Program Offices.

**Headquarters Office:** IHS Scholarship Program, Division of Health Professions Support, Indian Health Service, Twinbrook Metro Plaza, Suite 100A, 12300 Twinbrook Parkway, Rockville, MD 20852 (301) 443-6197. Grants Contact: Grants Management Branch, Division of Acquisition and Grants Management, Indian Health Service, Twinbrook, Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, MD 20852. Telephone: (301) 443-0243.

**EXAMPLES OF FUNDED PROJECTS:** In fiscal year 1997, there were 390 scholarships awarded to students in the following categories: nursing, medicine, medical technology, health administration, x-ray technology, optometry, dentistry, pharmacy, dental hygiene, dietetics, masters of public health, health education, chemical dependency counseling, health records, engineering, physician assistant, sanitation, and physical therapy.

### 93.991 PREVENTIVE HEALTH AND HEALTH SERVICES BLOCK GRANT (PHHS Block Grants)

**FEDERAL AGENCY:** CENTERS FOR DISEASE CONTROL AND PREVENTION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To provide States with the resources to improve the health status of the population of each grantee through: (A) activities leading to the accomplishment of the year 2000 objectives for the nation; (B) rodent control and community-school fluoridation activities; (C) specified emergency medical services excluding most equipment purchases; (D) services for sex offense victims including prevention activities; and (E) for related administration, education, monitoring and evaluation activities.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Except as described below, Block Grant funds may be used for preventive health service programs for: (A) activities to achieve improvements in the health status of populations through achievement of the

year 2000 health objectives for the nation; (B) preventive health service programs for the control of rodents and for community and school-based fluoridation programs; (C) feasibility studies and planning for emergency medical services systems and the establishment, expansion, and improvement of such systems; (D) providing services to victims of sex offenses and prevention of sex offenses; and (E) related planning, administration, and educational activities. Funds may not be used to operate emergency medical services systems or to purchase more than 50 percent of the cost of communications equipment for such systems.

**Applicant Eligibility:** Only State and territorial governments, the District of Columbia, the Kickapoo Tribe of Kansas and the Sante Sioux Tribe of Nebraska are eligible for Preventive Health and Health Services Block Grants.

### INFORMATION CONTACTS:

**Headquarters Office:** Program Contact: National Center for Chronic Disease Prevention and Health Promotion (K30), Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 4770 Buford Highway, NE., Atlanta, GA 30341-3724. Telephone: (404) 488-5299. Grants Management Contact: Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 255 E. Paces Ferry Road, NE., Atlanta, GA 30303. Telephone: (404) 842-6508.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 93.994 MATERNAL AND CHILD HEALTH SERVICES BLOCK GRANT TO THE STATES

**FEDERAL AGENCY:** HEALTH RESOURCES AND SERVICES ADMINISTRATION, DEPARTMENT OF HEALTH AND HUMAN SERVICES

**OBJECTIVES:** To enable States to maintain and strengthen their leadership in planning, promoting, coordinating and evaluating health care for pregnant women, mothers, infants, and children and children with special health care needs in providing health services for mothers and children who do not have access to adequate health care.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** States may use funds to develop systems of care for the provision of health services and related activities, including planning, administration, education and evaluation consistent with the State's annual application. Funds may not be used for (1) inpatient services other than those provided to children with special health care needs or to high-risk pregnant women and infants and such other inpatient services as the Secretary may approve; (2) cash payments to intended recipients for health services; (3) purchase and improvement of land, construction or permanent improvement of buildings or purchase of major medical equipment; (4) matching other Federal grants; or (5) providing funds for research or training to any entity other than a public or private nonprofit entity. Beginning in FY 1991, States must use at least 30 percent of their Federal allotment for preventive and primary care services for children, and at least 30 percent for services for children with

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special health care needs. In addition, each State must establish and maintain a toll-free information number for parents on maternal and child health (MCH) and Medicaid providers. No more than 10 percent of each State's allotment may be used for administration.

**Applicant Eligibility:** Maternal and Child Health Block Grants are limited to States and insular areas.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Central Office Contacts: Program Contact: Office of State and Community Health, Maternal and Child Health Bureau, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, Room 18-31, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2204. Grants Management Contact: Grants Management Officer, Maternal and Child Health Bureau, Health Resources and Services Administration, Public Health Service, Room 18-12, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1440.

**EXAMPLES OF FUNDED PROJECTS:** Each of the 59 States and jurisdictions determines its individual program priorities under its Block grant.

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### DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

#### **14.110 MANUFACTURED HOME LOAN INSURANCE FINANCING PURCHASE OF MANUFACTURED HOMES AS PRINCIPAL RESIDENCES OF BORROWERS (Title I)**

**FEDERAL AGENCY:** HOUSING, DEPARTMENT OF  
HOUSING AND URBAN DEVELOPMENT

**OBJECTIVES:** To make possible reasonable financing of  
manufactured home purchases.

**TYPES OF ASSISTANCE:** Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** HUD insures lenders  
against loss on loans. Insured loans may be used to purchase  
manufactured home units by buyers intending to use them as  
their principal places of residence. The maximum amount of  
the loan is \$48,600, whether single or multiple modules. The  
borrower must give assurance that the unit will be placed on  
a site which complies with local zoning and land  
development requirements.

**Applicant Eligibility:** All persons are eligible to apply.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Contact HUD Headquarters for  
program information.

**Headquarters Office:** Home Improvement Branch,  
Department of Housing and Urban Development, 451 7th  
Street, SW., Room 8272, Washington, DC 20410 (202)  
708-6396.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

#### **14.121 MORTGAGE INSURANCE HOMES IN OUTLYING AREAS (203(i))**

**FEDERAL AGENCY:** HOUSING, DEPARTMENT OF  
HOUSING AND URBAN DEVELOPMENT

**OBJECTIVES:** To help people purchase homes in outlying  
areas.

**TYPES OF ASSISTANCE:** Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** HUD insures lenders  
against loss on mortgage loans. These loans may be used to  
finance the purchase of proposed, under construction, or  
existing one-family nonfarm housing, or new farm housing on  
two and one-half or more acres adjacent to an all-weather  
public road. The maximum insurable loan for an occupant  
mortgagor on a one-family home is 75 percent of the Section  
203(b) limit.

**Applicant Eligibility:** All families are eligible to apply.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the Home ownership  
Center serving their State, or the nearest local HUD Office.  
See your local telephone directory.

**Headquarters Office:** None.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

#### **14.157 SUPPORTIVE HOUSING FOR THE ELDERLY (202)**

**FEDERAL AGENCY:** HOUSING, DEPARTMENT OF  
HOUSING AND URBAN DEVELOPMENT

**OBJECTIVES:** To expand the supply of housing with  
supportive services for the elderly.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Capital advances shall  
be used to finance the construction or rehabilitation of a  
structure or portion thereof, or the acquisition of a structure  
from the Resolution Trust Corporation to provide supportive  
housing for the elderly, which may include the cost of real  
property acquisition, site improvement, conversion,  
demolition, relocation and other expenses of supportive  
housing for the elderly. Project rental assistance is used to  
cover the difference between the HUD-approved operating  
cost per unit and the amount the tenant pays.

**Applicant Eligibility:** Private nonprofit corporations and  
consumer cooperatives. Public bodies and their  
instrumentalities are not eligible Section 202 applicants.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the appropriate HUD field  
office listed in your local telephone directory.

**Headquarters Office:** Office of Business Products,  
Department of Housing and Urban Development,  
Washington, DC 20410. Telephone: (202) 708-2556.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

#### **14.219 COMMUNITY DEVELOPMENT BLOCK GRANTS/SMALL CITIES PROGRAM (Small Cities)**

**FEDERAL AGENCY:** COMMUNITY PLANNING AND  
DEVELOPMENT, DEPARTMENT OF HOUSING AND  
URBAN DEVELOPMENT

**OBJECTIVES:** The primary objective of this program is the  
development of viable urban communities by providing  
decent housing, a suitable living environment, and expanding  
economic opportunities, principally for persons of low and  
moderate income.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Small Cities develop  
their own programs and funding priorities. Generally, as in  
the case of entitlement grants, most activities previously  
eligible under the categorical program consolidated under the  
Act, and defined by the statute and regulations may be carried  
out, i.e., acquisition, rehabilitation or construction of certain  
public works facilities and improvements, clearance, housing  
rehabilitation, code enforcement, direct assistance to facilitate  
and expand Home ownership among persons of low and  
moderate income, relocation payments and assistance,  
administrative expenses, economic development, completing  
existing urban renewal projects, and certain public services  
within certain limits. Neighborhood-based nonprofit  
organizations, local development corporations, Small  
Business Investment Companies, or other nonprofit  
organizations serving the development needs of  
nonentitlement areas may act as subgrantees to carry out  
neighborhood revitalization or community economic  
development projects in furtherance of block grant objectives.  
Recipients may provide assistance to for-profit entities when  
the recipient determines that the provision of such assistance  
is appropriate to carry out an economic development project.  
Communities are restricted from constructing or rehabilitating  
public facilities for the general conduct of government and

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from making housing allowances or other income maintenance-type payments. The projected use of funds must be developed to give maximum feasible priority to activities which benefit low and moderate income persons or aid in the prevention or elimination of slums or blight. The projected use of funds may also include activities which the applicant certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. At least 70 percent of each grant made available to a unit of general local government must benefit low and moderate income persons.

**Applicant Eligibility:** HUD continues to administer the Small Cities Program only for the nonentitlement communities within the jurisdictions of the States of New York and Hawaii. Eligible applicants are units of general local government (including counties), except metropolitan cities, urban counties or units participating in an urban county's CDBG program, and Indian tribes eligible for assistance under Section 106(a) of the Act, as amended. Under the CDBG Program/State Program (14.228) each State may now elect to administer all aspects of the Small Cities Program for the nonentitlement communities within its jurisdiction. All other States have elected to administer the Small Cities Program.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact appropriate HUD Field Office for New York or Hawaii listed in your local telephone directory.

**Headquarters Office:** State and Small Cities Division, Office of Block Grant Assistance, Community Planning and Development, Department of Housing and Urban Development, 451 7th Street, SW., Washington, DC 20410. Telephone: (202) 708-1322. Use the same number for FTS.

**EXAMPLES OF FUNDED PROJECTS:** Neighborhood revitalization projects emphasizing rehabilitation of private homes, and including appropriate improvements of public facilities; economic development projects for expanded employment opportunities; and projects to address serious deficiencies in public facilities such as water and sewer.

### **14.227 COMMUNITY DEVELOPMENT BLOCK GRANTS/SPECIAL PURPOSE GRANTS/TECHNICAL ASSISTANCE PROGRAM**

#### **FEDERAL AGENCY: COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**OBJECTIVES:** To help States, units of general local government, Indian tribes and area wide planning organizations to plan, develop and administer local Community Development Block Grant programs.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements); Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Assistance may be used to transfer skills and knowledge in planning, developing and administering the Community Development Block Grant programs from those individuals and institutions which possess them to eligible block grant entities and affiliated

CDBG participants which need them. Project activities show how they will increase the effectiveness with which eligible block grant communities can use CDBG funds to meet community development national and local program objectives. May take several forms, such as the provision of written information, person-to-person exchange, seminars, workshops or training sessions.

**Applicant Eligibility:** States, units of general local government, Indian tribes, area wide planning organizations, groups designated by such governmental units to assist them in carrying out assistance under Title I of Public Law 93-383 and qualified groups. Specifications for respondents to competitive request for proposals are stated in the request documents.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the appropriate HUD Field Office listed in your local telephone directory.

**Headquarters Office:** Office of Management and Technical Assistance, Community Planning and Development, Department of Housing and Urban Development, 451 7th St., SW., Washington, DC 20410. Telephone: (202) 708-3176.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS/STATE'S PROGRAM**

#### **FEDERAL AGENCY: COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**OBJECTIVES:** The primary objective of this program is the development of viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low and moderate income.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** States develop their own programs and funding priorities. Each State may elect to administer Community Development Block Grant (CDBG) funds for areas which do not receive CDBG entitlement grants (14.218). If, after a State decides to administer the CDBG program, it decides not to, the funds that would have been allocated to the State will be reallocated among the other States. In States which elect to administer the nonentitlement funds, HUD awards the formula grants to the States under the CDBG/State's Program. In States which do not elect to administer the nonentitlement funds, HUD awards competitive grants to units of general local government under the CDBG/Small Cities Program (14.219). Each State may use up to \$100,000 plus two percent of its grant to administer the program and must match each Federal dollar in excess of \$100,000 used for administration with a dollar of its own. Each State may use up to one percent of its grant to provide technical assistance to local governments and nonprofit program recipients. Units of general local government funded by the State may undertake a wide range of activities directed toward neighborhood vitalization, economic development, or provision of improved community facilities and services. Specific activities that can be carried out with block grant funds include acquisition of real property, relocation and demolition, rehabilitation of residential and nonresidential structures, direct assistance to facilitate and expand Home ownership among persons of low and moderate income, and

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provision of public facilities and improvements, such as water and sewer facilities, streets, and neighborhood centers. In addition, block grant funds may be used to pay for certain public services within certain limits. Neighborhood-based nonprofit organizations, local development corporations, Small Business Investment Companies, or other nonprofit organizations serving the development needs on nonentitlement areas may act as subgrantees to carry out neighborhood revitalization or community economic development projects in furtherance of block grant objectives. Recipients may provide assistance to for-profit entities when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. The projected use of funds must be developed to give maximum feasible priority to activities which benefit low and moderate-income persons or aid in the prevention or elimination of slums or blight and the projected use of funds may also include activities which the applicant certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. The aggregate use of over a period specified by the State, but not exceeding three years, must ensure that not less than 70 percent of the funds received benefit low and moderate income persons.

**Applicant Eligibility:** State governments. States must distribute the funds to units of general local government in nonentitlement areas.

### INFORMATION CONTACTS:

**Regional or Local Office:** Contact the appropriate HUD Field Office listed in your local telephone directory.

**Headquarters Office:** State and Small Cities Division, Office of Block Grant Assistance, Community Planning and Development, Department of Housing and Urban Development, 451 7th Street, SW., Washington, DC 20410. Telephone: (202) 708-1322.

**EXAMPLES OF FUNDED PROJECTS:** Projects are selected by States.

### 14.239 HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME Program)

**FEDERAL AGENCY:** COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

**OBJECTIVES:** (1) To expand the supply of affordable housing, particularly rental housing, for low and very low income Americans; (2) to strengthen the abilities of State and local governments to design and implement strategies for achieving adequate supplies of decent, affordable housing; (3) to provide both financial and technical assistance to participating jurisdictions, including the development of model programs for developing affordable low income housing and; (4) to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and nonprofit organizations, in the production and operation of affordable housing.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** For use by participating jurisdictions or Insular Areas for housing rehabilitation, tenant-based rental assistance, assistance to

home buyers, acquisition of housing and new construction of housing. Funding may also be used for other necessary and reasonable activities related to the development of non-luxury housing, such as site acquisition, site improvements, demolition and relocation. Ten percent of a participating jurisdiction's allocation may be used for administrative costs. Funds may not be used for public housing modernization, matching funds for other Federal programs, reserve accounts or operating subsidies for rental housing, Annual Contributions Contracts, or activities under the Low Income Housing Preservation Act except for priority purchasers.

**Applicant Eligibility:** States, cities, urban counties, and consortia (of contiguous units of general local governments with a binding agreement) are eligible to receive formula allocations; funds are also set aside for grants to Insular Areas.

### INFORMATION CONTACTS:

**Regional or Local Office:** Contact appropriate HUD Field Office listed in your local telephone directory.

**Headquarters Office:** Office of Affordable Housing Programs, Community Planning and Development, Department of Housing and Urban Development, 451 7th Street, SW, Washington, DC 20410 (202) 708-2685.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 14.243 OPPORTUNITIES FOR YOUTH, YOUTH BUILD PROGRAM (YOUTH BUILD Program)

**FEDERAL AGENCY:** COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

**OBJECTIVES:** The YOUTH BUILD program provides funding assistance for a wide range of multi-disciplinary activities and services to assist economically disadvantaged youth. The opportunities are designed to help disadvantaged young adults who have dropped out of high school to obtain the education and employment skills necessary to achieve economic self-efficiency and develop leadership skills and a commitment to community development in low income communities. Another important objective of the YOUTH BUILD program is to expand the supply of permanent affordable housing for homeless persons and members of low income and very low income families. By giving disadvantaged young adults participating in the program meaningful on-site training experiences constructing or rehabilitating housing as a community service, they are helping to meet the housing needs of homeless and low income families in their community.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds can be used to fund eligible educational and supportive services and activities composed of basic skills development, and counseling, referral and support services. Funds can also be used for architectural and engineering fees, construction, rehabilitation, acquisition, operating expenses and replacements reserves. If YOUTH BUILD funds are used for these construction related activities, certain housing related restrictions apply. Use of YOUTH BUILD funds in this way will also negatively affect a proposal's chances of being funded. See the Final Rule for specifics.

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**Applicant Eligibility:** A public or private nonprofit agency, including: (1) a community-based organization which is accountable to low income community residents through representation on the governing board and which has a history of serving the local community where the YOUTH BUILD program is to be located; (2) an administrative entity designated under section 103(b)(1)(B) of the Job Training Partnership Act; (3) a community action agency; (4) a State or local housing development agency; (5) a community development corporation; (6) a public and/or Indian housing authority and resident management corporations, resident councils and resident organizations; (7) a State and local youth service and conservation corps; and (8) any other entity (including States, units of general local government, and Indian Tribes) eligible to provide education and employment training under other Federal employment training programs.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Office of Economic Development, Department of Housing and Urban Development, 451 7th Street SW., Washington, DC 20410, Room 7134. Telephone: (202) 708-2035.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **14.244 EMPOWERMENT ZONES PROGRAM (Empowerment Zones and Enterprise Communities)**

#### **FEDERAL AGENCY: COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**OBJECTIVES:** The purpose of this program is to provide for the establishment of Empowerment Zones and Enterprise Communities in urban areas, to stimulate the creation of new jobs empowering low-income persons and families receiving public assistance to become economically self-sufficient, particularly for the disadvantaged and long-term unemployed, and to promote revitalization of economically distressed areas. The Empowerment Zone and Enterprise Community (EZ/EC) program is the first step in rebuilding communities in America's poverty-stricken inner-cities and rural heartland. It is designed to empower people and communities all across this nation by inspiring Americans to work together to create jobs and opportunity. Under this program, the Federal government has designated areas that meet certain poverty and distress criteria and prepare creative strategic plans for revitalization. The Secretary of Housing and Urban Development has designated eight urban Empowerment Zones and 65 Enterprise Communities, and the Secretary of Agriculture has designated 33 rural Empowerment Zones and Enterprise Communities. All designations were made in consultation with the Community Empowerment Board, which the President established on September 9, 1993. Designated areas receive Federal grant funds from the Department of Health and Human Services, Social Services Block Grant (Title XX). In addition they receive special tax benefits and special consideration for funding under other Federal programs. The Secretary of HUD will designate 15 additional urban Empowerment Zones before January 1, 1999. New designated EZs will receive tax incentives and the Administration has proposed funding the urban zones at \$100 million, \$10 million each year over a ten-year period.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Designation as an empowerment zone. There is \$1.5 million in HUD funding for planning grants and approximately \$502 million in tax benefits. Each of the 15 areas that receives EZ designations will be awarded a \$100,000 planning grant. Each urban EZ will receive \$10 million a year over the 10 year life of the program.

**Applicant Eligibility:** (Note: This eligibility is for the "urban zone" applicants. See the Department of Agriculture section for "rural zone" applicant eligibility). A nominated urban area may be eligible for designation if the area: (a) Has a maximum population which is the lesser of (1) 200,000 or (2) the greater of 50,000 or 10 percent of the population of the most populous city located within the nominated area; (b) Is one of pervasive poverty, unemployment and general distress; (c) Does not exceed twenty square miles in total land area; (d) Demonstrates a poverty rate which is not less than: (1) 20 percent in each census tract; (2) For at least 90 percent of the census tracts within the nominated urban area, the poverty rate must be not less than 25 percent; (3) Census tracts with no population are treated as having a poverty rate that meets the standards of (1) and (2) above; and (4) A census tract that has a population of less than 2,000 is treated as having a poverty rate that meets the requirements of (1) and (2) above if more than 75 percent of the tract is zoned for commercial or industrial use. (e) Has a continuous boundary, or consists of not more than six (6) noncontiguous parcels. A nominated urban area must not contain a noncontiguous parcel unless such parcel separately meets the criteria set forth in (1) and (2) above, except for sites that can be developed. The total area of the noncontiguous parcels must not exceed 2,000 acres. (f) Is located entirely within the jurisdiction of the unit or units of general local government making the nomination, and is located in no more than two contiguous States, and (g) Does not include any portion of a central business district, as this term is used in the most recent Census of Retail Trade, unless the poverty rate for each census tract in the district is not less than 35 percent.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** All HUD Field offices with a Community Planning and Development Division, ATTN: Director of Community Planning and Development.

**Headquarters Office:** Office of Community Planning and Development, HUD (202) 708-6339. A dedicated 1-800-998-9999 number has been established to answer questions and make referrals for information concerning eligibility criteria, the application process, technical assistance and workshops.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **14.246 COMMUNITY DEVELOPMENT BLOCK GRANTS/ECONOMIC DEVELOPMENT INITIATIVE (Section 108)**

#### **FEDERAL AGENCY: DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**OBJECTIVES:** To help public entities eligible under the Section 108 Loan Guarantee program carry out economic development projects authorized by Section 108(a) of the Housing and Community Development Act of 1974, as amended. Grant assistance must enhance the security of loans

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guaranteed under the Section 108 program or improve the viability of projects financed with loans guaranteed under the Section 108 program. In addition, this program will make competitive economic development grants in conjunction with Section 108 loan guarantees for qualified Brownfields projects.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Assistance may be used for any activity eligible under Section 108(a) of the Housing and Community Development Act of 1974, as amended. Grant funds may only be used in conjunction with projects and activities assisted under the Section 108 Loan Guarantee program.

**Applicant Eligibility:** Units of general local government that are eligible public entities under the Section 108 Loan Guarantee program.

### INFORMATION CONTACTS:

**Regional or Local Office:** Contact appropriate HUD Field Office listed in your local telephone directory.

**Headquarters Office:** Financial Management Division, Office of Block Grant Assistance, Community Planning and Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-1871.

**EXAMPLES OF FUNDED PROJECTS:** A wide-range of economic development projects including commercial, industrial and economic development revolving loan funds. Brownfields EDI grants will result in similar activities for the qualified Brownfields sites.

### 14.250 RURAL HOUSING AND ECONOMIC DEVELOPMENT

**FEDERAL AGENCY:** COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

**OBJECTIVES:** To expand the supply of affordable housing and access to economic opportunities in rural areas.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grants can be used for capacity building, innovative housing and economic development programs and seed money for these programs. More specific information will be available in the Notice of Funding Availability (NOFA).

**Applicant Eligibility:** Local, rural, and non-profit community development corporations and Indian Tribes are eligible applicants for all three types of grants. State Housing Financing Agencies and State Community and Economic Development Agencies can apply only for the Innovative Grants.

### INFORMATION CONTACTS:

**Regional or Local Office:** None.

**Headquarters Office:** Deputy Director of Economic Development and Empowerment Services, Community Planning and Development, 451 7th St., S.W., Washington, DC 20410. Telephone: (202) 708-2290 x4660.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 14.313 DOLLAR HOME SALES

**FEDERAL AGENCY:** OFFICE OF HOUSING, DEPARTMENT OF HOUSING AND URBAN

### DEVELOPMENT

**OBJECTIVES:** To expand HUD's partnership with local governments in helping to foster housing opportunities for low- to moderate-income families and address specific community needs.

**TYPES OF ASSISTANCE:** Sale, Exchange, or Donation of Property and Goods.

**USES AND USE RESTRICTIONS:** Single family homes that are acquired in foreclosure actions by the Federal Housing Administration will be eligible for sale to local governments around the nation for \$1 when the homes have been listed for sale for at least six months and remain unsold. Local governments buying HUD homes for \$1 can sell or rent them to low- and moderate-income families, to first-time homebuyers, or to groups that will use the homes to provide services such as child care centers or job training centers.

**Applicant Eligibility:** Local governments must identify the intended disposition strategies and clear public purpose goals and objectives it will pursue with properties purchased through this program.

### INFORMATION CONTACTS:

**Regional or Local Office:** Philadelphia Home Ownership Center, The Wanamaker Building, 100 Penn Square East, Philadelphia, PA 19107-3389, telephone: 1-800-440-8647; Atlanta Home Ownership Center, Five Points Plaza, 40 Marietta Street, Atlanta, Georgia 30303-2806, telephone: 1-888-696-4687; Denver Home Ownership Center, Wells Fargo Tower North, 633 17th Street, Denver, CO 80202-3607, telephone: 1-800-543-9378; Santa Ana Home Ownership Center, 1600 North Broadway, Suite 100, Santa Ana, CA 92706-3927, telephone: 1-888-827-5605.

**Headquarters Office:** Dennis White, telephone (202) 708-1672; E-mail: Dennis\_A\_White@hud.gov.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 14.511 COMMUNITY OUTREACH PARTNERSHIP CENTER PROGRAM

**FEDERAL AGENCY:** POLICY DEVELOPMENT AND RESEARCH, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

**OBJECTIVES:** This program is a five-year demonstration program to determine the feasibility of facilitating partnerships among institutions of higher education and communities to solve urban problems through research, outreach and exchange of information.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Research and outreach activities funded under this program must focus on problems associated with housing, economic development, neighborhood revitalization, infrastructure, health care, job training, education, crime prevention, planning, community organizing and other areas deemed appropriate by the Secretary.

**Applicant Eligibility:** Institutions of higher education with demonstrated ability to carry out eligible activities.

### INFORMATION CONTACTS:

**Regional or Local Office:** None.

**Headquarters Office:** For application kits contact HUD: User, P.O. Box 6091, Rockville, MD 20849. Telephone:



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**1-800-245-2691.** For answers to technical questions, contact the Office of University Partnerships, Office of Policy Development and Research, 451 7th Street, SW, Washington, DC 20410 (202) 708-1537, extension 218.

**EXAMPLES OF FUNDED PROJECTS:** The University of Illinois at Champaign was awarded \$500,000 to work in East St. Louis, Illinois, to expand neighborhood planning and organizational development in five neighborhoods, expand a Neighborhood College for leaders of nonprofit organizations, establish a Neighborhood Technical Assistance Center for community groups and small minority and women-owned businesses, and conduct research on how to maximize housing and other opportunities. The University of Texas at Austin was awarded \$500,000 to work with two existing networks of community organizations on housing, infrastructure and community development in colonies in South Texas and job training in Dallas, Fort Worth and the Lower Rio Grande Valley.

### **14.512 COMMUNITY DEVELOPMENT WORK-STUDY PROGRAM**

**FEDERAL AGENCY: POLICY DEVELOPMENT AND RESEARCH, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**OBJECTIVES:** Under the Community Development Work-Study Program (CDWSP), HUD will make grants to institutions of higher education, either directly or through area wide planning organizations or States, for the purpose of providing assistance to economically disadvantaged and minority students who participate in community development work-study programs and are enrolled in full-time graduate programs in community and economic development, community planning or community management. The primary objectives of the program are to attract economically disadvantaged and minority students to careers in community and economic development, community planning, and community management, and to provide a cadre of well qualified professionals to plan, implement, and administer local community development programs.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Institutions of higher education, area wide planning organizations or States may apply for grants to assist economically disadvantaged and minority students who participate in CDWSP's and are enrolled in full-time graduate programs in community and economic development, community planning or community management, or other related fields of study. Related fields include public administration, urban management, urban planning, and exclude social and humanistic fields such as law, economics (except urban economics) psychology, education and history.

**Applicant Eligibility:** Institutions of higher education offering graduate degrees in a community development academic program are eligible. An area wide planning organization (APO) or a State may apply for assistance for a program that will be conducted by two or more institutions of higher education. Institutions of higher education participating in an APO program must be located within the metropolitan or non-metropolitan area served by the APO. Institutions of higher education participating in a State program must be located within the State.

**INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** For application kits, contact HUD USER, P.O. Box 6091, Rockville, MD 20849. Telephone: **1-800-245-2691.** Direct technical questions to the Office of University Partnerships, Department of Housing and Urban Development, Room 8110, 451 7th Street, SW., Washington, DC 20410. Telephone: (202) 708-1537, extension 218.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **14.515 ALASKA NATIVE/NATIVE HAWAIIAN INSTITUTIONS ASSISTING COMMUNITIES FEDERAL AGENCY: POLICY DEVELOPMENT AND RESEARCH, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**OBJECTIVES:** To help Alaska Native Institutions (ANIs) of higher education and Native Hawaiian Institutions (NHIs) of higher education enhance their role and effectiveness in addressing community development needs in their localities, including neighborhood revitalization, housing, and economic development, consistent with the purposes of Title I of the Housing and Community Development Act of 1974.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Only nonprofit institutions of higher education that meet the statutory definition of an ANI or an NHI contained in the 1988 Amendments to the Higher Education Act of 1965, Public Law 105-244, are eligible to submit applications for funding.

**Applicant Eligibility:** Nonprofit Alaska Native Institutions of higher education and Native Hawaiian Institutions of higher education that are either on the U.S. Department of Education lists of eligible ANIs or NHIs or ANIs or NHIs that certify that they meet the statutory definition of an ANI or NHI. Each campus of an ANI is permitted to submit a separate application. An NHI may submit only one application per year, no matter how many campuses it has.

**INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** For application kits, contact the Super NOFA Information Center at **1-800-HUD-8929.** For answers to technical questions, contact in the Office of University Partnerships, Office of Policy Development and Research, 451 7th Street, S.W., Washington, DC 2-410. Telephone: (202) 708-1537, extension 5918.

**EXAMPLES OF FUNDED PROJECTS:** New program.

### **14.519 TRIBAL COLLEGES AND UNIVERSITIES PROGRAM**

**FEDERAL AGENCY: POLICY DEVELOPMENT AND RESEARCH, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**OBJECTIVES:** To help tribal colleges and universities, build, expand, renovate, and equip their own facilities.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds can be used by tribal colleges to build, expand, renovate, and equip their own facilities. No other activities can be funded; nor can facilities not owned by tribal colleges and universities be assisted.

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**Applicant Eligibility:** Tribal colleges and universities that meet the statutory definition of such institutions contained in Title V of the 1998 Amendments to the Higher Education Act of 1965, Public Law 105- 244.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** For application kits, contact the Super NOFA Information Center at 1-800-HUD-8929. For answers to technical questions, contact either the Office of University Partnerships, Office of Policy Development and Research, or Office of Native American Programs, HUD, 451 7th Street, SW., Washington, D.C. 20410. Telephone numbers: (202) 708-1537, extension 5918 or (202) 401-7914, extension 4200.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **14.850 PUBLIC AND INDIAN HOUSING**

#### **FEDERAL AGENCY: PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**OBJECTIVES:** To provide and operate cost-effective, decent, safe and affordable dwellings for lower income families through an authorized local Public Housing Agency (PHA).

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** To assist PHAs developing lower-income housing by (1) acquiring existing housing from the private market (acquisition); (2) obtaining construction or rehabilitation contracts by competitive bidding where the PHA acts as the developer (conventional); or (3) executing new construction or rehabilitation Contracts of Sale with private developers (turnkey). Annual contributions are made to PHAs for debt service payments for commitments approved on or prior to September 30, 1986, or direct funding of capital costs (grants) is provided to PHAs for commitments approved after September 30, 1986. In addition, operating subsidy funds are available to achieve and maintain adequate operating and maintenance service and reserve funds. Emphasis on housing type (i.e., acquisition with or without rehabilitation versus new construction and household type (i.e., large family). Funds may also be used for the major reconstruction of obsolete existing public housing projects. The statute requires that priority in development be given to housing larger families requiring 3 or more bedrooms.

**Applicant Eligibility:** Public Housing Agencies established in accordance with State law are eligible. The proposed program must be approved by the local governing body. Pursuant to the Native American Housing Assistance and Self Determination Act of 1996, Indian Housing Authorities (IHAs) are no longer eligible for funding under the US Housing Act (of 1937) or the Indian Housing Act.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** HUD Field Office listed your local telephone directory that has jurisdiction over the area in which the dwellings are to be located.

**Headquarters Office:** Assistant Secretary for Public and

Indian Housing, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 708-0950. Use the same number for FTS.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **14.862 INDIAN COMMUNITY**

#### **DEVELOPMENT BLOCK GRANT PROGRAM FEDERAL AGENCY: PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**OBJECTIVES:** To provide assistance to Indian tribes and Alaska Native villages in the development of viable Indian communities.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Indian tribes and Alaska Native villages may use block grants to improve the housing stock, provide community facilities, make infrastructure improvements, and expand job opportunities by supporting the economic development of their communities. Activities which are eligible for funding include housing rehabilitation programs, acquisition of land for housing, direct assistance to facilitate home ownership among low and moderate income persons, construction of tribal and other facilities for single or multi-use, streets and other public facilities, and economic development projects particularly those by nonprofit tribal organizations or local development corporations when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. Tribes and Alaska Native villages are restricted from using block grants for construction and improvement of governmental facilities, the purchase of equipment, general government expenses, operating and maintenance expenses, political activities, new housing construction (except through community-based development organizations (CBDOs), and income payments.

**Applicant Eligibility:** Any Indian tribe, band, group, or nation, including Alaska Indians, Aleuts, and Eskimos, and any Alaska Native village that is eligible for assistance under the Indian Self-Determination and Education Assistance Act or which had been eligible under the State and Local Fiscal Assistance Act of 1972.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact appropriate HUD Office of Native American Programs Field Office listed in your local telephone directory.

**Headquarters Office:** Office of Native American Programs, U.S. Department of Housing and Urban Development, Suite 3990, P.O. Box 90, 1999 North Broadway, Denver, CO 80202. Telephone: (303) 675-1600.

**EXAMPLES OF FUNDED PROJECTS:** Fire station; housing rehabilitation grant program; cooperative store development; water lines and storage facility, community building.

### **14.865 PUBLIC AND INDIAN HOUSING INDIAN LOAN GUARANTEE PROGRAM (Loan Guarantees for Indian Housing)**

#### **FEDERAL AGENCY: PUBLIC AND INDIAN HOUSING, OFFICE OF NATIVE AMERICAN PROGRAMS, DEPARTMENT OF HOUSING AND**

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### URBAN DEVELOPMENT

**OBJECTIVES:** To provide home ownership opportunities to Native Americans, Tribes and Tribally Designated Housing Entities (TDHEs, formerly Indian Housing Authorities) on Indian land, through a guaranteed mortgage loan program available through private financial institutions.

**TYPES OF ASSISTANCE:** Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** Mortgage loans are for the acquisition or rehabilitation of existing homes, purchase and rehabilitation of a home or construction of a new home. The financial institution which originates the mortgage loan must meet certain requirements noted in the law.

**Applicant Eligibility:** The loan applicant must be a Native American, which includes Alaska Natives, or a Tribally Designated Housing Authority (TDHE) or a Tribe which meets certain requirements.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** The Administrator, Office of Native American Programs at the appropriate field office (see your local telephone directory for HUD field office addresses in Chicago, Denver, Phoenix, Seattle, Oklahoma City and Anchorage).

**Headquarters Office:** Deputy Assistant Secretary for Native American Programs, 1999 Broadway, Suite 3390, Box 90, Denver, Colorado 80202. Telephone: (303) 675-1600.

### 14.867 INDIAN HOUSING BLOCK GRANTS

**FEDERAL AGENCY: PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**OBJECTIVES:** To provide Federal assistance for Indian tribes in a manner that recognizes the right of tribal self-governance, and for other purposes.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Affordable housing activities such as: Indian Housing Assistance; Development; Housing Services; Housing Management Services; Crime Prevention and Safety Activities; and Model Activities.

**Applicant Eligibility:** Indian tribes or tribally designated housing entities (TDHE) (to be eligible for Indian housing block grant funds the tribe or TDHE must submit an Indian housing plan that is in compliance with the requirements of Section 102 of NAHASDA).

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** The Administrator, Office of Native American Programs at the appropriate field office in your local telephone directory.

**Headquarters Office:** National Office of Native American Programs, 1999 Broadway, Suite 3390, Box 90, Denver, CO 80202. Telephone: (303) 675-1600.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 14.869 TITLE VI FEDERAL GUARANTEES FOR FINANCING TRIBAL HOUSING ACTIVITIES

**FEDERAL AGENCY: PUBLIC AND INDIAN HOUSING, OFFICE OF NATIVE AMERICAN PROGRAMS, OFFICE OF LOAN GUARANTEE, DEPARTMENT OF HOUSING AND URBAN**

### DEVELOPMENT

**OBJECTIVES:** To assist an Indian tribe or Tribally Designated Housing Entity (TDHE) to obtain financing where an obligation cannot be completed without such guarantee.

**TYPES OF ASSISTANCE:** Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** Limited to the eligible affordable housing activities listed in Section 202 of the NAHASDA to include Indian Housing Assistance, Development, Housing Services, Housing Management Services, Crime Prevention and Safety Activities, and Affordable Housing Activities, as approved by the Secretary.

**Applicant Eligibility:** The Borrower must be a Federally recognized Indian tribe or TDHE that is an approved recipient for Indian Housing Block Grants (IHBG) funds.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Director of the Office of Loan Guarantee, National Office of Native American Programs, Denver, CO. Telephone: (303) 675-1660.

**Headquarters Office:** None.

**EXAMPLES OF FUNDED PROJECTS:** Development of multiple-family housing projects; integration of infrastructure resources on reservations lands; large scale developments such as subdivisions; increase access to private capital through the issuance of bonds; and mortgage assistance to Native American families.

### 14.870 RESIDENT OPPORTUNITY AND SUPPORTIVE SERVICES (ROSS)

**FEDERAL AGENCY: PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**OBJECTIVES:** To programmatically address the needs of public housing residents by providing supportive services, resident empowerment activities and/or assisting residents in becoming economically self-sufficient. The primary focus of the program is on "welfare to work" and on independent living for the elderly and persons with disabilities.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds may be used for both economic development and supportive services activities, organizational development, mediation, including the employment of service coordinators/case managers.

**Applicant Eligibility:** Public and Indian Housing (PHAs/IHAs), and Tribally Designated Housing entities (TDHEs), resident management corporations, resident councils or resident organizations (including nonprofit entities supported by residents) must establish partnerships to leverage resources with other Federal and nonfederal entities.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Offices of Public Housing and Native American Programs listed in your local telephone directory.

**Headquarters Office:** Customer Services and Amenities Division, Office of Public and Assisted Housing Delivery, Public and Indian Housing, Department of Housing and Urban Development, Room 4224, 451 Seventh Street, SW., Washington, DC 20410. Telephone: (202) 708-4214. A telecommunications device for hearing and speech impaired persons (TDD) is available at (202) 708-0850. These are

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toll-free numbers.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **14.872 PUBLIC HOUSING CAPITAL FUND (CFP)**

**FEDERAL AGENCY: PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**OBJECTIVES:** The Capital Fund Program provides funds, annually, to Public Housing Agencies (PHAs) for capital and management activities, including modernization and development of public housing.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** The funds may be used for the development, financing and modernization of public housing developments and for management improvements. The funds may not be used for luxury improvements; direct social services; cost funded by other HUD programs; and ineligible activities as determined by HUD on a case-by-case basis.

**Applicant Eligibility:** The PHA must demonstrate that it has the legal authority to develop, own, modernize and operate a public housing development in accordance with the 1937 Act.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Inquiries should be addressed to the Public Housing Director of the appropriate FO that has jurisdiction over the PHA. (See your local telephone directory for HUD FO addresses).

**Headquarters Office:** Assistant Secretary for Public and Indian Housing Development, Washington, DC 20410. Telephone: (202) 708-0950.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

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### DEPARTMENT OF INTERIOR

#### 15.020 AID TO TRIBAL GOVERNMENTS

##### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide funds to Indian tribal governments to support general tribal government operations, to maintain up-to-date tribal enrollment, to conduct tribal elections, and to develop appropriate tribal policies, legislation, and regulations.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds may be used in a variety of ways to strengthen the capabilities of Indian tribes in self-government, community planning, and maintenance of membership records.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments.

##### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs agency or area office.

**Headquarters Office:** Chief, Division of Tribal Government Services, Bureau of Indian Affairs, 1849 "C" Street, NW., MS-4641 MIB, Washington, DC 20240. Telephone: (202) 208-4097.

**EXAMPLES OF FUNDED PROJECTS:** Not available.

#### 15.022 TRIBAL SELF-GOVERNANCE

##### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To further the goals of Indian Self-Determination by providing funds to Indian tribes to administer a wide range of programs with maximum administrative and programmatic flexibility.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds may be used by Federally Recognized Tribal Governments to support programs such as law enforcement, social services, welfare payments, housing improvement, and road maintenance. Funds may be used only for programs previously administered by the Department of the Interior or for programs specifically authorized by Federal statute and may not be used for the operation of elementary and secondary schools or for community colleges. The Indian tribe must ensure adequate protection of trust resources.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and tribal consortia authorized by the Federally Recognized Indian Tribal Governments to be served.

##### **INFORMATION CONTACTS:**

**Regional or Local Office:** Office of Self Governance, Department of the Interior, Northwest Field Office, 500 West 12th Street, Room 170, Vancouver, WA 98660. Telephone: (206) 699-1010.

**Headquarters Office:** Director, Office of Self-Governance, Office of the Secretary, Department of the Interior, 1849 "C" Street, NW., MS- 2542-MIB, Washington, DC 20240. Telephone: (202) 219-0240.

**EXAMPLES OF FUNDED PROJECTS:** Among the activities supported by one consortium was the organization of a tourism alliance, provision of 300 college scholarships, repair of 16 homes, and the initiation of job readiness training for welfare recipients. A tribe in the northwest managed over 3800 acres of forest land, helped 27 tribal members move from welfare to paid employment, and supported 20 members in adult education/training programs. Another tribal consortium provided life skills assistance (tax preparation, driver's education, resume writing, etc.) to over 500 members, provided assistance to village groups in preparing economic development proposals which resulted in increased funding being provided by other Federal agencies, and worked with the Reindeer Herders Association to improve the health and economic value of the reindeer herds.

#### 15.024 INDIAN SELF-DETERMINATION CONTRACT SUPPORT (CONTRACT SUPPORT)

##### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide funds to Federally Recognized Indian Tribal Governments and to tribal organizations to fund some or all of the indirect costs incurred in administering Federal programs for which direct appropriations are made to the Bureau of Indian Affairs.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds may be used in accordance with indirect cost rate proposals which have been approved by the cognizant Federal agency or for lump sum administrative cost rates negotiated with the Bureau of Indian Affairs.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian Tribal Governments.

##### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs agency or area office as listed in your local telephone directory.

**Headquarters Office:** Division of Self-Determination Services, Bureau of Indian Affairs, 1849 "C" Street, NW., MS-4603 MIB, Washington, DC 20240. Telephone: (202) 208-5727.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

#### 15.025 SERVICES TO INDIAN CHILDREN, ELDERLY AND FAMILIES (SOCIAL SERVICES)

##### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide funds to Federally Recognized Indian Tribal Governments to administer welfare assistance programs for both adults and children; to support caseworkers and counselors; and to support tribal programs to reduce the incidence of substance abuse and alcohol abuse in Indian

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country.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds may be used for staff support and related costs of processing welfare applications, determining suitable placement of children in need of foster care, staffing and operational costs of emergency shelters, and similar services.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs agency or area office as listed in your local telephone directory.

**Headquarters Office:** Division of Social Services, Bureau of Indian Affairs, 1849 "C" Street, NW., MS-4641-MIB, Washington, DC 20240. Telephone: (202) 208-2479.

**EXAMPLES OF FUNDED PROJECTS:** Not available.

### **15.026 INDIAN ADULT EDUCATION**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To improve the educational opportunities for Indian adults who lack the level of literacy skills necessary for effective citizenship and productive employment and to encourage the establishment of adult education programs.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** All funds must be for direct services. Funds may not be used for administration.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Education Line Officers' addresses in your local telephone directory.

**Headquarters Office:** Office of Indian Education Programs, Bureau of Indian Affairs, MS-3512 MB, 1849 C Street, NW., Washington, DC 20240. Telephone: (202) 219-1129.

**EXAMPLES OF FUNDED PROJECTS:** Courses include Adult Basic Education (ABE), preparation for the General Educational Development Test (GED); life-coping skills such as budgeting, driver training and filing of Federal and State taxes; and continuing education courses such as typing and computer literacy.

### **15.027 ASSISTANCE TO TRIBALLY CONTROLLED COMMUNITY COLLEGES AND UNIVERSITIES**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide grants for the operation and improvement of tribally controlled community colleges to insure continued and expanded educational opportunities for Indian students, and to allow for the improvement and expansion of the physical resources of such institutions.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds may be used for

the general operating costs of the Tribally Controlled Community College to defray, at the determination of the College, expenditures for academic, educational and administrative purposes and for the operation and maintenance of the College. Funds must not be used in connection with religious worship or sectarian instruction.

**Applicant Eligibility:** Colleges sponsored by Federally Recognized Indian Tribes or tribal organizations which are governed by a board of directors, are in operation more than one year, admit students with a certificate of graduation from a secondary institution or equivalent, provide certificates, associate, baccalaureate and graduate degrees, are nonprofit and nonsectarian.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Education Line Officers' addresses in your local telephone directory.

**Headquarters Office:** Office of Indian Education Programs, Bureau of Indian Affairs, MS-3512 MIB, 1849 C Street, NW., Washington, DC 20240. Telephone: (202) 219-1127.

**EXAMPLES OF FUNDED PROJECTS:** Twenty-four Tribally Controlled Community Colleges received financial assistance.

### **15.028 TRIBALLY CONTROLLED COMMUNITY COLLEGE ENDOWMENTS**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide grants to establish endowments for the Tribally Controlled Community Colleges.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds are to be used to establish endowments. Interest earned on the invested funds may be used to defray expenditures associated with the operation of the College including maintenance, administration, academic and support costs, and community and student services programs. No part of the net earnings of the trust fund may be used to benefit any private person.

**Applicant Eligibility:** Colleges chartered by Federally Recognized Indian Tribes which are governed by an Indian board of directors, are in operation more than one year, admit students with a certificate of graduation from a secondary institution or equivalent, provide certificates, associate, baccalaureate and graduate degrees.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Education Line Officers' addresses in your local telephone directory.

**Headquarters Office:** Office of Indian Education Programs, Bureau of Indian Affairs, MS-3512 MIB, 1849 C Street, NW., Washington, DC 20240. Telephone: (202) 291-2127.

**EXAMPLES OF FUNDED PROJECTS:** Using interest earnings from the endowment, a distance learning program utilizing satellites was established in one college and social science laboratories were updated.

### **15.029 TRIBAL COURTS**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide funds to Federally Recognized Indian Tribal Governments to operate a judicial branch of

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government.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds may be used for salaries and related expenses of tribal court judges, prosecutors, defenders, clerks of court, probation officers, juvenile officers, and other court personnel.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments exercising law enforcement jurisdiction on their reservation.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs agency or area office as listed in your local telephone directory.

**Headquarters Office:** Division of Tribal Government Services, Bureau of Indian Affairs, 1849 "C" Street, NW., MS-4641-MIB, Washington, DC 20240. Telephone: (202) 208-4400.

**EXAMPLES OF FUNDED PROJECTS:** Not available.

### **15.030 INDIAN LAW ENFORCEMENT (LAW ENFORCEMENT)**

**FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide funds to Indian Tribal Governments to operate police departments and detention facilities.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds may be used for salaries and related expenses of criminal investigators, uniformed officers, detention officers, radio dispatchers, and administrative support.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments exercising Federal criminal law enforcement authority over crimes under the Major Crimes Act (18 U.S.C. 1153) on their reservation.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs' agency or area office as listed in your local telephone directory.

**Headquarters Office:** Director, Office of Law Enforcement Services, Bureau of Indian Affairs, 417 Gold SW., Suite 120, P.O. Box 66, Albuquerque, NM 87103. Telephone: (505) 248-7937.

**EXAMPLES OF FUNDED PROJECTS:** Not available.

### **15.031 INDIAN COMMUNITY FIRE PROTECTION (COMMUNITY FIRE PROTECTION)**

**FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide funds to perform fire protection services to Indian Tribal Governments that do not receive fire protection support from State or local government.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds may be used to

support staff, train volunteer fire-fighters, repair existing fire-fighting equipment, and purchase additional equipment. Funds may also be used to purchase smoke detectors, fire extinguishers, fire escapes, and emergency lights for public building.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments performing fire protection services on their reservation.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications should be filed with the local Bureau of Indian Affairs' agency or area office as listed in your local telephone directory.

**Headquarters Office:** Director, Office of Tribal Service, Bureau of Indian Affairs, 1849 "C" Street, NW., MS-4603 MIB, Washington, DC 20240. Telephone: (202) 208-3463.

**EXAMPLES OF FUNDED PROJECTS:** Not available.

### **15.032 INDIAN ECONOMIC DEVELOPMENT**

**FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To assist Federally Recognized Indian Tribal Governments to develop resources to improve their economies through administration of credit programs and other economic development assistance activities.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds are used to administer tribal revolving loan programs and guaranty loan programs in order to develop resources to improve access to capital in tribal economies. Final approval of loan guarantees is restricted to the Bureau of Indian Affairs.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs agency office as listed in your local telephone directory.

**Headquarters Office:** Office of Economic Development, Bureau of Indian Affairs, 1849 C Street, NW., MS-4640, Washington, DC 20240. Telephone: (202) 501-5324.

**EXAMPLES OF FUNDED PROJECTS:** An Indian Tribe in Arizona operates a credit program for its tribal members and has assisted many individuals and businesses in finding suitable loans and has improved the overall economic condition on the reservation.

### **15.033 ROAD MAINTENANCE INDIAN ROADS FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide limited routine maintenance on paved, gravel, earth, and unimproved roads; bridges; and airstrips.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** These funds are used to repair and maintain public roads serving Indian reservations, with particular emphasis on school bus routes and arterial highways; for snow removal, ice control, washout repair, and landslide removal; for replacement, rehabilitation,

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or maintenance of the 745 bridges on Indian reservation roads; for operation and maintenance of a ferry across Lake Roosevelt serving the Colville Indian Reservation; and for the maintenance of public airstrips located on Indian reservations which are not maintained by other governmental entities. Access to these funds is restricted to tribes with qualifying road miles or other facilities required to be maintained by this program.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Tribal governments which have jurisdiction over roads or other facilities that qualify for this program.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in your local telephone directory.

**Headquarters Office:** Office of Trust Responsibilities, Division of Transportation, Bureau of Indian Affairs, 1849 C Street NW., MS-4510 MIB, Washington, DC 20240. Telephone: (202) 208-4359.

**EXAMPLES OF FUNDED PROJECTS:** Projects are funded in the areas of Routine Maintenance and Administration which maintains public roads serving Indian reservations; Emergency Maintenance which provides for snow removal, ice control, washout repair and landslide removal; Bridge Maintenance which inspects and maintains 745 bridges on Indian reservations; Ferry Boat Operation and Maintenance which operates and maintains a ferry across Lake Roosevelt serving the Colville Indian Reservation; and Airstrip Maintenance which provides maintenance services for public airstrips located on Indian reservations.

### **15.034 AGRICULTURE ON INDIAN LANDS**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To protect and restore the agronomic and rangeland resources on trust lands and facilitate the development of renewable agricultural resources in accordance with principles of sustained yield management to maintain productivity under multiple use concepts.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use; Advisory Services and Counseling; Provision of Specialized Services.

**USES AND USE RESTRICTIONS:** To facilitate improvements on Indian trust or restricted fee lands. Awards for Noxious Weed Eradication are for the use of chemical, mechanical, cultural, and biological control methods, predominantly on range land, and are awarded competitively.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs agency office as listed in your local telephone directory.

**Headquarters Office:** Office of Trust Responsibilities, Division of Water and Land Resources, Branch of Agriculture and Range, Bureau of Indian Affairs, 1849 C Street NW., MS-4513 MIB, Washington, DC 20240 (202) 208-3598.

**EXAMPLES OF FUNDED PROJECTS:** Farmland

improvements such as designing land leveling, farm drainage, cropping patterns, crop varieties, stock water engineering; range inventories and inventories to identify vegetative cover, range condition, precipitation zones and current forage utilization; rangeland pest control, livestock control, and maintenance of readiness conditions for fire suppression; and leasing and permitting support, enforcement, performance evaluation, etc.

### **15.035 FORESTRY ON INDIAN LANDS**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To maintain, protect, enhance, and develop Indian forest resources through the execution of forest management activities.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use; Provision of Specialized Services; Advisory Services and Counseling.

**USES AND USE RESTRICTIONS:** Funds are used to support activities of reforestation and commercial forest stand improvement; timber sales management; forest inventories and plans; forest program management and administration; and forest protection activities. Forestry Planning, Management Planning and Development funds are awarded competitively.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and Native American Organizations (currently limited to the Intertribal Timber Council) authorized by Indian tribal governments.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in your local telephone directory.

**Headquarters Office:** Office of Trust Responsibilities, Division of Forestry, Bureau of Indian Affairs, 849 C Street NW., MS-4513 MIB, Washington, DC 20240 (202) 208-4439.

**EXAMPLES OF FUNDED PROJECTS:** Funds are used for planting trees; greenhouse operations; weeding and fertilization; development or revision of reservation specific long-term sustained yield forest management plans; and development of environmental compliance documents, sales documents, and timber sale contracts.

### **15.036 INDIAN RIGHTS PROTECTION**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To protect Indian rights guaranteed through treaty or statute by obtaining the services or information needed to litigate challenges to these rights.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Awards fund projects to collect information needed by the Federal government to litigate challenges to Indian rights which have been guaranteed through treaty or statute.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and Native American Organizations authorized



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by Indian tribal governments.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in your local telephone directory.

**Headquarters Office:** Office of Trust Responsibilities, Bureau of Indian Affairs, 1849 C Street NW., MS-4510 MIB, Washington, DC 20240. Telephone: (202) 208-7737.

**EXAMPLES OF FUNDED PROJECTS:** Projects are funded to support research and collection of data on rights issues in the areas of water, land title disputes, hunting and fishing rights, and environmental problems.

### **15.037 WATER RESOURCES ON INDIAN LANDS**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To assist Indian tribes in the management, planning, and development of their water and related land resources.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use; Provision of Specialized Services; Advisory Services and Counseling.

**USES AND USE RESTRICTIONS:** Funds are used by tribes 1) to collect and analyze baseline data and to facilitate Water Rights Litigation and Negotiation activities; and 2) for Water Management, Planning, and Development which are project specific awards that are made competitively.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Local Bureau of Indian Affairs' agency office as listed in your local telephone directory.

**Headquarters Office:** Office of Trust Responsibilities, Division of Water and Land Resources, Branch of Agriculture and Range, Bureau of Indian Affairs, 1849 C Street NW., MS-4513 MIB, Washington, DC 20240 (202) 208-6042.

**EXAMPLES OF FUNDED PROJECTS:** Projects include geographic, hydrologic quantitative and qualitative analysis of water, ground, surface water quality and quantity monitoring, aquifer classification, and stream gaging; ecosystem development and management; and planning for compliance with the Endangered Species Act.

### **15.038 MINERALS AND MINING ON INDIAN LANDS**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To assist and support the inventory and prudent development of energy and mineral resources on Indian lands.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use; Provision of Specialized Services; Dissemination of Technical Information.

**USES AND USE RESTRICTIONS:** Minerals and Mining: Funding may be used to facilitate the inventory, development, and production of nonrenewable resources on Indian lands. Mineral Assessments: Funds are awarded competitively to

support inventory programs and/or develop baseline data, but cannot be used for development purposes.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Local Bureau of Indian Affairs' agency office as listed in your local telephone directory.

**Headquarters Office:** Office of Trust Responsibilities, Division of Energy and Minerals, Bureau of Indian Affairs, 12136 W. Bayaud Ave, Suite 300, Lakewood, CO 80228. Telephone: (303) 969-5270, ext. 234.

**EXAMPLES OF FUNDED PROJECTS:** Projects support tribal leasing and accounting staffs, feasibility studies and mining plans, lease compliance on oil and gas contracts; environmental reviews, training, and oil and gas inspection and enforcement. Mineral Assessments include seismic exploration, inventories of existing geophysical and geological data, development of an oil and gas resource database and mapping system, and evaluation and assessment of mineral resources.

### **15.039 FISH, WILDLIFE, AND PARKS PROGRAMS ON INDIAN LANDS (WILDLIFE AND PARKS)**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To promote the conservation, development, and utilization of fish, wildlife, and recreational resources for sustenance, cultural enrichment, economic support, and maximum benefit of Indians.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Tribes address fish, wildlife, and outdoor recreation issues and participate in associated resource management planning and other activities with their State and Federal counterparts. Appropriations for specific projects are restricted.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs agency office as listed in your local telephone directory.

**Headquarters Office:** Office of Trust Responsibilities, Division of Water and Land Resources, Branch of Fish, Wildlife, and Recreation, Bureau of Indian Affairs, 849 C Street NW., MS-4513 MIB, Washington, DC 20240. Telephone: (202) 208-4088.

**EXAMPLES OF FUNDED PROJECTS:** Projects are quite diverse including base line inventories; harvest management; habitat protection; regulation of fishing, boating, camping, and related public use activities; and water quality compliance measures.

### **15.040 REAL ESTATE PROGRAMS INDIAN LANDS**

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### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide real property management, counseling, and land use planning services to individual Indian allottees and Indian tribal and Alaska Native entities who own an interest in almost 56 million acres of trust land; to provide real estate appraisal services required in processing land transactions, and to protect and enhance the Indian leasehold estate by providing individual Indian landowners and Indian tribes with lease compliance activities.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Real Estate Services: decision-making processes are developed through cooperative efforts with the Indian landowners for the proper utilization, development, and enhancement of Indian trust lands. Real Estate Appraisals are one element in trust land transactions and are restricted to American Indians who have an ownership interest in property held in trust by the Federal government. Lease Compliance: program resources are used to ensure that leases on trust and restricted Indian lands and their assignees comply with the terms of the leases.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments, Native American Organizations authorized by tribes, and individual American Indians.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in your local telephone directory.

**Headquarters Office:** Office of Trust Responsibilities, Division of Real Estate Services, Bureau of Indian Affairs, 1849 C Street NW., MS-4510 MIB, Washington, DC 20240. Telephone: (202) 208-7737.

**EXAMPLES OF FUNDED PROJECTS:** Real Estate Services funds land sales, leasing, preparation of probate documents and estate planning. Real Estate Appraisals are conducted for commercial, residential, and industrial properties, including leased agricultural trust lands. Lease Compliance funds reviews of lease documents, investigations of lease violations and trespass issues, and physical inspections of leased property including assessments of improvements.

### **15.041 ENVIRONMENTAL MANAGEMENT INDIAN PROGRAMS**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To determine environmental impacts of Federal projects on Indian lands; to conduct surveys of Bureau of Indian Affairs controlled Federal lands and facilities, and of Indian lands, in order to identify hazardous waste sites, evaluate the potential threat to health and the environment, and develop the necessary remedial actions; to train area, agency and tribal staff in waste management principles; and to respond to emergencies and alleviate adverse health or environmental impacts.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** These funds are used to prepare Environmental Assessments (EA's); advise agency superintendents on environmental quality, waste disposal and cultural resource management; assist area offices in gathering information for EA's and Environmental Impact Statements (EIS's); review proposed actions to determine compliance with the National Environmental Policy Act (NEPA); and assist area offices in obtaining information for compliance with the National Historic Preservation Act and the Archeological Resources Protection Act.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and Native American Organizations authorized by the Tribes.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs agency office as listed in your local telephone directory.

**Headquarters Office:** Office of Trust Responsibilities, Division of Environmental and Cultural Resources, Bureau of Indian Affairs, 1849 C Street NW., MS 4516 MIB, Washington, DC 20240. Telephone: (202) 208-5696.**EXAMPLES OF FUNDED PROJECTS:** Projects include on-site inspections, site testing and environmental data collection, closure of municipal waste dumps, asbestos abatement, removal of leaking underground storage tanks, and report preparation

### **15.042 INDIAN SCHOOL EQUALIZATION PROGRAM (ISEP)**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide funding for primary and secondary education.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds may be used for the education of Indian children in BIA funded schools. Funds may not be used for construction.

**Applicant Eligibility:** Federally Recognized Indian Tribes or tribal organizations currently served by a Bureau of Indian Affairs funded school.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Education Line Officers' addresses in your local telephone directory.

**Headquarters Office:** Office of Indian Education Programs, Bureau of Indian Affairs, MS 3512 MIB, 1849 C Street, NW., Washington, DC 20240. Telephone (202) 219-1129.

**EXAMPLES OF FUNDED PROJECTS:** ISEP funds are used to provide basic education and residential programs to Indian students not served by public or sectarian schools.

### **15.043 INDIAN CHILD AND FAMILY EDUCATION (FACE)**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To begin educating children at an early age through parental involvement, to increase high school graduation rates among Indian parents, and to encourage life-long learning.

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**TYPES OF ASSISTANCE:** Project Grants; Training.

**USES AND USE RESTRICTIONS:** Funds must be used for early childhood education, adult education and parenting skills. Funds may not be used for administration.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with Bureau-funded schools may apply to administer the program.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Education Line Officers' addresses in your local telephone directory.

**Headquarters Office:** Office of Indian Education Programs, Bureau of Indian Affairs, MS-3512 MIB, 1849 C Street, NW., Washington, DC 20240. Telephone: (202) 219-1127.

**EXAMPLES OF FUNDED PROJECTS:** The sites provide such services as parenting skills, adult education and early childhood education in an effort to increase student achievement.

### **15.044 INDIAN SCHOOLS STUDENT**

#### **TRANSPORTATION (Student Transportation)**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide funds to each school for the round trip transportation of students between home and the school site.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds are to be used only for the transportation of students who are eligible under the Indian School Equalization Program (ISEP) as provided for under 25 CFR 39 and are enrolled in a Bureau funded school or dormitory.

**Applicant Eligibility:** Federally Recognized Indian Tribes or tribal organizations currently served by a Bureau of Indian Affairs funded school.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Education Line Officers' addresses in your local telephone directory.

**Headquarters Office:** Office of Indian Education Programs, Bureau of Indian Affairs, MS 3512 MIB, 1849 C Street, N.W., Washington, D.C. 20240. Telephone (202) 219-1129.

**EXAMPLES OF FUNDED PROJECTS:** Funds are provided to each school to provide daily transportation of students to and from school, and for boarding students, transportation at the beginning and end of the year as well as one mid-year round trip home. Additional funding is provided where miles driven is on unimproved roads.

### **15.045 ASSISTANCE FOR INDIAN CHILDREN WITH SEVERE DISABILITIES (Institutionalized Handicapped) FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide for the special education and related services of Indian children with severe disabilities.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds may be used for

physical and occupational therapy, speech therapy, direct academic services and administration including staff development. Funds may only be used for students who are enrolled in a Bureau-funded school.

**Applicant Eligibility:** Members or direct descendants of members of Federally recognized tribes and enrolled in a BIA-funded school.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Education Line Officers' addresses in your local telephone directory.

**Headquarters Office:** Office of Indian Education Programs, Bureau of Indian Affairs, MS 3512 MIB, 1849 C Street, N.W., Washington, D.C. 20240. Telephone (202) 208-6675.

**EXAMPLES OF FUNDED PROJECTS:** Funding may include but not be limited to occupational and physical therapy, counseling, audiology, and psychological services.

### **15.046 ADMINISTRATIVE COST GRANTS FOR INDIAN SCHOOLS**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide grants to tribes and tribal organizations operating schools for the purpose of paying administrative and indirect costs.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds may be used for program services related administrative overhead, operations necessary to meet requirements of the law, prudent management practices, and other necessary support functions.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments or Tribal Organizations operating a Bureau of Indian Affairs funded school.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Education Line Officers' addresses in your local telephone directory.

**Headquarters Office:** Office of Indian Education Programs, Bureau of Indian Affairs, MS-3512 MIB, 1849 C Street, NW., Washington, DC 20240. Telephone: (202) 219-1129.

**EXAMPLES OF FUNDED PROJECTS:** Examples of some administrative costs include fiscal, personnel, property and procurement management and costs of necessary insurance, auditing, legal, safety and security services.

### **15.047 INDIAN EDUCATION FACILITIES, OPERATIONS, AND MAINTENANCE**

#### **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide funds to Indian-controlled schools for facilities operations and maintenance.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds may be used for salaries and related expenses of maintenance personnel, utilities, maintenance of school grounds, supplies, equipment, materials, and for minor repairs to facilities.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments or tribal organizations currently served by a BIA-funded elementary or secondary school or peripheral dormitory.

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### INFORMATION CONTACTS:

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs agency/area education program administrator as listed in your local telephone directory.

**Headquarters Office:** Office of Indian Education Programs, Bureau of Indian Affairs, 1849 "C" Street, NW., MS-3512-MIB, Washington, DC 20240. Telephone: (202) 219-1129.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 15.058 INDIAN POST SECONDARY SCHOOLS (Haskell and SIPI)

**FEDERAL AGENCY:** BUREAU OF INDIAN AFFAIRS,  
DEPARTMENT OF THE INTERIOR

**OBJECTIVES:** To provide post secondary educational opportunities for American Indian Students.

**TYPES OF ASSISTANCE:** Training.

**USES AND USE RESTRICTIONS:** Students may attend one of two post-secondary schools operated by the Bureau of Indian Affairs without charge for tuition or room and board. Married student housing is not available on campus.

**Applicant Eligibility:** A member of a Federally Recognized Indian tribe.

### INFORMATION CONTACTS:

**Regional or Local Office:** Local Bureau of Indian Affairs agency/area education program administrator as listed in your local telephone directory.

**Headquarters Office:** Southwestern Indian Polytechnic Institute, 9169 Coors Road, NW., Albuquerque, NM, 81774. Telephone: (505) 897-5362, or **Toll Free (800) 586-SIPI**; Haskell Indian Nations University, 155 Indian Avenue, Lawrence, KS 66046. Telephone: (913) 749-8454.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 15.061 INDIAN JOB PLACEMENT UNITED SIOUX TRIBES DEVELOPMENT CORPORATION UNITED SIOUX TRIBES) FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

**OBJECTIVES:** To provide job development, counseling, social adjustment guidance, and referrals to job training programs and other assistance programs through the United Sioux Tribes Development Corporation, located in Pierre, South Dakota.

**TYPES OF ASSISTANCE:** Direct Payments with Unrestricted Use; Advisory Services and Counseling.

**USES AND USE RESTRICTIONS:** Financial aid is used to assist individual Indians in finding permanent employment. A weekly or monthly (depending on type of services received) stipend is paid until the participant is employed. Upon receiving employment, assistance may be provided until the first full paycheck is received.

**Applicant Eligibility:** Application to administer the program is limited to the United Sioux Tribes Development Corporation. Individual American Indian applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

### INFORMATION CONTACTS:

**Regional or Local Office:** United Sioux Tribes Development Corporation, 1830 Lombardy Drive, Rapid City, South Dakota, 57701. Telephone: (605) 226-7426.

**Headquarters Office:** Office of Economic Development, Division of Job Placement and Training, Bureau of Indian Affairs, 1849 C Street, NW., MS-4640 MIB, Washington, DC 20240. Telephone: (202) 208-5819.

**EXAMPLES OF FUNDED PROJECTS:** An unemployed husband and wife with two children were placed as a handyman in a garage and a housekeeper in a hotel, respectively

### 15.062 REPLACEMENT AND REPAIR OF INDIAN SCHOOLS

**FEDERAL AGENCY:** BUREAU OF INDIAN AFFAIRS,  
DEPARTMENT OF THE INTERIOR

**OBJECTIVES:** To provide safe, functional, code-compliant, economical, and energy-efficient education facilities for Indian students attending Bureau of Indian Affairs owned or funded primary and secondary schools and/or residing in Bureau owned or funded dormitories.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Replacement School Construction: Funds are provided for advanced planning, design, and construction of major expansions or major replacements of Bureau of Indian Affairs owned or funded education facilities for the direct support of primary and secondary schools and/or dormitories. An education facility may include an entire school facility or building, or a component(s) of a school facility or building, such as, classrooms, multi-purpose rooms or gymnasiums, or cafeteria/kitchens; and may include other improvements to real property such as, water storage tanks, water and sewer distribution lines, parking lots, and other site improvements. School facilities are replaced only in those instances where rehabilitation and upgrading are not feasible because of eligible Indian student capacity needs, functional changes or costs. Facilities Improvement and Repair: Funds are provided for advanced planning, design, and construction for facilities improvement and repair of education facilities for the direct support of primary and secondary schools and/or dormitories and the Bureau's two post secondary schools. Funds are used to improve, repair and rehabilitate facilities and in some instances replace component(s) of education facilities; and may include other improvements to real property as noted under Replacement School Construction.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and Tribal Organizations, including School Boards, who have a prioritized Replacement School Construction or Facilities Improvement and Repair project for which funds have been appropriated.

### INFORMATION CONTACTS:

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs agency or area office as listed in your local telephone directory.

**Headquarters Office:** Director, Facilities Management and Construction Center, Bureau of Indian Affairs, 201 Third Street, NW., Suite 500, P. O. Box 1248, Albuquerque, NM

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87103. Telephone: (505) 766-2825.

**EXAMPLES OF FUNDED PROJECTS:** Replacement School Construction: Most recently a pre-Kindergarten through Grade 12 school complex was funded in the State of Washington. Because of the size of the project, funds were appropriated in increments for this project. In recent years funds have been provided to build elementary and middle schools in North Dakota, New Mexico, and Mississippi, a high school in South Dakota and a library in Mississippi. Facilities Improvement and Repair: The emphasis of this program is minor replacement construction, major and minor repairs, and emergency projects to remedy life safety and health related deficiencies in facilities. Examples of projects include: boiler repair and replacement; roofing repair and replacement of gymnasium; removal of underground storage tanks; installation of fire exit lights, emergency lights, fire rated doors, and other safety related items; and replacement of water storage tanks.

### 15.063 CONSTRUCTION AND REPAIR OF INDIAN DETENTION FACILITIES

**FEDERAL AGENCY:** BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

**OBJECTIVES:** To provide safe, functional, code and standards compliant, economical, and energy-efficient adult and/or juvenile detention facilities.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Law Enforcement Projects: Funds are provided for advanced planning, design, and construction of adult and/or juvenile detention facility and may include other improvements to real property identified with construction of the detention facility, such as, water storage tanks, water and sewer distribution lines, parking lots, and other site improvements. Facilities Improvement and Repair: Funds are provided for advanced planning, design, and construction for facilities improvement and repair to repair or replace Bureau detention facilities (e.g. renovations, improvements, demolitions, or additions) when economically justified with emphasis on addressing critical health and safety needs identified in Bureau safety reports and meeting emergency needs.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments who have a prioritized Law Enforcement project for construction of a detention facility or a prioritized Facilities Improvement and Repair project for a Bureau detention facility for which funds have been appropriated.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Bureau of Indian Affairs agency or area office as listed in your local telephone directory.

**Headquarters Office:** Director, Office of Law Enforcement Services, Bureau of Indian Affairs, P.O. Box 66, Albuquerque, NM 87103. Telephone: (505) 248-7937. Director, Facilities Management and Construction Center, Bureau of Indian Affairs, 201 Third St., NW., Suite 500, P.O. Box 1248, Albuquerque, NM 87103. (505) 766-2825.

**EXAMPLES OF FUNDED PROJECTS:** (a) Law Enforcement Projects. A 56 bed adult/10 bed juvenile detention center in Montana, a 60 bed juvenile detention center in Oklahoma, a 36 bed juvenile detention center and a

50 bed juvenile detention center in Arizona, and a 34 bed juvenile detention center in South Dakota. (b) Facilities Improvement and Repair. The emphasis of this program is to remedy life safety and health related deficiencies in existing facilities. An emergency stand-by generator to power security systems and enlargement of exercise yard to comply with program requirements were done at a detention facility in Arizona. Another project at a Montana detention facilities addressed miscellaneous life safety, handicap, and environmental deficiencies including installation of rest rooms to accommodate the handicapped, new electrical wiring, and asbestos removal. A project at a facility in New Mexico included heating and cooling system improvements, site drainage, and a new back-up generator to power security systems.

### 15.064 STRUCTURAL FIRE PROTECTION BUREAU OF INDIAN AFFAIRS FACILITIES (Fire Protection)

**FEDERAL AGENCY:** BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

**OBJECTIVES:** To provide for the installation of fire protection and prevention equipment in schools, dormitories, detention centers and other BIA facilities.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds may be used for training, breathing apparatus equipment; other equipment such as hoses; protective clothing for fire personnel; installation of fire sprinkles and fire/smoke alarms in schools and dormitories; and support other fire protection and prevention measures.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and Tribal Organizations, including School Boards, who have a prioritized Fire Protection project for which funds have been appropriated.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications should be filed with the local Bureau of Indian Affairs agency or area office representative or education program administrator as listed in your local telephone directory.

**Headquarters Office:** Structural Fire Protection Program Manager; Facilities Management and Construction Center, Bureau of Indian Affairs, 201 Third Street, NW., Suite 500, P. O. Box 1248, Albuquerque, NM 87103. Telephone: (505) 766-2825.

**EXAMPLES OF FUNDED PROJECTS:** Installation or repair of sprinkler systems; installation of smoke detectors; installation or repair of fire alarm systems; acquisition/replacement of fire extinguishers.

### 15.108 INDIAN EMPLOYMENT ASSISTANCE (Employment Assistance Program)

**FEDERAL AGENCY:** BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

**OBJECTIVES:** To provide vocational training and employment opportunities to eligible American Indians and reduce Federal dependence.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

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**USES AND USE RESTRICTIONS:** Financial aid is used to assist individual Indians to obtain a marketable skill through vocational training and to assist those who have a job skill to find permanent employment. Vocational and employment counseling are provided by the program. Eligible American Indians may receive vocational training or job placement on or near the reservation or in an urban area. Restricted to American Indians who are determined eligible for these services.

**Applicant Eligibility:** (a) Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian Tribal Governments may apply to administer the program. (b) Individual American Indian applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applications may be filed with the local Bureau of Indian Affairs agency office as listed in your local telephone directory or with the Tribal Government administering the program.

**Headquarters Office:** Office of Economic Development, Division of Job Placement and Training, Bureau of Indian Affairs, 1849 C Street NW, MS: 2061 MIB, Washington, DC 20240. Telephone: (202) 208-2671.

**EXAMPLES OF FUNDED PROJECTS:** The Southwestern Indian Polytechnic Institute in Albuquerque, New Mexico trains students in ophthalmology and provides job placement upon completion of the program. Adult vocational training subsistence funds are provided to individuals with families who live off campus. One individual received 3 years of nursing training in Phoenix, Arizona and was subsequently employed by a medical center.

### **15.113 INDIAN SOCIAL SERVICES GENERAL ASSISTANCE**

**FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide financial assistance for basic needs of needy eligible Indians who reside on or near reservations, including those Indians living under Bureau of Indian Affairs service area jurisdictions, when such assistance is not available from State or local public agencies.

**TYPES OF ASSISTANCE:** Direct Payments with Unrestricted Use.

**USES AND USE RESTRICTIONS:** Provides cash payments to eligible Indian individuals and families to meet basic needs (i.e., food, clothing, shelter, etc.). Provides extra monthly benefits to tribal members on general assistance who also work on tribal projects under the Tribal Work Experience Program. Provides monthly assistance for non-medical institutional or custodial care of adults who are not eligible for care from Indian Health Services, Social Security, or any other county, state or Federal program. This program also pays the burial expenses of indigent Indians whose estates do not have sufficient resources to meet funeral expenses and provides emergency assistance to prevent hardship caused by fire, flood or acts of nature.

**Applicant Eligibility:** Member of a federally recognized

Indian Tribe, residing on or near a federally recognized Indian reservations and in need of financial assistance.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Agency Superintendents and Area Directors (see your local telephone directory.) Applications for general assistance are made at the local agency or tribal level.

**Headquarters Office:** Division of Social Services, Office of Tribal Services, Bureau of Indian Affairs, MS 4641 MIB, 1849 C St., NW, Washington, DC 20245. Telephone: (202) 208-2721.

**EXAMPLES OF FUNDED PROJECTS:** Individual financial assistance (welfare) grant to a single person or to a family of eight based upon public assistance standards of State where recipients reside.

### **15.114 INDIAN EDUCATION HIGHER EDUCATION GRANT PROGRAM (Higher Education)**

**FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide financial aid to eligible Indian students to enable them to attend accredited institutions of higher education.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds are to supplement the total financial aid package prepared by the college financial aid officer. Funds are intended to assist students in pursuing their undergraduate baccalaureate degree.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian Tribal Governments may apply to administer the program. Individuals who are members of Federally Recognized Indian Tribes may submit applications for benefits directly to the Bureau of Indian Affairs if the Bureau agency serving their reservation provides direct services for this program. Individuals must be enrolled in an accredited college and have financial need as determined by the institution's financial aid office.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Education Line Officers' addresses in your local telephone directory.

**Headquarters Office:** Office of Indian Education Programs, Room MS 3512-MIB, 1849 C Street, NW, Washington, DC 20240. Telephone: (202) 219-1127.

**EXAMPLES OF FUNDED PROJECTS:** The Bureau's scholarship program provides about 25 percent of the student's total financial aid.

### **15.124 INDIAN LOANS ECONOMIC DEVELOPMENT (Loan Guaranty Program)** **FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide assistance to Federally Recognized Indian Tribal Governments, Native American Organizations, and individual American Indians in obtaining financing from private sources to promote business development initiatives on or near Federally Recognized

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Indian Reservations.

**TYPES OF ASSISTANCE:** Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** Loans may be used to finance commercial, industrial, agricultural, or business activities organized for profit. Loan guarantees to private lenders will only be provided if funds otherwise would be unavailable to the borrower. Funds may not be used for speculation. The financial assistance must be used on or near an Indian Reservation.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments, Native American Organizations authorized by Indian tribal governments, and individual American Indians.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Lendees must submit applications to the local Bureau of Indian Affairs agency office as listed in your local telephone directory or with the Tribal Government administering the program.

**Headquarters Office:** Office of Economic Development, Bureau of Indian Affairs, 1849 C Street, NW, MS-2061, Washington, DC 20240. Telephone: (202) 208-5324.

**EXAMPLES OF FUNDED PROJECTS:** A tribal loan of \$2,200,000 was guaranteed to construct new bulk fuel tanks. Another tribal loan was guaranteed for \$2,000,000 to construct a new commercial office building. These projects will provide employment opportunities to the tribe and improve the tribe's infrastructure and economy.

### **15.130 INDIAN EDUCATION ASSISTANCE TO SCHOOLS (Johnson-O'Malley)**

**FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To fund programs that meet the unique and specialized needs of eligible Indian students.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds may be used for supplemental programs to meet the special educationally related needs of eligible Indian students. Funds under this program may not be used for capital expenditures.

**Applicant Eligibility:** Tribal organizations, Indian Corporations, school districts or States which have eligible Indian children attending public school districts and have established Indian Education Committees to approve supplementary or operational support programs beneficial to Indian students.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Education Line Officers' addresses in your local telephone directory.

**Headquarters Office:** Division of Education Programs, Office of Indian Education Programs, Bureau of Indian Affairs, 1849 C Street, NW, Washington, DC 20240. Contact: Chief, Division of Education. Telephone: (202) 219-1127.

**EXAMPLES OF FUNDED PROJECTS:** Home school coordinators; remedial tutoring, educational field trips; and cultural programs.

### **15.141 INDIAN HOUSING ASSISTANCE**

**FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To use the Housing Improvement Program (HIP) resources of the Bureau of Indian Affairs to substantially eliminate substandard Indian housing. This effort is assisted by the Indian Health Service (Department of Health and Human Services) which provides water and sanitary systems for houses repaired or built with HIP funds.

**TYPES OF ASSISTANCE:** Project Grants; Dissemination of Technical Information; Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** The program is primarily devoted to housing improvement through renovations, repairs, or additions to existing homes. The Bureau will build an entire house in situations where no other program will meet the need, i.e., limited income does not qualify applicant for other programs; or extremely isolated areas or reservations where only a very small number of homes are needed. Technical assistance is provided to Indian tribes to establish housing plans and determine the extent and use of the Bureau's Housing Improvement Program.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments and tribal organizations to administer the program who have needs identified in the most current tribal housing inventory. Individual Indians in need of housing assistance who are unable to obtain assistance from any other source, and meet the eligibility criteria of the HIP regulations (25 CFR Part 256 "Housing Improvement Program").

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Nearest Bureau of Indian Affairs Agency or Area Office. See your local telephone directory.

**Headquarters Office:** Division of Human Services, Office of Tribal Services, Bureau of Indian Affairs, MS 4641 MIB, 1849 C St., NW, Washington, DC 20240 (202) 208-2721.

**EXAMPLES OF FUNDED PROJECTS:** The HIP does not fund multi-unit projects as such, the assistance is granted to repair individual houses with each house considered to be a project. Occasionally, several individual projects may be constructed simultaneously in close proximity giving the appearance of a multi-unit project. One such occurrence was the construction of several units in California. Prior to HIP undertaking there was no housing in this area. No other federally assisted housing program was able to meet this need. The result of constructing housing in this area has been significant. The effort proved decent housing could be a reality in remote geographic areas.

### **15.144 INDIAN CHILD WELFARE ACT TITLE II GRANTS**

**FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To promote the stability and security of Indian tribes and families by the establishment of minimum Federal standards for the removal of Indian children from their families and providing assistance to Indian tribes in the operation of child and family service programs.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Operation and maintenance of counseling facilities, family assistance (homemaker and home counselors), protective day care, and after school care, recreational activities, respite care, employment of professionals to assist tribal courts personnel, education and training, foster care subsidy programs, legal

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advice and representation, home improvement programs with the primary emphasis of upgrading unsafe home environments, preparation and implementation of child welfare codes, and providing matching share for other Federal programs.

**Applicant Eligibility:** Federally Recognized Indian Tribal Governments.

### INFORMATION CONTACTS:

**Regional or Local Office:** Information can be secured from the Agency Superintendents and from Area Directors. (See your local telephone directory.)

**Headquarters Office:** Division of Social Services, Office of Tribal Services, Bureau of Indian Affairs, MS 4603 MIB, 1849 C Street, NW, Washington, DC 20240. Contact: Code 450. Telephone: (202) 208-2721.

**EXAMPLES OF FUNDED PROJECTS:** Eastern Band of Cherokee (North Carolina) Indian Child Welfare Program.

### 15.225 RECREATION RESOURCE MANAGEMENT

**FEDERAL AGENCY:** BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

**OBJECTIVES:** To manage recreational resource values on the public lands administered by the Bureau of Land Management and to increase public awareness and appreciation of these values.

**TYPES OF ASSISTANCE:** Project Grants; Use of Property, Facilities, and Equipment; Provision of Specialized Services; Advisory Services and Counseling; Dissemination of Technical Information; Training.

**USES AND USE RESTRICTIONS:** All projects are restricted to lands administered by BLM. Assistance can be used for managing and/or upgrading recreational resources and related facilities, and public contact/education opportunities. No regular discretionary fund is available but is highly variable each fiscal year.

**Applicant Eligibility:** No restrictions.

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory.

**Headquarters Office:** Group Administrator, Cultural & Recreation Group, Bureau of Land Management (WO 340), 1849 C St, N.W., Washington, DC 20240 (202) 452-7790.

**EXAMPLES OF FUNDED PROJECTS:** (1) Development of recreation facilities. (2) Cooperative visitor services to the general public. (3) Agreements to manage public land resources cooperatively. (4) Assistance agreement to promote more effective management of caves on Public Lands.

### 15.228 WILDLAND URBAN INTERFACE COMMUNITY AND RURAL FIRE ASSISTANCE

**FEDERAL AGENCY:** BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

**OBJECTIVES:** To implement the National Fire Plan and assist communities at risk from catastrophic wildland fires by providing assistance in the following areas: Provide community programs that develop local capability including; assessment and planning, mitigation activities, and

community and homeowner education and action; plan and implement hazardous fuels reduction activities, including the training, monitoring or maintenance associated with such hazardous fuels reduction activities, on federal land, or on adjacent nonfederal land for activities that mitigate the threat of catastrophic fire to communities and natural resources in high risk areas; enhance local and small business employment opportunities for rural communities; enhance the knowledge and fire protection capability of rural fire districts by providing assistance in education and training, protective clothing and equipment purchase, and mitigation methods on a cost share basis.

**TYPES OF ASSISTANCE:** Project Grants; Use of Property, Facilities, and Equipment; Provision of Specialized Services; Advisory Services and Counseling; Dissemination of Technical Information; Training.

**USES AND USE RESTRICTIONS:** Hazard reduction activities are restricted to Federal lands, or adjacent nonfederal land for activities that benefit resources on Federal land. Most of these lands are located in the Western United States and Alaska. Assistance can be used for helping BLM support community based efforts to address defensible space and fuels management issues to support outreach and education efforts associated with fuels management and risk reduction activities and to increase the effectiveness of rural fire protection. Funding is highly variable each fiscal year.

**Applicant Eligibility:** States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory.

**Headquarters Office:** Chief, Community Protection and Assistance, Planning-Resources Group, Bureau of Land Management (FA-130), National Interagency Fire Center, 3833 S. Development Ave, Boise, ID 83705. Telephone: (208) 387-5150.

**EXAMPLES OF FUNDED PROJECTS:** (1) Assessment and planning for reducing wildland fire risks to communities and their associated watersheds and natural resources. (2) Hazard fuel reduction activities and projects that mitigate wildfire hazards to communities and natural resources. (3) Implementation of programs, workshops or training that distribute educational materials and develop partnerships between stakeholders in wildland urban interface communities. (4) Assistance and technical transfer of information that promotes homeowner's and rural fire department's action to implement community wildfire mitigation activities. (5) Wildland fire assistance to rural fire departments through mitigation education, training, and providing necessary safety equipment.

### 15.850 INDIAN ARTS AND CRAFTS DEVELOPMENT

**FEDERAL AGENCY:** INDIAN ARTS AND CRAFTS BOARD, DEPARTMENT OF THE INTERIOR

**OBJECTIVES:** To encourage and promote the development of American Indian and Alaska Native arts and crafts.

**TYPES OF ASSISTANCE:** Use of Property, Facilities, and



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Equipment; Advisory Services and Counseling; Investigation of Complaints.

**USES AND USE RESTRICTIONS:** Program planning assistance, such as the development of innovative educational, production, promotion, and economic concepts related to Native culture. Complaints about imitation American Indian arts and crafts that are misrepresented as genuine handcrafts are referred to appropriate Federal or local authorities for action. The three museums operated by the Board serve Indians and the general public: the Sioux Indian Museum, in Rapid City, South Dakota; the Museum of the Plains Indian, in Browning, Montana; and the Southern Plains Indian Museum, in Anadarko, Oklahoma.

**Applicant Eligibility:** American Indian and Alaska Native individuals and organizations, federally recognized Indian tribal governments, State and local governments, and nonprofit organizations.

### **INFORMATION CONTACTS:**

**HEADQUARTERS Office:** Director, Indian Arts and Crafts Board, Room 4004-Main Interior Building, Washington, DC 20240 (202) 208-3773.

**EXAMPLES OF FUNDED PROJECTS:** (Note: Advisory assistance only; no grants or other direct financial assistance is offered.) Assistance to an Indian craftsmen's cooperative to plan a series of exhibitions to recognize and promote outstanding work by its members; assistance to an Indian tribe to plan fund-raising to operate a new museum facility; assistance to an Indian craftsman to locate a grant to set aside time for creative experimentation; assistance to an Indian artist to organize, publicize, and professionally install a one-person sales exhibition at an Indian Arts and Crafts Board museum; assistance to an Indian nonprofit organization to develop a comprehensive plan for a multi-facility cultural center.

### **15.875 ECONOMIC, SOCIAL, AND POLITICAL DEVELOPMENT OF THE TERRITORIES AND THE FREELY ASSOCIATED STATES**

#### **FEDERAL AGENCY: OFFICE OF INSULAR AFFAIRS, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To promote the economic, social, and political development of the territories and freely associated states, leading toward greater self-government and self-sufficiency for each of them.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The base program provides Federal funding for support of the operations of the American Samoa Government and the freely associated States of the Federated States of Micronesia, the Republic of the Marshall Islands and the Republic of Palau. In addition, Federal funding is provided for capital improvement programs and technical assistance in American Samoa, Guam, the Northern Mariana Islands, the U.S. Virgin Islands and the freely associated states.

**Applicant Eligibility:** Eligible applicants are the U.S. territories of Guam, the Virgin Islands, American Samoa, the Northern Mariana Islands; and the freely associated States of the Federated States of Micronesia, the Republic of the

Marshall Islands, and the Republic of Palau.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Director, Office of Insular Affairs, Department of the Interior, Washington, DC 20240. Telephone: (202) 208-4736. Director of Financial Management and Technical Assistance Division, Office of Insular Affairs, Department of the Interior, Washington, DC 20240. Telephone: (202) 208-6971.

**EXAMPLES OF FUNDED PROJECTS:** Operational support grants to territories and grants for construction of basic infrastructure including roads, water systems, power, sewer, schools, and hospital facilities.

### **15.904 HISTORIC PRESERVATION FUND GRANTS-IN-AID**

#### **FEDERAL AGENCY: NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** (1) To provide matching grants to States for the identification, evaluation, and protection of historic properties by such means as survey, planning technical assistance, acquisition, development, and certain Federal tax incentives available for historic properties; (2) to provide matching grants to States to expand the National Register of Historic Places, (the Nation's listing of districts, sites, buildings, structures, and objects significant in American history, architecture, archaeology, engineering and culture at the National, State and local levels) to assist Federal, State, and Local Government agencies, nonprofit organizations and private individuals in carrying out historic preservation activities; and (3) to provide grants to Indian Tribes and Alaskan Native Corporations to preserve their culture.

**TYPES OF ASSISTANCE:** Formula Grants; Project Grants.

**USES AND USE RESTRICTIONS:** Matching grants can directly finance State staff salaries, equipment, and materials, and travel necessary to accomplish program purposes. States may transfer funds to third parties to carry out historic preservation activities such as surveys, preservation plans, National Register nominations, architectural plans and specifications, historic structures reports, and engineering studies necessary to restore properties listed on the National Register of Historic Places, and for acquisition or repair of these properties. Development projects must comprise one or more of the 4 allowable treatments defined in the "Secretary of the Interior's Standards for the Treatment of Historic Properties" (36 CFR 78). These treatments are preservation, restoration, rehabilitation, and reconstruction. Major reconstruction is not eligible. Other activities must meet the applicable Secretary of the Interior's Standards for Archeology and Historic Preservation. In fiscal year 1990, Congress for the first time appropriated funds for direct grants for Indian Tribes and Alaska Natives to preserve their cultural heritage. In 1999, the estimated amount to be appropriated from the Historic Preservation Fund for financial assistance to the States, Territories, and the Freely Associated States of Micronesia, will be \$29.394 million, an additional \$3.096 million for grants to Indian tribes, and \$15.422 million for project grants to Historically Black Colleges and Universities is being requested by the Administration. In accordance with Section 102(a)(5) of the National Historic Preservation Act, as amended, grantees must agree to assume, after completion of the restoration project, the total cost of the continued

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maintenance, repair, and administration of the property in a manner satisfactory to the Secretary.

**Applicant Eligibility:** Eligible applicants States and Territories as defined in the National Historic Preservation Act, as amended, operating programs administered by a State Historic Preservation Officer appointed by the Governor or according to State law, and which are otherwise in compliance with the requirements of the Act. Eligible applicants for the Tribal Grant Program are Federally recognized Indian Tribes and Alaska Native Corporations.

### INFORMATION CONTACTS:

**Regional or Local Office:** For financial aid information concerning projects funded through State programs, applicants should refer to their local telephone directory for for the State Historic Preservation Office. This list is also available on the National Park Services ParkNet, Links to the Past, URL: (<http://www.cr.nps.gov>).

**Headquarters Office:** Cultural Resource Stewardship and Partnerships, National Park Service, Department of the Interior, Washington, DC 20240 (202) 208-7625.

**EXAMPLES OF FUNDED PROJECTS:** Arkansas Historic Preservation Program established the Preservation Restoration Grant Program to aid owners of historic structures, increased Tax Act projects three-fold with 20 projects totaling \$9.9 million, completed 100 building rehabilitations/facade renovations representing \$2 million in private investment, saw a net gain in new businesses resulting in 111 new jobs through the Main Street Arkansas program, and nominated Arkansas Civil War Commemorative Sculptures to the National Register of Historic Places. Florida State Historic Preservation Office submitted or reviewed 44 nominations for listing in the National Register of Historic Places encompassing 607 cultural resources; eight were historic districts, two of which were for significant African-American institutions of higher learning: Bethune-Cookman College in Daytona Beach, and Florida Agricultural and Mechanical College (now University) in Tallahassee; two nominations for historic shipwrecks: the Emanuel Point Shipwreck in Pensacola Bay and the U.S.S. Alligator, a ship built to combat the African slave trade and piracy in the West Indies. Office of Archaeology and Historic Preservation (OAHP), Washington forged partnerships with the Department of the Army's Fort Lewis and Washington State Department of Transportation to create a statewide cultural resources data layer of archaeological sites was compiled; a total of 800 quads and 6,500 archaeological sites were digitized. Georgia State Historic Preservation Office: The O'Hern House (formerly the Red Seal, J.K. Orr, Shoe Factory) was adaptively reused as a residence for homeless mentally ill adults in downtown Atlanta; the building now has 76 individual rooms (56 with private baths), a cafeteria, a laundry room, lounges, and offices and the exterior has been rehabilitated to its original 1910 appearance. The Division of Historical Resources: the Drewsville Mansion Affordable Housing and Head Start Project, Walpole, New Hampshire, was rehabilitated and will provide needed housing and Head Start pre-school facilities. The Division of Historic Preservation and Archaeology, Indiana, awarded 5 development subgrants totaling \$120,000. The Historic Preservation Division, Office of Cultural Affairs, State of New Mexico was awarded \$18,410 to produce a video tape

which takes the viewer through the processes of archeological discovery, exploration, and analysis. The Division of National Register Programs, Texas, identified 464 schools and associated buildings constructed from 1920 through 1930 primarily for African American children. A reconnaissance survey was conducted in Northeast and Central Texas to locate extant buildings.

### 15.916 OUTDOOR RECREATION ACQUISITION, DEVELOPMENT AND PLANNING (Land and Water Conservation Fund Grants)

**FEDERAL AGENCY: NATIONAL PARK SERVICE,  
DEPARTMENT OF THE INTERIOR**

**OBJECTIVES:** To provide financial assistance to the States and their political subdivisions for the preparation of Statewide Comprehensive Outdoor Recreation Plans (SCORPs) and acquisition and development of outdoor recreation areas and facilities for the general public, to meet current and future needs.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Acquisition and development grants may be used for a wide range of outdoor recreation projects, such as picnic areas, inner city parks, campgrounds, tennis courts, boat launching ramps, bike trails, outdoor swimming pools, and support facilities such as roads, water supply, etc. Facilities must be open to the general public and not limited to special groups. Development of basic rather than elaborate facilities is favored. Fund monies are not available for the operation and maintenance of facilities. Grants are also available to States only for revising and updating existing SCORPs preparation of new plans and for statewide surveys, technical studies, data collection and analysis and other planning purposes which are clearly related to SCORP refinement and improvement.

**Applicant Eligibility:** For planning grants, only the State agency formally designated by the Governor or State law as responsible for the preparation and maintenance of the Statewide Comprehensive Outdoor Recreation Plan is eligible to apply. (Treated as States in this regard are the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, the Northern Mariana Islands, and Guam.) For acquisition and development grants, the above designated agency may apply for assistance for itself, or on behalf of other State agencies or political subdivisions, such as cities, counties, and park districts. Additionally, Indian tribes which are organized to govern themselves and perform the function of a general purpose unit of government qualify for assistance under the program. Individuals and private organizations are not eligible

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory.

**Headquarters Office:** Recreation Program, National Park Service, (2225), Department of the Interior, 1849 C Street, N.W., Room 3624, Washington, DC 20240. Telephone: (202) 565-1133. (FAX: (202) 565-1130).

**EXAMPLES OF FUNDED PROJECTS:** Acquisition and development grants may be used for a wide range of outdoor recreation projects, such as picnic areas, inner city parks, campgrounds, tennis courts, boat launching ramps, bike trails,

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outdoor swimming pools, and support facilities such as roads, water supply, etc. Facilities must be open to the general public and not limited to special groups. Development of basic rather than elaborate facilities is favored. Funds are not available for the operation and maintenance of facilities.

### **15.918 DISPOSAL OF FEDERAL SURPLUS REAL PROPERTY FOR PARKS, RECREATION, AND HISTORIC MONUMENTS (Surplus Property Program; Federal Lands-to-Parks Program; Historic Surplus Property Program)**

**FEDERAL AGENCY:** NATIONAL PARK SERVICE,  
DEPARTMENT OF THE INTERIOR

**OBJECTIVES:** To transfer surplus Federal real property for public park and recreation use, or for use of historic real property.

**TYPES OF ASSISTANCE:** Use of Property, Facilities, and Equipment.

**USES AND USE RESTRICTIONS:** Surplus real property may be conveyed for public park and recreation use at discounts up to 100 percent of fair market value and for historic monument purposes without monetary consideration. Property conveyed for park and recreation use or historic monument purposes must be used for these purposes in perpetuity or be reverted to Federal ownership.

**Applicant Eligibility:** Only State or local units of government are eligible to apply for surplus real property for public park and recreation and historic monument purposes, and must agree to manage the property in the public interest and for public use (in the case of park and recreation purposes). Only properties listed or eligible for the National Register of Historic Places may be transferred through the Historic Monument Program.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applicants for park and recreation purposes are requested to communicate with the following National Park Service Offices: the Southeast Regional Office, New England Support Office, and the Pacific Great Basin Support Office (formerly Southeast, North-Atlantic, and Western Regional Office contacts). Applicants for historic monument purposes are requested to contact the Alaska Regional Office; the Columbia Cascades Support Office; the Midwest Support Office; the Pacific Great Basin and Pacific Island Support Office; the Philadelphia Support Office; the Rocky Mountain Support Office; the Southeast Regional Office, and the Southwest Support Office. (See your local telephone directory.)

**Headquarters Office:** National Park Service, National Center for Conservation and Recreation, Department of the Interior, P.O. Box 37127, Washington, DC 20013-7127. Contact: (Federal Lands to Parks Program). Telephone: (202) 565-1184. National Park Service, Heritage Preservation Services, 1849 C Street, NW., NC 200 Washington DC 20240 (202) 343-9587 or (202) 343-9531. Historic Surplus Property Program.

**EXAMPLES OF FUNDED PROJECTS:** Nature Study Areas - Wildlife Conservation Areas; Developed Play Areas; State and Regional Parks; Arts/Crafts Centers; Youth and

Senior Citizen Areas; Historic Buildings and/or Archeological Areas.

### **15.921 RIVERS, TRAILS AND CONSERVATION ASSISTANCE (RTCA)**

**FEDERAL AGENCY:** NATIONAL PARK SERVICE,  
DEPARTMENT OF THE INTERIOR

**OBJECTIVES:** To provide staff assistance to support partnerships between government and citizens to increase the number of rivers and landscapes protected and trails established nationwide.

**TYPES OF ASSISTANCE:** Advisory Services and Counseling.

**USES AND USE RESTRICTIONS:** Not applicable.

**Applicant Eligibility:** Private nonprofit organizations and Federal, State and local government agencies.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Applicants are requested to communicate with National Park Service Support Offices. Refer to your local telephone directory.

**Headquarters Office:** Assistant Director for Recreation and Conservation, National Park Service, 1849 C Street, NW., Washington, DC 20240. Telephone: (202) 565-1200.

**EXAMPLES OF FUNDED PROJECTS:** Develop new trails and greenways; protect and restore river resources, access and views; convert abandoned railways to multi-purpose trails; promote and develop systems of trails and greenways; conserve open space; establish new conservation organizations and alliances. Examples of RTCA projects include: (1) Pearl-to-Leaf River Trail-A 41 mile trail connecting Hattiesburg, Mississippi with several smaller communities in southern Mississippi; although not yet complete; the trail will be the state's first rail-trail and the longest in the three state region. RTCA has helped the Recreation District Board draw up plans and held workshops to help Board members develop management and safety guidelines. (2) Along the Los Angeles River, RTCA worked with Los Angeles County to complete a master plan which has spun off multiple open space projects, including the new Elysian Valley Gateway Park. (3) In Ivins, Utah, RTCA facilitated a series of day-long planning charettes for the Santa Clara River Reserve Trail which brought together diverse interests including ranchers and environmentalists and forged consensus.

### **15.922 NATIVE AMERICAN GRAVES PROTECTION AND REPATRIATION ACT (NAGPRA)**

**FEDERAL AGENCY:** NATIONAL PARK SERVICE,  
DEPARTMENT OF THE INTERIOR

**OBJECTIVES:** (1) To provide grants to museums to assist in the documentation and inventory of Native American human remains and cultural items; (2) to provide grants to Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations to assist in identifying human remains and cultural items, including sacred objects, objects of cultural patrimony, and funerary objects, with which they are culturally affiliated; and (3) to provide grants to museums, Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations to assist in the repatriation of

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human remains and cultural items, including sacred objects, objects of cultural patrimony, and funerary objects, with which they are culturally affiliated.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Project grants may support several of the following: (1) Documentation grants for museums may be used to: a) bring lineal descendants, traditional religious leaders, and Indian tribe, Alaska Native village and corporation, and Native Hawaiian organization officials to inspect collections and consult regarding proper care, treatment, and disposition of Native American cultural items; b) bring traditional religious leaders and Indian tribe, Alaska native village and corporation, and Native Hawaiian organization officials to inspect collections and consult regarding culturally unidentifiable Native American human remains; c) train staff members in techniques for documenting the cultural affiliation of Native American cultural items; d) train staff members in techniques for consulting with Indian tribe, Alaska Native village and corporation, and Native Hawaiian organization representatives and traditional religious leaders; and e) travel by staff members to consult with lineal descendants, traditional religious leaders, and Indian tribe, Alaska Native village and corporation, and Native Hawaiian organization officials regarding the proper care, treatment, and disposition of Native American cultural items; and f) continued documentation of unassociated funerary objects of cultural patrimony in consultation with lineal descendants, traditional religious leaders and Indian tribe, Alaska Native village and corporation, and Native Hawaiian organization officials. Museums that have received written approvals from the Department of the Interior for an extension of time to complete their collection inventory may request funding for the above-listed documentation projects, plus: a) conducting inventories and compiling documentation of Native American human remains and associated funerary objects required by section 5 of the statute; b) distributing inventories and documentation to lineal descendants, traditional religious leaders, and Indian tribe, Alaska Native village and corporation, and Native Hawaiian organization officials; c) consult with lineal descendants traditional religious leaders, and Indian tribe, Alaska Native Village and corporation, and Native Hawaiian organization officials regarding proper care, treatment, and disposition of Native American human remains; and associated funerary objects. (2) Documentation grants for Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations may be used to: a) assess and evaluate summaries, inventories, and other documentation provided by museums and Federal agencies; b) train staff members in techniques for documenting the cultural affiliation of Native American human remains and cultural items; c) train staff members in techniques for consulting with museum and Federal agency officials; d) communicating with museum and Federal agency officials regarding culturally affiliated human remains and cultural items; e) traveling to inspect collections and consult with museum and Federal agency officials regarding proper care, treatment, and disposition of Native American human remains and cultural items; and f) documenting the cultural affiliation of Native American human remains and cultural items currently in the possession of museums and Federal agencies or which might be excavated or discovered on Federal lands. (3) Repatriation grants for museums, Indian

tribes, Alaska Native villages and corporations, and Native Hawaiian organizations may be used for: a) travel by Indian tribe, Alaska Native village and corporation or Native Hawaiian organization or museum representatives to accompany or accept human remains or other cultural items that are being repatriated; b) transportation of Native American human remains or cultural items that are being repatriated; and c) construction of appropriate containers for the transport and disposition of repatriated Native American human remains and cultural items. (4) Grant funds may not be used for tribal activities related to the documentation or repatriation of human remains and cultural items from the Smithsonian Institution; nor for museum or tribal costs of litigation, including expert testimony; care and curation of repatriated Native American human remains and cultural items; construction or renovation of facilities; or purchase of land or buildings.

**Applicant Eligibility:** (1) Eligible applicants for museum documentation awards include any institution or State or local government agency (including any institution of higher learning) that has possession of, or control over, Native American human remains or cultural items and which has completed the following NAGPRA obligations: provided a written summary of their Native American collections to culturally affiliated Indian tribes and the Departmental Consulting Archeologist, National Park Service; and submitted an inventory of Native American human remains and associated funerary objects in their collections to culturally affiliated Indian tribes and the Departmental Consulting Archeologist, National Park Service or received an extension of time to complete the NAGPRA inventory from the Secretary of the Interior; (2) Eligible applicants for tribal documentation and repatriation awards are Indian tribes, Alaska Native villages or corporations, or Native Hawaiian organizations that meet the criteria outlined in the Native American Graves Protection and Repatriation Act. An Indian tribe is defined in the statute as any tribe, band, Nation, or other organized group or community of Indians which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. Alaska Native villages and corporations include those groups or communities defined in, or established pursuant to, the Alaska Native Claims Settlement Act. Native Hawaiian organizations include any organization that: (a) serves and represents the interests of Native Hawaiians; (b) has as a primary and stated purpose the provision of services to Native Hawaiians; and (c) has expertise in Native Hawaiian Affairs. NAGPRA states that such Native Hawaiian organizations shall include the Office of Hawaiian Affairs and Hui Malama I Na Kupuna `O Hawai`I Nei; (3) Applications for repatriation awards will only be considered after publication of the required Notice of Inventory Completion or Notice of Intent to Repatriate in the Federal Register.

### INFORMATION CONTACTS:

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Departmental Consulting Archeologist, Archeology & Ethnography Program, National Park Service, 1849 C Street, N.W., Room NC 340 Washington, DC 20240 (202) 343-8161; Fax(202) 343-5260.

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**EXAMPLES OF FUNDED PROJECTS:** Documentation of Tlingit Shamanic Practices, Procedures, and Sacred Objects: the project produced a report which outlines Tlingit Shamanic practices and guidelines for the determination of Tlingit sacred objects; Repatriation of a Zuni War God: the grant funded the repatriation of a War God to the Pueblo of Zuni; NAGPRA Documentation and Summary Assessment Projects: a type of grant which provides tribes with the funds necessary to set-up a NAGPRA program and begin organizing information received from museums, researching cultural affiliation and communicating with museums; Consultation with Tribes: a type of grant which provides museums with the funds necessary to finance tribal visits which facilitate consultation and allow dialogue about collections; NAGPRA Compliance Workshops: a type of grant which provides funding for regional workshops and training sessions allowing the broad dissemination of information on the implementation of NAGPRA as well as information tailored to suit a particular locale.

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#### 16.308 INDIAN COUNTRY INVESTIGATIONS

**FEDERAL AGENCY:** FEDERAL BUREAU OF INVESTIGATION, DEPARTMENT OF JUSTICE

**OBJECTIVES:** To provide training to the Bureau of Indian Affairs (BIA) and Tribal Law Enforcement Officers in conjunction with the Bureau of Indian Affairs and the Federal Law Enforcement Training Center (FLETC) to better conduct investigations in Indian Country. Coordination of the development of training curriculum with the BIA and the FLETC; and conduct training seminars in law enforcement techniques throughout the country. To coordinate investigative activities within Indian Country.

**TYPES OF ASSISTANCE:** Training.

**USES AND USE RESTRICTIONS:** Funding may be utilized for expenses associated with training and investigative assistance.

**Applicant Eligibility:** BIA Investigators, Tribal Law Enforcement Officers and other law enforcement officers assigned to work in Indian Country.

**INFORMATION CONTACTS:**

**Headquarters Office:** Federal Bureau of Investigation, Department of Justice, 935 Pennsylvania Avenue, NW., Washington, DC 20535 (202) 324-3000.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable

#### 16.563 LAW ENFORCEMENT FAMILY SUPPORT

**FEDERAL AGENCY:** NATIONAL INSTITUTE OF JUSTICE, OFFICE OF JUSTICE PROGRAMS, DEPARTMENT OF JUSTICE

**OBJECTIVES:** To research the effects of stress on law enforcement and correctional personnel and their families and disseminate the findings; identify and evaluate model programs that provide support services to law enforcement and correctional personnel and families; provide technical assistance and training programs to develop stress-reduction and family support programs to State and local law enforcement and correctional agencies; collect and disseminate information regarding family-support, stress-reduction, and psychological services to State and local law enforcement organizations and other interested parties; determine issues to be researched by the Department of Justice and grant recipients. **TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Law Enforcement Family Support funds may be used by State or local law enforcement or corrections agencies or police unions to demonstrate innovative techniques or to provide training for reducing stress in law enforcement or corrections organizations or experienced by law enforcement or correctional officers and/or their families.

**Applicant Eligibility:** State and local law enforcement or corrections agencies and organizations representing State or local law enforcement or correctional personnel including National, State, or local labor unions or associations representing commissioned State or local law enforcement officers in contract negotiations or other employment matters in one or more law enforcement or corrections agencies may

submit proposals. Other organizations such as universities, colleges, independent research enterprises, professional associations, hospitals, health care clinics, and counseling or other treatment service providers among others, while not eligible to submit proposals, may provide technical assistance in either a consulting or sub-contracting capacity to the applicant.

**INFORMATION CONTACTS:**

**Headquarters Office:** National Institute of Justice, Office of Justice Programs, U.S. Department of Justice, 810 Seventh Street, NW., Washington, DC 20531. Parties interested in this effort and needing additional information are encouraged to contact the U.S. Department of Justice Response Center at (800) 421-6770 or (202) 307-1480, Fax (202) 616-9249.

**EXAMPLES OF FUNDED PROJECTS:** Discretionary programs pertaining to critical incidence stress debriefings, chaplaincy services, peer support and counseling.

#### 16.579 BYRNE FORMULA GRANT PROGRAM

**FEDERAL AGENCY:** OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

**OBJECTIVES:** To reduce and prevent illegal drug activity, crime, and violence and to improve the functioning of the criminal justice system.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional Law Enforcement Crime Laboratories), personnel training and equipment for more widespread apprehension, prosecution and adjudication of persons who violate State and local laws relating to the production, possession and transfer of Controlled Substances and to improve the Criminal Justice System. Outlined in the Act are other specific purposes for which funds can be used. The Act restricts the use of these funds for supplanting State and local funds and land acquisition.

**Applicant Eligibility:** All States, the District of Columbia, Guam, American Samoa, the Commonwealths of Puerto Rico, Virgin Islands and Northern Mariana Islands.

**INFORMATION CONTACTS:**

**Headquarters Office:** Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, Washington, DC 20531. Telephone: (202) 305-2088.

**EXAMPLES OF FUNDED PROJECTS:** Multi-Jurisdictional Drug Enforcement Task Forces, Criminal Justice System Improvement, Violent Crime Prevention, DARE Education, Community Policing Training and Technical Assistance Projects.

#### 16.583 CHILDREN'S JUSTICE ACT

**PARTNERSHIPS FOR INDIAN COMMUNITIES**  
**FEDERAL AGENCY:** OFFICE OF JUSTICE PROGRAMS OFFICE FOR VICTIMS OF CRIME, DEPARTMENT OF JUSTICE

**OBJECTIVES:** Fifteen percent of the first \$10 million of funds from the Crime Victims Fund that are transferred to the

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Department of Health and Human Services as part of the Children's Justice Act are to be statutorily reserved by the Office for Victims of Crime (OVC) to make grants for the purpose of assisting Native American Indian tribes in developing, establishing, and operating programs designed to improve the handling of child abuse cases, particularly cases of child sexual abuse, in a manner which limits additional trauma to the child victim and improves the investigation and prosecution of cases of child abuse.

**TYPES OF ASSISTANCE:** Project Grants; Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** Funds are available specifically for the purpose of assisting Indian tribes in developing, establishing, and operating programs designed to improve (a) the handling of child abuse cases, particularly cases of child sexual abuse, in a manner which limits additional trauma to the victim and (b) the investigation and prosecution of cases of child abuse, particularly child sexual abuse.

**Applicant Eligibility:** Federally recognized Indian tribal governments and nonprofit Indian organizations that provide services to Native Americans. Specific criteria will vary depending on the grant.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Federal Crime Victims Division, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (202) 616-3578.

**EXAMPLES OF FUNDED PROJECTS:** Tribes will implement a variety of programs to improve the way in which child sexual abuse cases are handled in Indian country. Examples of some of the activities are: development of written protocols between agencies to minimize the number of child interviews and improve case management; provision of child advocacy in the court process; reduction in the amount of time required to investigate cases of child sexual abuse; revision of tribal codes to include child abuse; establishment of special multi disciplinary child interviewing teams; provision of specialized training for investigators and judicial personnel; and hiring of staff to increase the numbers of child sexual abuse cases prosecuted in tribal, State, and Federal courts. CJA funds will also be used for training and technical assistance for tribes in implementing the grants awarded.

### **16.587 VIOLENCE AGAINST WOMEN DISCRETIONARY GRANTS FOR INDIAN TRIBAL GOVERNMENTS**

**FEDERAL AGENCY: OFFICE OF JUSTICE  
PROGRAMS, DEPARTMENT OF JUSTICE**

**OBJECTIVES:** To assist Indian tribal governments to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases involving crimes against women.

**TYPES OF ASSISTANCE:** Project Grants (Discretionary).

**USES AND USE RESTRICTIONS:** This discretionary grant program is intended to complement and enhance the Law Enforcement and Prosecution Formula Grants to Reduce Violent Crimes Against Women. An Indian tribal government shall not be entitled to funds under this program unless it (or another governmental entity) incurs the full out-of-pocket costs

of forensic medical examinations for victims of sexual assault. An Indian tribal government shall not be entitled to funds under this program unless it (1) certifies that its laws, policies, and practices do not require, in connection with the prosecution of any misdemeanor or felony domestic violence offense, that the victim bear the costs associated with the filing of criminal charges against the domestic violence offender, or the costs associated with the issuance or service of a warrant, protection order, witness subpoena; or (2) assures that its laws, policies, and practices will be in compliance with this requirement by September 13, 1996. Grants shall provide personnel, training, technical assistance, data collection and other equipment for the most widespread apprehension, prosecution, and adjudication of persons committing violent crimes against women. Applicant Indian tribal governments that have law enforcement authority must certify that a minimum of 25 percent of the total grant award (75 percent total) will be allocated to each of the following areas: prosecution, law enforcement, and victim services. The requirement to allocate 25 percent to law enforcement and 25 percent to prosecution does not apply to Indian tribal governments that do not have law enforcement authority.

**Applicant Eligibility:** Indian tribal governments may make individual applications or apply as a consortium.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Violence Against Women Grants Office, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (202) 307-6026.

**EXAMPLES OF FUNDED PROJECTS:** Training for tribal law enforcement officers and prosecutors; development and enhancement of tribal codes governing domestic violence; establishment of a coordinated tribal community response to violence against women; creation of a trial court to handle sexual assault and domestic violence cases; hiring of domestic violence advocates; and creation of an automated communication system to connect tribal courts, police officers, and victim service providers.

### **16.589 RURAL DOMESTIC VIOLENCE AND CHILD VICTIMIZATION ENFORCEMENT GRANT PROGRAM**

**FEDERAL AGENCY: OFFICE OF JUSTICE  
PROGRAMS, DEPARTMENT OF JUSTICE**

**OBJECTIVES:** To implement, expand, and establish cooperative efforts and projects between law enforcement officers, prosecutors, victim advocacy groups, and other related parties to investigate and prosecute incidents of domestic violence and child abuse; provide treatment and counseling to victims of domestic violence and child victimization; and work in cooperation with the community to develop education and prevention strategies directed toward such issues.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grants are available to States, Indian tribal governments, local governments of rural States, and other public or private entities of rural States. For the purposes of this grant program, a rural State is a State that has a population density of 52 or fewer persons per square mile or a State in which the largest county has fewer than 150,000 people, based on the decennial census of 1990 through fiscal year 1997. Nineteen States qualify as rural for the purposes of

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this grant program: Alaska, Arkansas, Arizona, Colorado, Idaho, Iowa, Kansas, Maine, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Utah, Vermont, and Wyoming.

**Applicant Eligibility:** State agencies in rural States may apply for assistance for Statewide projects. Local units of government in rural States and public and private entities in rural States also may apply directly for assistance. Only State agencies in non-rural States may apply for funding assistance. These agencies may apply on behalf of one or more of their rural jurisdictions. Rural and/or non-rural States also may submit joint applications for projects that would be implemented in more than one State. Indian tribal governments may make individual applications or apply as a consortium. A tribal government also may apply for assistance on behalf of a non-tribal government organization.

**INFORMATION CONTACTS:** Not applicable.

**Headquarters Office:** Violence Against Women Grants Office, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (202) 307-6026.

### 16.590 GRANTS TO ENCOURAGE ARREST POLICIES

**FEDERAL AGENCY: OFFICE OF JUSTICE PROGRAMS, DEPARTMENT OF JUSTICE**

**OBJECTIVES:** To implement mandatory arrest or proarrest programs and policies in police departments, including mandatory arrest programs and policies for protection order violations, as part of a coordinated community response to domestic violence to develop policies and training in police departments to improve tracking of cases involving domestic violence; to centralize and coordinate police enforcement in groups or units of police officers, prosecutors or judges; to coordinate computer tracking systems to ensure communication between police, prosecutors, and both criminal and family courts; to strengthen legal advocacy service programs for victims of domestic violence; and to educate judges in criminal and other courts about domestic violence and to improve judicial handling of such cases.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grants are available to States, Indian tribal governments, and units of local governments to encourage them to treat domestic violence as a serious violation of criminal law.

**Applicant Eligibility:** Eligible grantees are States, Indian tribal governments, or units of local governments that (1) certify that their laws or official policies encourage or mandate arrests of domestic violence offenders based on probable cause that an offense has been committed, and encourage or mandate arrest of domestic violence offenders who violate the terms of a valid and outstanding protection order; (2) demonstrate that their laws, policies, or practices and their training programs discourage dual arrest of the offender and the victim; (3) certify that their laws, policies, or practices prohibit issuance of mutual restraining orders of protection except in cases where both spouses file a claim and the court makes detailed findings of fact indicating that both spouses acted primarily as aggressors and that neither spouse acted primarily in self-defense; and (4) certify that their laws, policies, or practices do not require, in connection with the prosecution of any misdemeanor or felony

domestic violence offense, that the abused bear the costs associated with the filing of criminal charges or the service of such charges on an abuser, or that the abused bear the costs associated with the issuance or service of a warrant, protection order or witness subpoena.

**INFORMATION CONTACTS:**

**Headquarters Office:** Violence Against Women Grants Office, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Telephone: (202) 307-6026.

### 16.592 LOCAL LAW ENFORCEMENT BLOCK GRANTS PROGRAM

**FEDERAL AGENCY: OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE**

**OBJECTIVES:** To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas (See Uses and Use Restrictions). Funds or a portion of funds allocated under this title may also be used to contract with private, nonprofit entities or community-based organizations to carry out the purposes of this Block Grants Program. BJA will also make awards to States based on the allocation formula specified in the legislation.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Funds may be used for one or more of the following purpose areas: (1) Law enforcement support for hiring, training, and employing on a continuing basis new, additional law enforcement officers and necessary support personnel; paying overtime to presently employed law enforcement officers and necessary support personnel; and procuring equipment, technology, and other material directly related to basic law enforcement functions; (2) Enhancing security measures in and around schools, and in and around any other facility or location that the unit of local government considers a special risk for incidents of crime; (3) Establishing or supporting drug courts; (4) Enhancing the adjudication of cases involving violent offenders, including cases involving violent juvenile offenders. For the purposes of this program, violent offender means a person charged with committing a Part I violent crime under the Uniform Crime Reports; (5) Establishing a multi jurisdictional task force, particularly in rural areas, composed of law enforcement officials representing units of local government; this task force will work with Federal law enforcement officials to prevent and control crime; (6) Establishing crime prevention programs involving cooperation between community residents and law enforcement personnel to control, detect, or investigate crime or the prosecution of criminals; and (7) Defraying the cost of indemnification insurance for law enforcement officers. Units of local government may not expend funds provided under the Block Grants Program to purchase, lease, rent, or acquire any of the following: tanks or armored vehicles; fixed-wing aircraft; limousines; real estate; yachts; consultants; and vehicles not primarily used for law enforcement. In addition, Federal funds cannot be used to supplant State or local funds, but instead to increase the amount of funds that would be available otherwise from State and local sources.

**Applicant Eligibility:** Funding under this program is available to units of local government within a State. A unit of local



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government is a town or township, village, city, or county or recognized governing body of an Indian tribe or Alaskan Native village that carries out substantial governmental duties and powers. Each unit of local government must report Uniform Crime Report (UCR) data so as to determine amounts of allocation. These data must reflect Part I violent crimes, which are murder, aggravated assault, rape, and robbery, that have been committed in each eligible jurisdiction. The three most recent available calendar years will be used. The amount of the award is proportionate to each local jurisdiction's average annual amount of Part I violent crimes compared to that for all other local jurisdictions in the State. Further, for the purposes of this Block Grants Program the Commonwealth of Puerto Rico shall be considered a unit of local government as well as a State. In addition, each State will receive a minimum award of 0.25 percent of the total amount available for formula distribution under the Block Grants Program.

### INFORMATION CONTACTS:

**Headquarters Office:** Local Law Enforcement Block Grants Program, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531 Telephone: (202) 305-2088.

**EXAMPLES OF FUNDED PROJECTS:** Potential projects include, but are not limited to: (A) partnerships between community organizations and local law enforcement agencies to prevent crime in business districts, on school grounds, and around high-risk areas such as abortion clinics; (B) hiring of additional police officers and purchasing of necessary equipment to increase the effectiveness of police departments; partnerships between social agencies and local law enforcement to combat domestic violence and child abuse; and (D) development of computer systems that allow fingerprint identification, the maintenance of criminal history records, etc.

### 16.596 CORRECTIONAL GRANT PROGRAM FOR INDIAN TRIBES

**FEDERAL AGENCY:** CORRECTIONS PROGRAMS  
OFFICE, OFFICE OF JUSTICE PROGRAMS,  
DEPARTMENT OF JUSTICE

**OBJECTIVES:** To assist Indian tribes with the construction of jails on tribal lands for the incarceration of offenders subject to tribal jurisdiction.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The Grant Program for Indian Tribes may be used to assist Indian tribes in developing or expanding jail facilities for both adult and juvenile offenders.

**Applicant Eligibility:** Indian tribes may apply. An Indian tribe is defined as any Indian or Alaska Native tribe, band, nation, pueblo, village, or community that the Secretary of the Interior acknowledges to exist as an Indian tribe pursuant to Public Law 103-454, 108 Stat. 4791, and which performs law enforcement functions as determined by the Secretary of the Interior.

### INFORMATION CONTACTS:

**Headquarters Office:** Corrections Program Office, Office of Justice Programs, U.S. Department of Justice, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (800) 848-6325.

**EXAMPLES OF FUNDED PROJECTS:** Two projects to build or expand juvenile correctional facilities on tribal lands were funded in FY 1996.

### 16.607 BULLETPROOF VEST PARTNERSHIP PROGRAM

**FEDERAL AGENCY:** BUREAU OF JUSTICE  
ASSISTANCE, OFFICE OF JUSTICE PROGRAMS,  
DEPARTMENT OF JUSTICE

**OBJECTIVES:** To protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** To obtain 50 percent of the total cost of each vest order. Total cost includes the cost of the vests, shipping, handling, fitting charges, State and local taxes. The total invoiced price, after all vendor and prompt payment discounts have been deducted, is what the Bureau of Justice Assistance (BJA) uses to determine the federal match. This program only allows the purchase of body armor that has been tested and found to comply with the National Institute of Justice's (NIJ) Standard 0101.03 Ballistic Resistance of Police Body Armor. It will also allow for the purchase of stab-resistant body armor, once the applicable NIJ standard has been developed and successfully met by vest manufacturers.

**Applicant Eligibility:** Only chief executives of jurisdictions may apply for funds. Jurisdictions are defined as general purpose units of local government (e.g., cities, towns, townships, boroughs, counties, etc.), Federally-recognized Indian tribes, the 50 State governments, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. The chief executive of the jurisdiction registers on-line, submits the jurisdiction application, and requests payment for completed vest orders.

### INFORMATION CONTACTS:

**Regional or Local Office:** None.

**Headquarters Office:** Program Manager, National Programs Division, Bureau of Justice Assistance, 4th floor, 810 7<sup>th</sup> Street, NW., Washington, DC, 20531 (202) 514-3447. **Justice Response Center, 1-800-421-6770.**

**EXAMPLES OF FUNDED PROJECTS:** Not applicable

### 16.608 TRIBAL COURT ASSISTANCE PROGRAM

**FEDERAL AGENCY:** OFFICE OF JUSTICE  
PROGRAMS, BUREAU OF JUSTICE ASSISTANCE,  
DEPARTMENT OF JUSTICE

**OBJECTIVES:** To assist tribal governments in the development, enhancement, and continuing operation of tribal judicial systems, including inter-tribal court systems. Awards through this program will be competitive, based upon the extent and urgency of the need of each applicant.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** These funds will be made available to Federally recognized Indian Tribal government for the development, enhancement, and continuing operation of tribal courts. The Act prohibits the use of these funds for supplanting of State and local funds, land acquisition or construction projects.

**Applicant Eligibility:** Federally recognized Indian Tribal governments are eligible to apply for and receive funds under

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this program.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531 (202) 514- 5947.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **16.614 STATE AND LOCAL ANTI-TERRORISM TRAINING (SLATT)**

**FEDERAL AGENCY:** BUREAU OF JUSTICE ASSISTANCE, OFFICE OF JUSTICE PROGRAMS, DEPARTMENT OF JUSTICE

**OBJECTIVES:** To provide delivery of specialized, multiagency anti- terrorism preparedness training. This training, along with related research, law enforcement intelligence, operational issues development, and technical assistance support activities, is delivered to State and local law enforcement and prosecution authorities. While State and local law enforcement preparation and readiness issues addressed in this project are tailored to interventions in domestic terrorism, major portions of the program's preparedness and operational readiness outcomes are equally applicable to any terrorist threat or incident whether domestically or internationally inspired.

**TYPES OF ASSISTANCE:** Advisory Services and Counseling; Dissemination of Technical Information; Training.

**USES AND USE RESTRICTIONS:** Grant program funds are intended to: 1) provide State and local law enforcement (including prosecution authorities) a general awareness and working knowledge of domestic terrorist and "political" extremist movements; 2) disseminate information relating to vital elements of law enforcement anti-terrorism preparedness and readiness; 3) provide a general planning orientation to State and local law enforcement pertaining to crisis and consequence management and incident command, including essential elements requisite to conducting criminal investigations and prosecutions of terrorist threats and incidents; and 4) maintain and enhance a domestic terrorism public source database. Standard restrictions as outlined in OJP's Financial Guide apply.

**Applicant Eligibility:** State and local law enforcement and prosecution authorities.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Bureau of Justice Assistance, Fourth Floor, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (202) 514- 6278.

**EXAMPLES OF FUNDED PROJECTS:** Anti-terrorist preparedness training, anti-terrorist research, operational issues development, and technical assistance support activities.

### **16.615 PUBLIC SAFETY OFFICERS' EDUCATIONAL ASSISTANCE (PSOEA)**

**FEDERAL AGENCY:** OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

**OBJECTIVES:** The PSOEA Program, an expansion of the Federal Law Enforcement Dependents Assistance (FLEDA) Program, provides financial assistance for higher education to

the spouses and children of public safety officers killed in line of duty deaths or who received permanent and totally disabling injuries that occurred on or after October 1, 1997. The FLEDA Act makes program benefits available retroactively to families of Federal law enforcement officers killed in the line of duty on or after May 1, 1992. The effective date for families of permanently and totally disabled Federal law enforcement officers is October 3, 1996.

**TYPES OF ASSISTANCE:** None.

**USES AND USE RESTRICTIONS:** Program funds are intended solely to defray eligible applicants' educational expenses, which may include tuition, room and board, books, supplies, and fees consistent with the educational, professional or vocational objectives of the applicant. Funds are restricted to defray above costs only. A certification of educational use is required.

**Applicant Eligibility:** Dependents who 1) attend a program of education at an eligible institution; or 2) are the spouse and/or surviving children under the age of 27 of Federal, State and local public safety officers, whose deaths or permanent and totally disabling injuries are covered by the Public Safety Officers' Benefits (PSOB) Program (42 U.S.C. 3796 et seq.) are eligible for this program. A public safety officer is a person serving a public agency in an official capacity, with or without compensation, as a law enforcement officer, firefighter or member of a public rescue squad or ambulance crew.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Bureau of Justice Assistance, Fourth Floor, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (202) 514-6278 or Justice Response Center, 1-800-421-6770.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **16.616 INDIAN COUNTRY ALCOHOL AND DRUG PREVENTION**

**FEDERAL AGENCY:** OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

**OBJECTIVES:** To assist tribal governments in the development and implementation of programs to reduce alcohol abuse and crime.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** These funds will be made available to federally recognized Indian Tribal governments for the development and implementation of alcohol and crime prevention and intervention programs, including law enforcement.

**Applicant Eligibility:** Federally recognized Indian Tribal governments are eligible to apply for and receive funds under this program.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Office of Justice Programs, Bureau of Justice Assistance, Program Development Division, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (202) 514-5943.

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### **16.710 PUBLIC SAFETY PARTNERSHIP AND COMMUNITY POLICING GRANTS ("Cops" Grants)**

**FEDERAL AGENCY: OFFICE OF COMMUNITY  
ORIENTED POLICING SERVICES, DEPARTMENT OF  
JUSTICE**

**OBJECTIVES:** Grants are to be made to increase police presence, to expand and improve cooperative efforts between law enforcement agencies and members of the community to address crime and disorder problems, and otherwise to enhance public safety.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** At least 85 percent of grant funds may be used to hire or rehire career law enforcement officers; procure equipment, technology or support systems (if such expenditures can be shown to result in an increase of officers deployed in community-oriented policing equal to or greater than the increase resulting from a grant for direct hiring or rehiring of officers); up to 15 percent of grant funds may support programs or projects to (a) increase the number of officers involved in activities focused on interaction with members of the community on proactive crime control and prevention, (b) provide specialized training to officers to enhance conflict resolution, mediation, problem solving, service and other skills needed to work in partnership with members of the community, (c) increase police participation in multidisciplinary early intervention teams, (d) develop new technologies to assist State and local law enforcement agencies in reorienting the emphasis of their activities from reacting to crime to preventing crime, (e) develop and implement innovative programs to permit members of the community to assist law enforcement agencies in the prevention of crime, including programs to increase the level of access to the criminal justice system enjoyed by victims, witnesses and ordinary citizens, (f) establish innovative programs to minimize the time that officers must be away from the community while awaiting court appearances, (g) establish innovative programs to increase proactive crime control and prevention programs involving officers and young persons, (h) establish new administrative and managerial systems to facilitate the adoption of community-oriented policing as an organization wide philosophy, (i) establish and coordinate crime prevention and control programs (involving law enforcement officers working with community members) with other Federal programs that serve the community and its members to better address their comprehensive needs, and (j) support the purchase by a law enforcement agency of no more than one service weapon per officer newly deployed in community-oriented policing. Three percent of program funds may be used to provide technical assistance, training, research or other studies in support of program objectives.

**Applicant Eligibility:** States, units of local government, Indian tribal governments, other public and private entities, and multi jurisdictional or regional consortia thereof.

#### **INFORMATION CONTACTS:**

**Headquarters Office:** Office of Community Oriented Policing Services, 1100 Vermont Avenue, NW., Washington, DC 20530. Telephone: Department of Justice Response Center: 1-800-421-6770, or (202) 307-1480. Direct applications to: COPS, 1100 Vermont Avenue, NW., Washington, DC 20530.

**EXAMPLES OF FUNDED PROJECTS:** Funded projects included the hiring or rehiring of additional officers to engage in community policing under the COPS Phase I, COPS FAST, COPS AHEAD and Universal Hiring programs. Grants per agency supported the addition of from 1 to 800 new officers. Under COPS MORE 95, grants were made for the acquisition of communications and computer equipment, the hiring of civilian support resources, and the payment of overtime to redeploy, in a cost-effective manner, sworn officers to community policing activities. Under COPS MORE 96, grants were made for the acquisition of communications and computer equipment and the hiring of civilian support resources to redeploy, in a cost-effective manner, sworn officers to community policing activities. Other programs included the funding of 10 innovative strategies to combat firearms violence, 15 jurisdictions funded under an anti-gang initiative and 336 jurisdictions funded to address domestic violence through community policing strategies.

### **16.726 JUVENILE MENTORING PROGRAM (JUMP)**

**FEDERAL AGENCY: OFFICE OF JUVENILE JUSTICE  
AND DELINQUENCY PREVENTION, DEPARTMENT  
OF JUSTICE**

**OBJECTIVES:** To reduce juvenile delinquency and gang participation, improve academic performance, and reduce the dropout rate; through the use of mentors for at-risk youth.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** JUMP provides support for one-to-one mentoring programs for at-risk youth. An "at-risk youth" is, for purposes of this program, a youth who is at risk of educational failure or dropping out of school. A "mentor" is defined as an adult, 21 years or older, who works with an at-risk youth on a one-to-one basis, establishes a supportive relationship with the youth and provides the youth with academic assistance and exposure to new experiences which enhance the youth's ability to become a responsible citizen.

**Applicant Eligibility:** Local education agencies (LEA) or public/private nonprofit organizations. Both entities (LEA) or public/private nonprofit organizations must collaborate with the other to implement the program. Accordingly, each applicant has specified the nature of the relationship with either the school or school agency (if the applicant is a nonprofit) or with the nonprofit (if the applicant is a LEA).

#### **INFORMATION CONTACTS:**

**Headquarters Office:** Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (202) 307-5911.

**EXAMPLES OF FUNDED PROJECTS:** In fiscal year 1997, OJJDP awarded 52 grants to mentoring projects in school-based settings or private-nonprofit groups.

### **16.731 TRIBAL YOUTH PROGRAM (TYP)**

**FEDERAL AGENCY: OFFICE OF JUSTICE  
PROGRAMS, OFFICE OF JUVENILE JUSTICE AND  
DELINQUENCY PREVENTION, DEPARTMENT OF  
JUSTICE**

**OBJECTIVES:** To support and enhance tribal efforts for comprehensive delinquency prevention, control, and juvenile

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justice system improvement for Native American youth

**TYPES OF ASSISTANCE:** Project Grants. **USES AND USE RESTRICTIONS:** To reduce, control, and prevent crime both by and against tribal youth; to provide interventions for court-involved tribal youth; to improve tribal juvenile justice systems; and to provide prevention programs focusing on alcohol and drugs.

**Applicant Eligibility:** Applications are only invited from Federally recognized tribes and Alaskan Native villages, however, tribes and villages may partner with others as applicable. If partnering with others, a Memorandum of Understanding (MOU) should be submitted with the application. Additionally, one tribe should be chosen as the primary agency for funding and reporting purposes.

### INFORMATION CONTACTS:

**Headquarters Office:** Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, 810 7th Street, N. W., Washington, D. C. 20531. Telephone: (202) 307-5924. Contact: Program Manager, State and Tribal Assistance Division. Telephone: (202)-307-5924.

**EXAMPLES OF FUNDED PROJECTS:** Tribal Youth Program: Grantees have developed a variety of activities under TYP. For example, the Burns Paiute Indian Reservation in Harney County, a rural area of eastern Oregon, is addressing alcohol and marijuana abuse, juvenile crime, and school failure. The tribe will improve tribal youth service delivery systems; increase the access and availability of community-based wraparound services for high-risk youth; and work with volunteer parents and tribal members to develop and implement a comprehensive 3-year plan to delay the onset of alcohol and drug use, reduce the incidence of violent or other criminal offenses, and prevent school dropouts. The Ysleta Del Sur Pueblo, which is uniquely located in an urban setting near El Paso, TX, is providing interventions for court-involved tribal youth and improving its tribal juvenile justice system. The Pueblo is introducing a home detention system to help reduce the practice of detention and separation from family. The Pueblo also will expand its community service program to include traditional restitution, involve police in mentoring activities, increase diversion by applying auxiliary community policing concepts, increase probation supervision, and add activities to deter further crime among court-involved youth. The Knik Tribal Council, based in Matanuska-Susitna Valley near Wasilla, AK, is providing delinquency prevention and court-ordered youth services to Alaskan Native and American Indian youth ages 12 to 18 years. The Council is working with State, school, and community agencies to reinforce traditional values essential for the well-being and future of Native American youth. The Southern Ute Indian Tribe, based in La Plata County, near Ignacio, CO, is implementing three levels of activity to reduce, control, and prevent crime and delinquency in children up to age 18 years. Level one involves adolescents in an established teen court program. Level two is a family preservation program that provides family therapy in times of crisis, mediates conflicts, identifies appropriate family behaviors, and provides required services for adolescents and preadolescents who are returning home from out-of-home placements or from alcohol/substance abuse treatment. Level three uses a behavior coach to redirect destructive and dangerous behavior patterns of adolescents and preadolescents who are involved in the family service division of the South

Ute Tribal Court. The White Earth Reservation in northwestern Minnesota is using a three-pronged approach to curb tobacco, alcohol and drug use, violence, truancy, and school dropouts among youth age 12 to 18 years. The grantee is developing and adopting a fair, equitable, and culturally appropriate juvenile justice code; providing a Juvenile Tribal Court Advocate to provide both probationary and counseling services to juveniles; and developing Sentencing Circles in schools to deal with youth in a culturally significant manner. The Gila River Indian community is located southeast of the Phoenix, AZ, metropolitan area; part of the community is on the reservation. The community is developing a teen court program that involves a youth council, prosecutors, and judicial staff. The program will incorporate Pima and Maricopa cultural values and history, target juvenile offenders age 12 to 17 years who admit to the allegations they are accused of, educate youth about the judicial system, and encourage them to be active in community problem solving. The program will hold juvenile offenders and their parents accountable for the juveniles' actions and compel juvenile offenders to complete constructive sentencing imposed on them by their peers. Tribal Youth Mental Health Initiative: The Hannahville Indian Community (Potawatomi Band) of Wilson, MI, received a grant to expand education, vocational skills, and employment opportunities for the community. The community will restructure tribal programs and services and identify the infrastructure needs of tribal youth. As part of the initiative, Hannahville's Behavioral Health Department will procure psychological evaluations for at-risk and adjudicated youth between 9 and 17 years of age. The community will use a grassroots, collaborative, problem-solving community approach to mental health and treatment services. The Chugachmiut of the Chugach Region, Anchorage, AK, will provide interventions for court-involved youth. The tribe will improve interagency coordination and collaboration efforts related to preventing juvenile delinquency and intervening with predelinquent Native youth and their families and increase the delivery of direct clinical mental health services to individual Native American juvenile offenders and at-risk juveniles and their families. The Pyramid Lake Paiute Tribe of Nixon, NV, will provide mental health services for juveniles age 12 to 17 years, and address delinquency, substance abuse, and court-mandated services. The tribe will provide services to juveniles under 12 years of age and focus on services relating to crimes committed against the juveniles, including treatment for sexual assault, anger, and attention deficit disorders. The project is based on the traditions and customs of the Pyramid Lake Paiute people and will coordinate services with the Tribe's Juvenile Drug Court Program and provide family counseling and intervention for nonviolent drug and alcohol offenders. The Mississippi Band of Choctaw Indians of Philadelphia, MS, will develop a system of youth-centered, family-focused, culturally competent services for court-involved Choctaw youth. The project will emphasize capacity building and fits into an on-going plan of integrated human service development initiated by the Department of Family and Community Services, and developed as a result of extensive research and on-going program development to improve the lives of the Choctaw people. The Fort Peck Assiniboine and Sioux Tribes of Poplar, MT, will provide mental health diagnostic and treatment services of at-risk juvenile offenders. The services will include a psychologist to provide mental, diagnostic, and assessment services and

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combine standard diagnostic and treatment options with adaptations and innovations that reflect Assiniboine and Sioux culture and values. The goal of the project is to provide alternatives to incarceration with follow-up program support. The South Central Foundation of Anchorage, AK, will use a treatment, intervention, and prevention approach to reduce criminal behavior, substance abuse, and educational failure among Native American youth ages 13 to 18 years living in Anchorage, AK. The program will address incarceration, alcoholism, drug abuse, suicide, and school dropout rates. The grantee will collaborate with schools, juvenile detention facilities, and the court system to provide early intervention and prevention programs for youth at high risk for delinquency, criminal behavior, and serious emotional problems. The program also will use the "Young Warrior Program," a self-help support group based on Alaska Native and American Indian spirituality and traditional healing practices, to instill traditional values. CIRCLE Project: The Comprehensive Indian Resources for Community and Law Enforcement (CIRCLE) project is designed to help tribes, with the assistance of Federal, State, and private partners, develop comprehensive planning and funding infrastructures so that they can more effectively fight crime, violence, and substance abuse in their communities. CIRCLE emphasizes two primary principles: local leadership in developing and implementing crime control initiatives and a comprehensive approach that incorporates coordinated, multidisciplinary efforts. OJJDP, in conjunction with the Office of Tribal Justice, the Office of Justice Programs, the Office of Community Oriented Policing Services, U.S. Attorneys, the Bureau of Indian Affairs, and the FBI, is funding and providing technical assistance to tribes at three pilot sites (Oglala Sioux in Pine Ridge, SD; Northern Cheyenne in Lame Deer, MT; and Zuni Pueblo in Zuni, NM). The tribes received grants of up to \$200,000 each in FY 2000. The CIRCLE project complements and is supported by the Indian Country Law Enforcement Initiative. Participating tribes receive special consideration for technical assistance and training related to strategy development and implementation. They are also eligible to apply for funding for law enforcement, tribal courts, detention facilities, and youth programs.

## Federal Funding Sources For Rural Areas

### DEPARTMENT OF LABOR

#### **17.253 WELFARE-TO-WORK GRANTS TO STATES AND LOCALITIES (WELFARE-TO-WORK (WTW) GRANTS)**

**FEDERAL AGENCY:** EMPLOYMENT AND TRAINING ADMINISTRATION (ETA), DEPARTMENT OF LABOR

**OBJECTIVES:** The Welfare to Work Grants program was designed to assist States and localities to help move hard-to-employ welfare recipients into lasting unsubsidized jobs and achieve self sufficiency.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Formula and competitive grant funds are used to provide: Community service or work experience programs, job creation through public or private sector employment wage subsidies, on-the-job training, contracts with public or private providers of readiness, placement, and post-employment services, job vouchers for placement, readiness, job retention or support services if such services are not otherwise available. The program is comprised of: (1) Formula grants to States who, in turn, distribute no less than 85 percent of their allotments to local "service delivery areas" (SDAs) in the State as administered by the Private Industry Council (or alternate agency) structure in each SDA; and (2) competitive grants to eligible, non-state organizations, awarded on the basis of periodic Solicitation for Grant Application announcements and awards process.

**Applicant Eligibility:** States are the only eligible Federal grantees for formula funds, although subgrantees include eligible applicable service delivery area (SDA) agencies under the supervision of the Private Industry Council in the area (in cooperation with the chief elected official(s)).

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the appropriate Employment and Training Administration Regional Office listed in your local telephone directory.

**Headquarters Office:** Administrator, Office of Job Training Programs, Employment and Training Administration, U.S. Department of Labor, 200 Constitution Avenue, NW., Washington, DC 20210. Telephone: (202) 219-0181, Extension 141

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

#### **17.254 WELFARE-TO-WORK GRANTS TO FEDERALLY RECOGNIZED TRIBES AND ALASKA NATIVES (INDIAN AND NATIVE AMERICAN WELFARE-TO-WORK GRANT PROGRAM; INA WTW GRANTS)**

**FEDERAL AGENCY:** EMPLOYMENT AND TRAINING ADMINISTRATION (ETA), DEPARTMENT OF LABOR

**OBJECTIVES:** To assist Federally-recognized Indian Tribes and Alaska Native regional nonprofit corporations to move hard-to-employ welfare recipients into lasting unsubsidized jobs and family self-sufficiency.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Formula Indian and Native American Welfare-to-Work (INA WtW) grant funds are used to provide: Community service or work experience programs; job creation through public or private sector

employment wage subsidies; on-the-job training; contracts with public or private providers of readiness, placement, and post-employment services; job vouchers for placement, readiness, and post-employment services; and job retention or support services if such services are not otherwise available.

**Applicant Eligibility:** Only Federally-recognized Indian Tribes and Alaska Native regional nonprofit corporations (or consortia thereof) are eligible for funds.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Chief, Division of Indian and Native American Programs, Office of National Programs, Employment and Training Administration, Department of Labor, Room N-4641, 200 Constitution Avenue, NW., Washington, DC 20210. Telephone: (202) 219-8502, extension 119. FAX number is (202) 219-6338. Contact: The TDD number is (202) 326-2577.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

#### **17.263 YOUTH OPPORTUNITY GRANTS (YOG)**

**FEDERAL AGENCY:** EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

**OBJECTIVES:** To increase the long-term employment of youth who live in empowerment zones, enterprise communities, and high poverty areas. Youth Opportunity Grants concentrate extensive resources in high-poverty areas in order to bring about community-wide impact on: Employment rates, high school completion rates, and college enrollment rates.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Title I, Sections 169 and 129 of WIA authorize the use of Youth Opportunity Grant funds to provide eligible youth seeking assistance in achieving academic and employment success, comprehensive activities, which will include a variety of options for improving educational and skill competencies and provide effective connections to employers; ongoing mentoring opportunities; training opportunities; continued support services for eligible youth; incentives for recognition and achievement to eligible youth; and youth development opportunities in activities related to leadership, development, decision making, citizenship, community service, and recreation. The Act also authorizes the use of funds for intensive placement services and follow up services for not less than 24 months after the completion of participation in the other. The restrictions described in Section 181 of the Workforce Investment Act and in 20 CFR 667.260 through 667.268 of the WIA regulations apply to the use of these grant funds.

**Applicant Eligibility:** Under Title I, Sections 169 of WIA, a local workforce investment board or entity is eligible to receive a grant. An eligible local board shall serve a community that: (1) Has been designated as an empowerment zone or enterprise community; (2) is a State without a zone and has been designated as a high poverty area by the Governor; or (3) is one of two areas in a State that (a) have been designated by the Governor as areas for which a local board may apply for a grant and (b) meet the poverty rate criteria set forth in subsections (a)(4), (b), and (d) of Section 1392 of the Internal Revenue code of 1986. An eligible entity shall (1) be a recipient of financial assistance under section 166 of WIA; and (2) serve a

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community that (a) meets the poverty rate criteria set forth in subsections (a)(4), (b), and (d) of Section 1392 of the Internal Revenue Code of 1986; and (b) is located on an Indian reservation or serves Oklahoma Indians or Alaska Native villages or Native groups.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Youth Opportunities, Employment and Training Administration, U.S. Department of Labor, 200 Constitution Avenue, NW., N-4459, Washington, DC 20210. Contact: 202-693-3035.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### **17.264 MIGRANT AND SEASONAL FARMWORKERS NATIONAL FARMWORKER JOBS PROGRAM)**

#### **FEDERAL AGENCY: EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR**

**OBJECTIVES:** To provide individual employability development assistance and related assistance for those individuals, including their dependents, who are primarily employed in agricultural labor that is characterized by chronic unemployment and underemployment.

**TYPES OF ASSISTANCE:** Formula Grants; Project Grants.

**USES AND USE RESTRICTIONS:** Under Section 167, eligible seasonal farmworkers and their dependents may be offered core services (including initial assessment, One-Stop Center services, job placement and eligibility determination) intensive services developed under a case management system (such as objective assessment, individual employment planning, basic education, allowance payments, dropout assistance, and work experience) training services (such as classroom training that is occupationally specific and on the job training) and related assistance (such as emergency assistance and other supportive services that allow a farmworker to stay employed or continue his or her participation in program activities).

**Applicant Eligibility:** (1) Public agencies and units of government (State and local); and (2) private nonprofit institutions/organizations authorized by their charters or articles of incorporation to operate employment and training programs.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Division of Seasonal Farmworker Programs, Office of Adult Services, Employment and Training Administration, U.S. Department of Labor, Room N-4645, 200 Constitution Avenue, NW., Washington, DC 20210. Telephone: (202) 693-3843. Fax: (202) 693-3818.

**EXAMPLES OF FUNDED PROJECTS:** Projects assist farmworkers complete educational goals and learn specific job skills in order to acquire the qualifications employers require for occupations offering permanent full-time employment. This is accomplished through a case management-driven system that uses customer choice to guide the farmworker customer in the development of a strategy for acquiring the job skills and related education that are needed to qualify for the higher skilled job, and consequently the opportunity for earning a better livelihood. Projects also assist farmworkers seeking to remain in farmwork by providing emergency assistance and other supportive services that promote their continued participation in the agricultural workforce.

### **17.265 NATIVE AMERICAN EMPLOYMENT AND TRAINING (SECTION 166 PROGRAM; INDIAN PROGRAM)**

#### **FEDERAL AGENCY: EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR**

**OBJECTIVES:** To support employment and training activities for Indian, Alaska Native, and Native Hawaiian individuals in order: to develop more fully the academic, occupational, and literacy skills of such individuals; to make such individuals more competitive in the workforce; and to promote the economic and social development of Indian, Alaska Native, and Native Hawaiian communities in accordance with the goals and values of such communities.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Funds may be utilized for employment and training programs and services, including classroom training, on the job training, training assistance, work experience, youth employment programs, day care, health care, job search, relocation, and transportation allowances designed to assist eligible participants to obtain employment. There are specified restrictions on the amount of grant funds which can be used for administrative costs.

**Applicant Eligibility:** Federally-recognized Indian Tribal Governments, bands or groups, Alaska Native villages or groups (as defined in the Alaska Native Claims Settlement Act, 43 U.S.C. 1602(b)), Hawaiian Native communities meeting the eligibility criteria, and Native American Organizations (public bodies or private nonprofit agencies) selected by the Secretary. Tribes, bands and groups may also form consortia in order to qualify for designation as a grantee. An independently eligible grantee shall be an Indian or Native American entity which has: (1) A governing body as defined in 20 CFR 668.200(a); (2) (for new grantees) an identifiable Native American resident population sufficient to generate the funding level(s) outlined at 20 CFR 668.200(a)(3) within its designated service area; and (3) the capability to administer Indian and Native American employment and training programs as outlined at 20 CFR 668.220. Detailed requirements for consortium grantee applicants are set forth at 20 CFR 668.200(b).

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Division of Indian and Native American Programs, Office of Adult Services, Employment and Training Administration, U.S. Department of Labor, Room N-4641, 200 Constitution Avenue, NW., Washington, DC 20210. Telephone: (202) 693-3841. Fax: (202) 693-3818.

**EXAMPLES OF FUNDED PROJECTS:** All funded projects have in common the provision of a comprehensive set of job training services. Examples of these services are training, referral to employment, counseling, work experience, child care, testing, job orientation, and follow up on terminated participants.

### **17.805 HOMELESS VETERANS REINTEGRATION PROJECT**

#### **FEDERAL AGENCY: OFFICE OF THE ASSISTANT SECRETARY FOR VETERANS' EMPLOYMENT AND TRAINING, DEPARTMENT OF LABOR**

## **Federal Funding Sources For Rural Areas**

**OBJECTIVES:** To provide funds for demonstration programs to expedite the reintegration of homeless veterans into the labor force.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Projects supported with these funds shall provide for employment and training services and support services directly or through linkages with other service providers to assist homeless veterans to reenter the workforce. Outreach as necessary is to be performed by formerly homeless veterans.

**Applicant Eligibility:** State and local public agencies, Private Industry Councils and not-for-profit agencies. Potential jurisdictions are metropolitan areas of the largest U.S. cities or rural areas in need, as announced in the solicitation for grant applications.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** Contact the Regional or State Director for Veterans' Employment and Training at the nearest office listed in your local telephone directory.

**Headquarters Office:** Office of the Assistant Secretary for Veterans' Employment and Training, Room S1316, 200 Constitution Avenue, NW., Washington, DC 20210. Telephone: (202) 219-9105. Contact: Stanley Seidel.



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**NATIONAL CREDIT UNION ADMINISTRATION**

**44.002 COMMUNITY DEVELOPMENT  
REVOLVING LOAN PROGRAM FOR CREDIT  
UNIONS (CDCU)**

Loan Program for Credit Unions, National Credit Union Administration, 1775 Duke Street, Alexandria, VA 22314-3428. Telephone: (703) 518-6610.

**FEDERAL AGENCY: NATIONAL CREDIT UNION  
ADMINISTRATION**

**OBJECTIVES:** To support low-income credit unions in their efforts to: (1) stimulate economic development activities which result in increased income, ownership, and employment opportunities for low-income residents; and (2) provide basic financial and related services to residents of their communities.

**TYPES OF ASSISTANCE:** Direct Loans.

**USES AND USE RESTRICTIONS:** In order to meet the objectives of the Community Development Revolving Loan Program for Credit Unions, an applicant low-income credit union approved for participation must provide a variety of financial and related services designed to meet the particular needs of the low-income community served. Federal funds loaned under the Revolving Loan Program may be used for services that include activities aimed towards: (1) supporting and stimulating economic development and revitalization efforts within the low-income community, such as: (a) improving housing conditions and increasing home ownership through a variety of mechanisms including self-help and co-op housing development projects, assistance in securing and leveraging mortgages, site development and construction financing; and (b) increasing employment opportunities by aiding existing businesses and promoting the establishment of new businesses. Recipients are encouraged to use funds available through the Revolving Loan Program to serve as a catalyst to attract and stimulate the investment of capital from other private and public sources to promote economic development activities within the community; (2) providing member services such as financial counseling; and (3) increasing the membership and the capitalization base such as: (a) membership drives; (b) campaigns to encourage members to increase their share deposits through systematic savings, utilizing such methods as payroll deductions allotments; and (c) businesses and other organizations serving the community to maintain share deposits or contribute financially in other ways to projects supported by the credit union. Loans up to \$300,000, will be made to credit unions. All loans must be repaid to the Community Development Revolving Loan Fund for Credit Unions within the shortest time compatible with sound business practice and with the objectives of the program, but in no case will the term exceed 5 years. Loans made under this program shall bear interest at a rate of not less than 1 or greater than 3 percent per annum. Semi-annual interest and principal payments are required by the Revolving Loan Program.

**Applicant Eligibility:** All State and federally chartered credit unions with a low-income designation are eligible. This program is for established, financially sound low-income credit unions that wish to increase member services in their communities. Credit unions in the territories and the possessions are eligible to apply.

**INFORMATION CONTACTS:**

**Headquarters Office:** Community Development Revolving

**Federal Funding Sources For Rural Areas**  
**NATIONAL ENDOWMENT FOR THE ARTS,**  
**NATIONAL FOUNDATION ON THE ARTS AND HUMANITIES**

**45.024 PROMOTION OF THE ARTS GRANTS  
TO ORGANIZATIONS AND INDIVIDUALS**

**FEDERAL AGENCY: NATIONAL ENDOWMENT FOR  
THE ARTS, NATIONAL FOUNDATION ON THE  
ARTS AND THE HUMANITIES**

**OBJECTIVES:** To foster the excellence, diversity, and vitality of the arts in the United States and to broaden public access to the arts. This mission is accomplished through: 1) Support to the visual, literary, media, design, and performing arts to the benefit of all Americans through project grants to organizations in four categories: heritage and preservation, education and access, creation and presentation, and planning and stabilization; 2) assistance to published creative writers and literary translators of exceptional talent through individual fellowships; and 3) awards to master folk and traditional artists and jazz masters through honorific fellowships. For fiscal years 1998 and 1999, the Endowment welcomes projects that tell the American story at the Millennium.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grants to Organizations: All grants will be awarded for specific projects that address one or more of the Endowment's four categories. A project may include aspects of more than one category; however, the organization must apply to the category most relevant to its project goals. A project may consist of one or more specific events or activities. It must possess some characteristic or unifying principle distinguishing it from the applicant's entire season or overall operations. The Endowment is interested in projects, regardless of size or type of applicant organization, that are of national, regional, or field-wide significance, including local projects of potentially profound effect within their community or likely to serve as models to a field. Significance can be measured by excellence or creativity, not by budget size, organizational longevity, or numbers of people or areas reached. Grants to Individuals: Literature fellowships: Fellowships for Creative Writers are awarded to writers of poetry, fiction, and creative nonfiction to allow them to devote time to writing, research, travel, and to advance their writing careers. Fellowships for translators are awarded to writers for specific projects to translate into English works by creative writers insufficiently represented in the language. Awards in the genres of poetry and prose will alternate each year. In fiscal year 1998, they will be awarded to writers and translators of prose; in fiscal year 1999, fellowships will be awarded to writers and translators of poetry. Up to three American Jazz Master Fellowships are awarded annually; up to 12 National Heritage Fellowships are awarded annually. Recipients of these two honorific fellowships may use the funds to do further work in their field.

**Applicant Eligibility:** Grants to Organizations: Nonprofit tax-exempt organizations meeting the following conditions may apply: 1) No part of any earnings may benefit a private stockholder or individual, and 2) donations to the organization qualify as charitable deductions under Section 170(c) of the Internal Revenue Code. Examples of eligible

organizations are arts institutions, arts service organizations, local arts agencies, official units of State and local governments, Federally recognized tribal communities and Indian tribes. Consortia of such organizations also may apply. Generally an organization may submit, on its own behalf, one application for a single project under one of the four category deadlines. The 56 designated State arts agencies and their regional arts organizations are not eligible for funding under this program. State arts agencies and regional arts organizations may serve as fiscal agent or participate in a consortium as long as they do not receive Endowment funds for their participation. (See 1999 Grants to Organizations Guidelines for additional eligibility information.) Grants to individuals: Individuals who are U.S. citizens or permanent residents and who, according to Public Law 89-209, Section 5(c), must demonstrate exceptional talent. Literature fellowships: Applicants must be published writers. Writers who have received from the Arts Endowment 1) two or more Creative Writing Fellowships or 2) any Creative Writing Fellowships since October 1, 1989, are ineligible. An individual may submit only one application per two-year cycle. (See 1999 Literature Fellowships Guidelines for additional eligibility information.) Both National Heritage and American Jazz Master fellowships are open to nominations only. No one may nominate him/herself. These honorific fellowships are one-time only awards. National Heritage Fellowships: Nominees must be exemplary master folk and traditional artists and artisans worthy of national recognition with an established record of artistic accomplishment, and actively participating in their art form. American Jazz Master Fellowships: Nominees must be distinguished jazz masters who have made a significant contribution to the art form in the African-American tradition.

**INFORMATION CONTACTS:**

**Headquarters Office:** Address: National Endowment for the Arts, 1100 Pennsylvania Avenue, NW., Washington, DC 20506-0001. Web site: <http://arts.endow.gov>. For general background information about the Endowment: Telephone: (202) 682-5400. Grants to Organizations: For information about overall category-based funding program and direction to appropriate category: Deputy Chairman for Grants and Partnership, National Endowment for the Arts, 1100 Pennsylvania Avenue, NW., Washington, DC 20506-0001. Telephone: (202) 682-5441. For more technical questions about Planning and Stabilization category, such as cash reserves or endowments, telephone (202) 682-5480 or 682-5411. For information about discipline or field appropriate to your project, telephone: Arts Education (pre-K through 12): (202) 682-5515 or 682-5563. Dance: (202) 682-5452. Design: (202) 682-5678. Folk and Traditional Arts: (202) 682-5724, 682-5726, or 682-5727. Literature: (202) 682-5787. Local Arts Agencies: (202) 682-5581 or 682-5586. Media Arts: (202) 682-5452. Multi disciplinary: (202) 682-5658 or 682-5429. Museums: (202) 682-5452. Music: (202) 682-5438, 682-5590, or 682-5487. Musical Theater: (202) 682-5509. Opera: (202) 682-5438 or 682-5600. Presenting: (202) 682-5581 or 682-5591. Theater: (202) 682-5509 or 682-5020. Visual Arts: (202) 682-5452.

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Grants to Individuals: For information about fellowships, telephone: Literature fellowships: (202) 682-5428. National Heritage Fellowships: (202) 682-5428. American Jazz Masters Fellowships: (202) 682-5438. Voice/TT: (202) 682-5496.

**EXAMPLES OF FUNDED PROJECTS:** Projects supported by fiscal 1997 Grants to Organizations: Heritage and Preservation: 1) restoration of New Mexico's historic adobe churches; 2) preservation and documentation of Alabama's musical traditions--bluegrass, spirituals, and gospel; 3) South Dakota mentor program helping American Indian youth learn their ancestors' artistic skills; 4) returning masters of 20th-century literature to print. Education and Access: 1) expansion of a symphony orchestra's model collaboration of public elementary and middle schools, families, and community to advance overall learning through music and arts; 2) workshops taught by prominent authors in Southeastern communities' libraries; 3) television broadcast of "Great Performances," "Dance in America," and "American Masters"; 4) job training partnership of businesses, schools, and a State affiliate of Very Special Arts to prepare disabled students for arts-related jobs. Creation and Presentation: 1) "One Hundred Years of Modern Dance" festival in Durham, NC; 2) nine-State rural Southern arts presenter touring program and development of a related computer network; 3) exhibition of contemporary Native American art in Seattle; 4) four-county initiative bringing opera to Wisconsin elementary schools and rural communities; 5) cooperative commission by children's choirs in Chicago, Syracuse, and San Francisco for a new choral work. Planning and Stabilization: 1) cultural tourism campaign by a rural Tennessee theater to expand its audience; 2) an initiative to assist orchestras nationwide in streamlining their operations, including training for staff and volunteers; 3) completion of rural West Texas art museum's project to establish an endowment. Projects supported by fiscal 1998 Grants to Organizations: Heritage and Preservation: 1) conservation of Thomas Hart Benton's 1933 epic murals in Indiana; 2) national radio series documenting the history of rhythm and blues; 3) several major U.S. orchestras' showcasing of works by African-American composers. Education and Access: 1) travel by Artrain, a mobile museum exhibiting world class art works, and offering lectures, residencies, and print making workshops to 36 communities in 18 states; 2) expansion of Poetry in Motion, a program of poetry on posters in buses, trains, and trolleys which now reaches more than a million viewers; 3) multi-state tours by dance companies, including professional performances and artist residencies.

### 45.025 PROMOTION OF THE ARTS PARTNERSHIP AGREEMENTS

#### **FEDERAL AGENCY: NATIONAL ENDOWMENT FOR THE ARTS, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES**

**OBJECTIVES:** To foster the excellence, diversity, and vitality of the arts in the United States and to broaden public access to the arts. This mission is accomplished by assisting State and jurisdictional arts agencies (SAAs) Partnership Agreements in three ways: 1) Basic support for the State arts plan; 2) support for elements of the State plan addressing arts education; and 3) support for elements of the State plan fostering arts in undeserved communities. Partnership

Agreements with regional organizations of State arts agencies (RAOs) offer basic support for a regional arts plan and for presenting and touring.

**TYPES OF ASSISTANCE:** Formula Grants; Project Grants; Advisory Services and Counseling.

**USES AND USE RESTRICTIONS:** SAAs may use funds to address program priorities identified in their individual State plans, having demonstrated provisions for inclusive planning, evaluation, involvement of undeserved groups, fair decision making, and efforts to encourage public awareness and appreciation of the arts. They are required also to exhibit leadership in arts education, local cultural development, and cultural diversity. Endowment funds must be used to supplement and not to supplant non-Federal funds. Funds awarded to regional organizations may be used for regional arts planning, evaluation, and merit-based program awards and to support presenting and touring programs. Biennial application review is in effect for both SAAs and RAOs. Limited Partnership funds are also available for national services provided by membership organizations of State arts agencies and regional arts organizations.

**Applicant Eligibility:** Agencies officially designated as the State arts agency by the Governor in each of the 50 States and six special U.S. jurisdictions; regional arts organizations representing State arts agencies; and organizations providing support services to State and regional arts agencies at a national level.

#### **INFORMATION CONTACTS:**

**Headquarters Office:** State and Regional, National Endowment for the Arts, 1100 Pennsylvania Avenue, NW., Washington, DC 20506-0001. Web site: <http://arts.endow.gov>. Telephone: (202) 682-5429. : (202) 682-5496(Voice/TT).

**EXAMPLES OF FUNDED PROJECTS:** The first round of 62 Partnership Agreements was awarded in spring 1997. The second round will be awarded in spring 1998. Partnership Agreements with State arts agencies support their arts education activities; fostering of arts in undeserved communities; and grant, service, or administrative programs. Partnership Agreements with regional arts organizations support presenting and touring, and grant, service, or administrative programs.

### 45.026 PROMOTION OF THE ARTS LEADERSHIP INITIATIVES

#### **FEDERAL AGENCY: NATIONAL ENDOWMENT FOR THE ARTS, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES**

**OBJECTIVES:** To foster excellence, diversity, and vitality of the arts in the United States and to broaden public access to the arts. This mission is accomplished through support for a limited number of leadership initiatives identified by the Endowment. Generally, leadership initiatives will be projects that advance the arts significantly, e.g., 1) projects of national importance and impact; or 2) projects that serve as models in one field or across disciplines. The Endowment supported leadership projects in fiscal year 1996, including some Leadership Projects for the Millennium, a limited number of artistically excellent, high-visibility projects that celebrate and showcase artistic achievements of the past century and encourage innovative thinking about the future of the arts as

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we enter the 21st century. These initiatives may look back at milestones in American arts as a preface to the future, or they may put in place projects or activities leading us into the new millennium. The Agency continues to fund a variety of leadership initiatives, including Millennium projects, and, beginning in fiscal year 1998, the Folk and Traditional Infrastructure Initiative. The Endowment may 1) seek proposals for particular, discrete projects through program solicitations or 2) invite grant applications through broader, thematic guidelines.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** In fiscal year 1998, a very small number of Millennium projects will be supported, each of which must have project activity. (Contact the Endowment for additional specifications.)

**Applicant Eligibility:** Nonprofit tax-exempt organizations. State arts agencies and their regional arts organizations are permitted to apply if they are operating under Endowment-approved plans. (Contact the Endowment for additional eligibility requirements for Millennium projects.)

### INFORMATION CONTACTS:

**Headquarters Office:** National Endowment for the Arts, 1100 Pennsylvania Avenue, NW., Washington, DC 20506-0001. Web site: <http://arts.endow.gov> (202) 682-5457. Voice/TT: (202) 682-5496.

**EXAMPLES OF FUNDED PROJECTS:** Fiscal year 1997: 1) Philadelphia festival celebration, "100 Years of American Musical Theater: Past Legacy and Future Visions"; 2) Boys Choir of Harlem's five-year project to help towns throughout U.S. to create youth choirs; 3) national tour of "Millennium Mobile" in 1999, transporting video makers who will capture and display images of life in U.S. communities on eve of millennium.

### 45.027 PROMOTION OF THE ARTS - CHALLENGE AMERICA GRANTS

**FEDERAL AGENCY:** NATIONAL ENDOWMENT FOR THE ARTS, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

**OBJECTIVES:** The National Endowment for the Arts, an investment in America's living cultural heritage, serves the public good by nurturing the expression of human creativity, supporting the cultivation of community spirit, and fostering the recognition and appreciation of the excellence and diversity of our nation's artistic accomplishments. This mission is accomplished through the Challenge America program goals: to foster arts education and community-wide public outreach initiatives in rural or underserved areas, and to engage artists, arts organizations, and American communities in partnership to make the arts central to community life. Through "fast track" grants, awarded in a streamlined application and review process, Challenge America funds will support 1) partnerships between arts organizations and community groups for artists' residencies that provide positive alternatives for youth, generally concentrated on participants in grades 6 to 12; and 2) partnerships between arts organizations and community groups that highlight the arts' potential to address key community concerns, such as civic design or cultural tourism. The FY 2001 round of grants incorporates the principles of two pilot programs, Creative Links: Positive Alternatives for

Youth and Arts REACH, previously presented under 45.026 Leadership Initiatives and now discontinued. Future funding cycles may feature additional priorities. (See also 45.025 Partnership Agreements for information about funding to States and regional arts organizations in support of Challenge America goals.)

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grants will be awarded for existing or new projects in rural or underserved areas that address either of the two priorities above. (See Challenge America Fast Track Grants Guidelines FY 2001 for definition of key terms and other descriptive information.)

**Applicant Eligibility:** Every application must be submitted on behalf of a partnership by a lead organization. When a non-arts organization is the lead organization, the partnership must include at least one arts or arts-active organization. Nonprofit tax-exempt organizations meeting the following conditions may apply: 1) No part of any earnings may benefit a private stockholder or individual, and 2) donations to the organization qualify as charitable deductions under Section 170(c) of the Internal Revenue Code. Examples of eligible organizations are arts institutions, arts service organizations, local arts agencies, official units of State and local governments, federally recognized tribal communities and Indian tribes. An organization may serve as lead on one application, but may participate as a partner in others. The 56 designated State and special jurisdictional arts agencies and their regional arts organizations may serve as fiscal agents or as participants. However, all grant funds must be passed on to the sponsored organization or to other partners. (See guidelines for more eligibility information.)

### INFORMATION CONTACTS:

**Headquarters Office:** Address: National Endowment for the Arts, 1100 Pennsylvania Avenue, NW., Washington, DC 20506-0001. Web site: <http://arts.gov>. For general background information about the Endowment: Telephone: (202) 682-5400. For Challenge America fast track grants: Telephone (202) 682-5700. Individuals who are deaf or hard of hearing should call Voice/T.T.: Telephone: (202) 682-5496. Individuals unable to read conventional print should contact the Endowment's Office for Access Ability. Telephone: (202) 682-5532 for help in acquiring an audio recording of guidelines.

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 45.164 PROMOTION OF THE HUMANITIES PUBLIC PROGRAMS

**FEDERAL AGENCY:** NATIONAL ENDOWMENT OF THE HUMANITIES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

**OBJECTIVES:** To provide opportunities for the American public to explore human history and culture through humanities programs in museums, historical organizations, libraries, community centers, and other gathering places, as well as on public television and radio.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grants are made to support planning and implementation costs.

**Applicant Eligibility:** State and local governments; sponsored organizations; public and private nonprofit

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institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general, minority organizations and other specialized groups; quasi-public nonprofit institutions may apply.

**INFORMATION CONTACTS: Headquarters Office:** Division of Public Programs, National Endowment for the Humanities, Room 426, Washington, DC 20506 (202) 606-8267.

**EXAMPLES OF FUNDED PROJECTS:** (1) A television foundation received a grant to develop a 4-hour television documentary on the social history of American teenagers in the 20th century; (2) an art museum received support to mount an exhibition, which will travel to a major museum in New York City, of tapestries and embroideries from China and central Asia; (3) an educational institute at a state university received planning support for a radio documentary series based on interviews of ex-slaves made in 1937 under the WPA Writers's Project; (4) libraries in Louisiana, Mississippi, and Texas will host reading and discussion programs designed for newly literate adults and their families, through a grant awarded to a state humanities council; (5) an Arab community center in Detroit received a grant for a conference, lecture series, and reading and discussion programs on Arab American and other immigrant literature to accompany a photographic exhibition on the Detroit Arab community that will travel to six cities.

### **45.166 PROMOTION OF THE HUMANITIES-- EXTENDING THE REACH GRANTS TO UNDERSERVED AREAS**

**FEDERAL AGENCY: NATIONAL ENDOWMENT OF THE HUMANITIES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES**

**OBJECTIVES:** To provide resources for creative humanities programming, education, and preservation efforts in parts of the country that have not benefitted as fully as others from the programs of the National Endowment for the Humanities.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Consultation Grants support museums, libraries, historical organizations, community associations, and media producers in the early stages of project development or institutional planning. Preservation Assistance Grants help libraries, archives, museums and historical organizations assess preservation needs and enhance their ability to care for their humanities collections. Humanities Scholar-in-Residence Grants offer middle and high schools the chance to collaborate with a humanities scholar over the course of a year.

**Applicant Eligibility:** Nonprofit cultural and educational organizations in the following 14 States and Puerto Rico may apply for Extending the Reach Grants to underserved areas in 2000 and 2001: Alabama, Alaska, Florida, Idaho, Louisiana, Montana, Missouri, Nevada, North Dakota, Ohio, Oklahoma, Texas, Washington, and Wyoming.

**INFORMATION CONTACTS:**

**Regional or Local Office:** Not applicable.

**Headquarters Office:** For Consultation Grants: Division of Public Programs, National Endowment for the Humanities,

Room 426, Washington, DC 20506 (202) 606-8267. For Preservation Assistance Grants: Division of Preservation and Access, National Endowment for the Humanities, Room 411, Washington, DC 20506 (202) 606-8570. For Humanities Scholar-in-Residence Grants: Education Development and Demonstration Program, National Endowment for the Humanities, Room 318, Washington, DC 20506 (202) 606-8380.

**EXAMPLES OF FUNDED PROJECTS:** This is a new program with no history of awards.

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### INSTITUTE OF MUSEUM AND LIBRARY SCIENCES

#### **45.301 INSTITUTE OF MUSEUM AND LIBRARY SERVICES (GENERAL OPERATING SUPPORT)**

**FEDERAL AGENCY:** OFFICE OF MUSEUM SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

**OBJECTIVES:** To support the efforts of museums to conserve the Nation's historic, scientific, and cultural heritage; to maintain and expand museums and libraries educational roles; and to ease the financial burden borne by museums and libraries as a result of their increasing use by the public.

**TYPES OF ASSISTANCE:** None.

**USES AND USE RESTRICTIONS:** To make unrestricted General Operating Support (GOS) grants to museums. GOS grants are 2 year competitive awards made on an annual basis to maintain or improve the operations of museums; successful applicants are not eligible for the subsequent year's competition.

**Applicant Eligibility:** A museum located in the 50 States, the Commonwealth of Puerto Rico, American Samoa, the Virgin Islands, the Northern Mariana Islands, the Trust Territories of the Pacific Islands, Guam, the Republic of the Marshall Islands, The Federated States of Micronesia, and the Republic of Palau, or the District of Columbia may apply for a grant under the Act. No museum is eligible to apply for General Operating Support or Conservation Project Support funding under the Act unless it has provided museum services including exhibiting objects to the general public on a regular basis for at least 2 years prior to application. A public or private nonprofit organization, such as a municipality, college, or university which is responsible for the operation of a museum may, if necessary, apply on behalf of the museum. A museum operated by a department or agency of the Federal government is not eligible to apply. Under the IMLS definition, a museum is a public or private nonprofit institution which is organized on a permanent basis for essentially educational or aesthetic purposes and which, using a professional staff: (1) Owns or uses tangible objects, whether animate or inanimate; (2) cares for these objects; and (3) exhibits them to the general public on a regular basis. A museum must have at least one staff member, paid or unpaid, who devotes his or her time primarily to the acquisition, care or exhibition of objects. The definition makes clear that the term "museum" includes aquariums and zoological parks; botanical gardens and arboreta; nature centers; art, history, (including historic buildings and sites), natural history, children's, general and specialized museums, science and technology centers and planetariums.

#### **INFORMATION CONTACTS:**

**Headquarters Office:** Institute of Museum and Library Services, 1100 Pennsylvania Avenue, Room 510, NW., Washington, DC 20506. (202) 606-4644, Public Affairs at (202) 606-4646, or [imlsinfo@imls.gov](mailto:imlsinfo@imls.gov). General information is available by calling (202) 606-5226 for information on library programs and (202) 606-8539 for information on museum programs or by visiting [www.imls.gov](http://www.imls.gov). The TTY

number is (202) 606-8636.

**EXAMPLES OF FUNDED PROJECTS:** General Operating Support for an exploratorium, a planetarium, and an art museum.

#### **45.311 NATIVE AMERICAN LIBRARY SERVICES**

**FEDERAL AGENCY:** OFFICE OF LIBRARY SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

**OBJECTIVES:** To support Indian tribes, Alaska Native villages, and to organizations that serve and represent Native Hawaiians in providing library services to their communities.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** To make grants eligible to Indian tribes or organizations for: (1) Support of core library operations; (2) technical assistance projects intended to heighten the level of professional proficiency for Indian tribal library staff; and (3) special project grant support to advance Indian tribal library operations in establishing or enhancing electronic linkages among or between libraries; electronically linking libraries with educational, school, or information services; assisting libraries in accessing information through electronic networks; encouraging libraries in different areas and encouraging different types of libraries to establish consortia and share resources; paying costs for libraries to acquire or share computer systems and telecommunications technologies; and targeting library and information services to persons having difficulty using a library and to underserved tribal communities, including children from birth through age 17 from families with incomes below the poverty line.

**Applicant Eligibility:** Federally recognized Indian tribes or organizations primarily serving and representing Native Hawaiians may apply.

#### **INFORMATION CONTACTS:**

**Headquarters Office:** Institute of Museum and Library Services, Office of Library Services, 1100 Pennsylvania Avenue, NW., Room 802, Washington, DC 20506, Telephone: (202) 606-5526.

**EXAMPLES OF FUNDED PROJECTS:** This is a new program; examples are not yet available.

#### **45.312 INSTITUTE OF MUSEUM AND LIBRARY SERVICES NATIONAL LEADERSHIP GRANTS**

**FEDERAL AGENCY:** OFFICE OF LIBRARY SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

**OBJECTIVES:** To enhance the quality of library services nationwide and to provide coordination between libraries and museums.

**TYPES OF ASSISTANCE:** Project Grants (Contracts).

**USES AND USE RESTRICTIONS:** Activities may include: (1) Education and training of persons in library and information science, particularly in areas of new technology

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and other critical needs, including graduate fellowships, traineeships, institutes, or other programs; (2) research and demonstration projects related to the improvement of libraries, education in library and information science, enhancement of library services through effective and efficient use of new technologies, and dissemination of information derived from such projects; (3) preservation or digitization of library materials and resources, giving priority to projects emphasizing coordination, avoidance of duplication, and access by researchers beyond the institution or library entity undertaking the project; and (4) model programs demonstrating cooperative efforts between libraries and museums.

**Applicant Eligibility:** Libraries may apply individually or in partnerships. Museums may apply only in a partnership that includes at least one eligible library. Institutions of higher education may apply individually or in a partnership. Partnerships may include other public, nonprofit and for profit organizations.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Institute of Museum and Library Services, 1100 Pennsylvania Avenue, NW., Washington, DC 20206. Telephone: (202) 606-5526.

**EXAMPLES OF FUNDED PROJECTS:** This is a new program; examples are not yet available.

## Federal Funding Sources For Rural Areas

### SMALL BUSINESS ADMINISTRATION

#### **59.007 MANAGEMENT AND TECHNICAL ASSISTANCE FOR SOCIALLY AND ECONOMICALLY DISADVANTAGED BUSINESSES (7(J) Development Assistance Program)**

##### **FEDERAL AGENCY: SMALL BUSINESS ADMINISTRATION**

**OBJECTIVES:** To provide management and technical assistance through qualified individuals, public or private organizations to 8(a) certified firms and other existing or potential businesses which are economically and socially disadvantaged; businesses operating in areas of high unemployment or low income; firms owned by low-income persons; or participants in activities authorized by Sections 7(i), 7(j) and 8(a) of the Small Business Act.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements). **USES AND USE RESTRICTIONS:** Financial assistance under this Section may be given for projects which respond to needs as outlined in each respective program solicitation announcement. Such assistance must provide a special level of effort or service in the delivery of management and technical assistance to socially and economically disadvantaged small businesses in order to provide opportunity for successful and full participation in the free enterprise system. Types of management and technical assistance may include accounting, marketing, proposal preparation workshops and industry specific technical assistance.

**Applicant Eligibility:** State and local governments, educational institutions, public or private organizations and businesses, Indian tribes and individuals that have the capability to provide the necessary assistance, as described in each program solicitation announcement.

##### **INFORMATION CONTACTS:**

**Regional or Local Office:** SBA District Offices listed in your local telephone directory.

**Headquarters Office:** Associate Administrator for Minority Enterprise Development, 409 3rd Street, SW., Washington, DC 20416. Telephone: (202) 205-6410.

#### **59.011 SMALL BUSINESS INVESTMENT COMPANIES (SBIC; SSBIC)**

##### **FEDERAL AGENCY: SMALL BUSINESS ADMINISTRATION**

**OBJECTIVES:** To establish privately owned and managed investment companies, which are licensed and regulated by the U.S. Small Business Administration; to provide equity capital and long term loan funds to small businesses; and to provide advisory services to small businesses.

**TYPES OF ASSISTANCE:** Direct Loans; Guaranteed/Insured Loans; Advisory Services and Counseling.

**USES AND USE RESTRICTIONS:** The investment companies provide management and financial assistance on a continuing basis to eligible small business concerns. Financial assistance is provided by making long-term loans to these small concerns, and/or by the purchase of debt or equity type securities issued by these firms. Emphasis is on providing assistance to the pioneering, innovating type concerns

developing new products, processes, and markets. Specialized investment companies organized/licensed under Section 301(d) of the authorizing statute (SSBICs) must restrict eligibility of small concerns financed by such investment companies to those owned and operated by individuals whose participation in the free enterprise system has been hampered by social or economic disadvantages. Debentures are issued by the SBIC and guaranteed by SBA for a term not to exceed 10 years, and participating securities for a 15-year maximum. All investment companies generally may not self-deal, take control, finance "big business," or invest over 20 percent (30 percent for SSBICs) of private capital in any single small concern.

**Applicant Eligibility:** Any chartered small business investment company having a combined paid-in capital and paid-in surplus of not less than \$3,000,000, having qualified management, and giving evidence of sound operation, and establishing the need for SBIC financing in the geographic area in which the applicant proposes to operate.

##### **INFORMATION CONTACTS:**

**Headquarters Office:** Associate Administrator for Investment, Investment Division, Small Business Administration, 409 Third Street, SW., Washington, DC 20416. Telephone: (202) 205-6510.

#### **59.012 SMALL BUSINESS LOANS (Regular Business Loans 7 (a) Loans)**

##### **FEDERAL AGENCY: SMALL BUSINESS ADMINISTRATION**

**OBJECTIVES:** To provide guaranteed loans to small businesses which are unable to obtain financing in the private credit marketplace, but can demonstrate an ability to repay loans granted. Guaranteed loans are made available to low-income business owners or businesses located in areas of high unemployment, nonprofit sheltered workshops and other similar organizations which produce goods or services; to small businesses being established, acquired or owned by handicapped individuals; and enable small businesses to manufacture, design, market, install, or service specific energy measures. The SBA's 7(a) lending authority includes: 1) the Low Documentation Loan Program (Low Doc); 2) the Cap Line Program; 3) FA\$ TRAK Program, formerly the Small Loan Express; 4) the Women's Pre-qualification Program; and 5) Minority Pre-qualification Program.

**TYPES OF ASSISTANCE:** Guaranteed/Insured Loans (including Immediate Participation Loans).

**USES AND USE RESTRICTIONS:** To construct, expand, or convert facilities; to purchase building equipment or materials; and for working capital. Excludes gambling establishments, nonprofit enterprises, speculators in property, lending or investment enterprises, and financing of real property held for investment; also excludes funds to indiscriminately relocate the business. Funds must not otherwise be available on reasonable terms, nor used to pay off a loan to an unsecured creditor who is in a position to sustain loss.

**Applicant Eligibility:** A small business is eligible, which is independently owned and operated and not dominant in its field. Generally, SBA size standards for manufacturers range from 500 to 1,500 employees, depending on the industry; for



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wholesalers up to 500 employees is allowed, retailers and service concerns having revenues of \$3,500,000 and in certain cases up to \$17,500,000, may be considered small; and depending upon the type of industry, agricultural enterprises have size standards from \$500,000 to \$3,500,000 in annual receipts.

### INFORMATION CONTACTS:

**Regional or Local Office:** Initial contact should be with the District Offices listed in your local telephone directory.

**Headquarters Office:** Director, Loan Policy and Procedures Branch, Small Business Administration, 409 Third Street, SW., Washington, DC 20416. Telephone: (202) 205-6570.

### 59.041 CERTIFIED DEVELOPMENT COMPANY LOANS (504 LOANS) (504 Loans)

#### FEDERAL AGENCY: SMALL BUSINESS ADMINISTRATION

**OBJECTIVES:** To assist small business concerns by providing long-term, fixed-rate financing for fixed assets through the sale of debentures to private investors.

**TYPES OF ASSISTANCE:** Guaranteed/Insured Loans.

**USES AND USE RESTRICTIONS:** Loans are to assist small businesses in the acquisition of land and buildings; the construction, expansion, renovation or modernization of buildings; or the acquisition and/or installation of machinery and equipment. Loans may have either a 10 or 20-year term.

**Applicant Eligibility:** Certified Development Companies (CDCs) package, close, and service these SBA-guaranteed loans to small businesses. A CDC must be incorporated under general State corporation statute, on a nonprofit basis, for the purpose of promoting economic growth in a particular area.

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory for a listing of the Small Business Administration offices.

**Headquarters Office:** Office of Loan Programs, Small Business Administration, 409 3rd Street SW., Washington, DC 20416. Telephone: (202) 205-6485.

### 59.043 WOMEN'S BUSINESS OWNERSHIP ASSISTANCE

#### FEDERAL AGENCY: SMALL BUSINESS ADMINISTRATION

**OBJECTIVES:** To fund nonprofit economic development organizations to assist, through training and counseling, small business concerns owned and controlled by women, and to remove, in so far as possible, the discriminatory barriers that are encountered by women in accessing capital and promoting their businesses.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements or Contracts).

**USES AND USE RESTRICTIONS:** To establish women's business centers for the benefit of small business concerns owned and controlled by women. The services and assistance provided by the women's business centers are to include financial, management, procurement, and marketing training and counseling to start-up or established on-going concerns.

**Applicant Eligibility:** Private organizations having experience in training and counseling business women effectively. Public educational institutions and State and local governments are not eligible.

### INFORMATION CONTACTS:

**Regional or Local Office:** Women's Business Ownership Representative. See your local telephone directory for a listing of the Small Business Administration offices.

**Headquarters Office:** Small Business Administration, Office of Women's Business Ownership, 409 Third Street, SW., Washington, DC 20416. Telephone: (202) 205-6673.

**EXAMPLES OF FUNDED PROJECTS:** A typical project funded was the Women's Economic Self Sufficiency Team in Albuquerque, NM to provide in-depth and quality training and counseling to women entrepreneurs in Albuquerque, Taos, Las Cruces and Farmington, NM.

### 59.044 VETERANS ENTREPRENEURIAL TRAINING AND COUNSELING (VET Program)

#### FEDERAL AGENCY: SMALL BUSINESS ADMINISTRATION

**OBJECTIVES:** To design, develop, administer, and evaluate an entrepreneurial and procurement training and counseling program for U.S. veterans.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements). **USES AND USE RESTRICTIONS:** Funds shall be used to provide veterans and their dependents assistance to start and manage their businesses. The assistance may be in the form of intensive training, counseling, and other specialized services.

**Applicant Eligibility:** A recipient may be considered eligible under one of the following requirements: (1) not for profit, volunteer organizations having the capabilities necessary to provide effective services. The grantee may subcontract with another entity having the technical qualifications for providing specialized instructional services. (2) Public or private, not for profit, volunteer organizations furnishing business counseling, management training and other related services with special emphasis on the development of management training programs of sufficient scope and duration to develop entrepreneurial and managerial self-sufficiency to small businesses located in areas of high concentration of unemployment or low-income individuals. (3) Small Business Development Center Program recipients. Additionally, the criteria for receiving a grant under the VET Program is tight. It involves experience in Instructional Systems Development (ISD), proof of a demonstrated program using ISD, verification of nonprofit status and the use of volunteers to conduct the program, access to an existing program in business development, and access to an existing program in working with veterans and transferring their experience into entrepreneurship goals.

### INFORMATION CONTACTS:

**Regional or Local Office:** For addresses of the SBA field offices, see your local telephone directory.

**Headquarters Office:** Director, Office of Veteran Affairs, Small Business Administration, Sixth Floor, 409 Third Street, SW., Washington DC 20416. Telephone: (202) 205-6773.

**EXAMPLES OF FUNDED PROJECTS:** A typical project funded was the Ohio Veteran's Entrepreneurial Training (OVET) project, an intensive, structured training program on how to start and manage a successful business, followed by unstructured counseling. The project is administered by the Consortium for Entrepreneurial Training, a nonprofit organization administered by volunteers from Stark Technical

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College of Canton, Ohio, and other community and technical colleges in the area.

### 59.046 MICROLOAN DEMONSTRATION PROGRAM

#### FEDERAL AGENCY: SMALL BUSINESS ADMINISTRATION

**OBJECTIVES:** To assist women, low-income, and minority entrepreneurs, business owners, and other individuals possessing the capability to operate successful business concerns and to assist small business concerns in those areas suffering from a lack of credit due to economic downturns. Under the Program, the Small Business Administration (SBA) will make loans or provide guaranties to private, non-profit, and quasi-governmental organizations (intermediary lenders) who will use the loan funds to make short-term, fixed interest rate microloans in amounts up to \$25,000 to start-up, newly established, and growing small business concerns. These microloans are to be used exclusively for working capital, inventory, supplies, furniture, fixtures, machinery, and/or equipment. In addition, the SBA will make grants to participating intermediary lenders to provide marketing, management, and technical assistance to borrowers receiving microloans. In addition, the SBA will make grants to non-profit organizations, which are not intermediary lenders, to provide marketing, management, and technical assistance to low-income individuals seeking private sector financing for their businesses. Under the Program, SBA will also provide training for intermediary lenders and non-lenders participating in the Program.

**TYPES OF ASSISTANCE:** Formula Grants; Project Grants; Direct Loans.

**USES AND USE RESTRICTIONS:** Loans to intermediaries with terms and restrictions as provided in Public Law 102-366 and subsequent regulations as published in the Federal Register.

**Applicant Eligibility:** An applicant is considered eligible to apply if it meets the definition of an intermediary lender as published in program materials, 13 CFR, and PL 102-140, and meets published minimum experience and capability requirements.

#### INFORMATION CONTACTS:

**Headquarters Office:** Small Business Administration, Office of Financial Assistance, Micro enterprise Development Branch, 409 Third Street SW., Eighth Floor, Washington, DC 20416. Mail Code 7881. Telephone: (202) 205-6490.

**EXAMPLES OF FUNDED PROJECTS:** 1. Organization in Mid-South operating in thirty-two counties in the State, all but one classified as rural. Established in 1987, this organization has approved numerous microloans and provided the much needed technical assistance to small businesses and entrepreneurs. 2. Urban organization founded in 1976, established a loan pool in 1982. Through a program of financial assistance and other aid to business concerns, including small businesses, that are not able to obtain funds from conventional commercial sources, the organization has been able to assist in the relief of poverty, lessening of neighborhood tensions and assist in combating community deterioration in certain

economically depressed areas. 3. Rural organization founded in 1976, has provided services that have included economic development, planning, grant writing and administration, small business incubator operations, business counseling services and loans to small businesses. Approximately 12.4 percent of the service population is below poverty level.

### 59.049 OFFICE OF SMALL DISADVANTAGED BUSINESS CERTIFICATION AND ELIGIBILITY (OSDBC&E)

#### FEDERAL AGENCY: SMALL BUSINESS ADMINISTRATION

**OBJECTIVES:** To ensure compliance with the constitutional standards established by the Supreme Court in *Adarand Contractors, Inc vs. Pena*, relative to Federal action programs that use racial or ethnic criteria as a basis for decision making.

**TYPES OF ASSISTANCE:** Direct Loans.

**USES AND USE RESTRICTIONS:** The Small Disadvantaged Business (SDB) program is a procurement tool designed to assist the government in finding firms capable of providing need services, while at the same time, helping to address the traditional exclusion of minority owned firms from contracting opportunities. Participation in the SDB program and the benefits are restricted to those firms who have satisfied the eligibility requirements and have been certified by the Small Business Administration as a SDB.

**Applicant Eligibility:** Eligible applicant must be: A small business concern as defined in SBA rules and regulations; firms must be at least 51 percent unconditional owned and controlled by one or more U.S. citizens who are socially and economically disadvantaged as defined by 13 CFR 124.105, 124.109, 124.110, and 124.111.

#### INFORMATION CONTACTS:

**Regional or Local Office:** Not applicable.

**Headquarters Office:** Office of Small Disadvantaged Business Certification and Eligibility, Small Business Administration, 409 3rd Street, SW., Washington, DC 20416. Telephone: (202) 619-1850 or (800) 558-0884. **Web address:** [www.sba.gov/sdb](http://www.sba.gov/sdb).

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

### 59.050 MICROENTERPRISE DEVELOPMENT GRANTS (PRIME)

#### FEDERAL AGENCY: SMALL BUSINESS ADMINISTRATION

**OBJECTIVES:** The objective of the PRIME Program is to increase the number of microenterprises and to enhance the management capability of microentrepreneurs to provide training and technical assistance at little or no cost to microentrepreneur and/or microenterprises to assist in starting, expanding and/or growing their business; to provide training and capacity building services to enhance existing MDOs and expand the universe of MDOs that provide microenterprise development training programs and services; and to fund research and development of best practices for training and technical assistance consistent with the PRIME Act.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The recipients of the services provided by the grantees are those persons/businesses who satisfy the eligibility criteria as defined in the Act.

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**Applicant Eligibility:** Grant recipients shall be non-profit microenterprise development or program (or a collaborative thereof) that has a demonstrated record of delivering microenterprise services to disadvantaged entrepreneurs, an intermediary, a microenterprise development organization or program that is accountable to a local community, working in conjunction with a State or local government or Indian tribe, or an Indian tribe acting on its' own, if the tribe can certify that no private organization or program referred to in this paragraph exists within its' jurisdiction.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** None.

**Headquarters Office:** Office of Financial Assistance, Small Business Administration, 409 3rd Street, SW., Washington, DC 20416. Telephone: (202) 205-6497. **Web address:** [www.sba.gov](http://www.sba.gov).

**EXAMPLES OF FUNDED PROJECTS:** Not applicable.

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### DEPARTMENT OF TRANSPORTATION

#### 20.106 AIRPORT IMPROVEMENT PROGRAM (AIP)

**FEDERAL AGENCY:** FEDERAL AVIATION ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

**OBJECTIVES:** To assist sponsors, owners, or operators of public-use airports in the development of a nationwide system of airports adequate to meet the needs of civil aeronautics.

**TYPES OF ASSISTANCE:** Project Grants; Advisory Services and Counseling.

**USES AND USE RESTRICTIONS:** Grants can be made for integrated airport system planning in a specific area; and airport master planning, construction, or rehabilitation at a public-use airport or portion thereof. Authorizing legislation refers to an airport as any area of land or water used or intended to be used for the landing or taking off of aircraft and includes, within the five categories of airports listed below, special types of facilities such as seaplane bases and heliports. The statute further defines airports by categories which include commercial service, primary, cargo service, reliever, and general aviation airports. They are defined as follows: Commercial Service Airports are publicly owned airports that have at least 2,500 passenger boarding each year and receive scheduled passenger service. Passenger boarding refer to revenue passenger boarding on an aircraft in service in air commerce. The definition also includes passengers who continue on an aircraft in international flight that stops at an airport in any of the 50 states for a nontraffic purpose. Passenger boarding at airports that receive scheduled passenger service are also referred to as Enplanements. Non-primary Commercial Service Airports are Commercial Service Airports that have at least 2,500 and no more than 10,000 passenger boarding each year. Primary Airports are Commercial Service Airports that have more than 10,000 passenger boarding each year. These airports are further categorized as Hub Airports, based on the level of passenger boarding. Hub categories for Primary Airports are defined as a percentage of total passenger boarding in the most current calendar year ending before the start of the current fiscal year. For FY 1997, calendar year 1995 data is used since the current fiscal year began nine months after the end of CY 1995. The definition and formulae used for designating Primary Airports by Hub Type and Percentage of Annual Passenger Boarding are: Large - 1% or more; Medium - at least 0.25%, but less than 1%; Small - at least 0.05%, but less than 0.25%; and Non-hub - more than 10,000, but less than 0.05%. Cargo Service Airports are airports that, in addition to any other air transportation services that may be available, are served by aircraft providing air transportation of only cargo with a total annual landed weight of more than 100 million pounds. Reliever Airports are airports designated by the FAA to relieve congestion at a Commercial Service Airport and to provide more general aviation access to the overall community. The remaining airports, while not specifically defined in Title 49 U.S.C., are referred to as General Aviation Airports and comprise the largest single group of airports in the U.S. airport system. Eligible work at airports consists of: (1) airport master plans; (2) airport noise

compatibility plans; (3) land acquisition; (4) site preparation; (5) construction, alteration, and rehabilitation of runways, taxiways, aprons, and certain roads within airport boundaries; (6) construction and installation of airfield lighting, navigational aids, and certain offsite work; (7) safety equipment required for certification of airport facility; (8) security equipment required of the sponsor by the Secretary of Transportation by rule or regulation for the safety and security of persons and property on the airport; (9) snow-removal equipment; (10) terminal development; (11) aviation-related weather reporting equipment; (12) equipment to measure runway surface friction; (13) burn area training structures and land for that purpose, on or off airport; (14) agency-approved noise compatibility projects; (15) relocation of air traffic control towers and navigational aids (including radar) if they impede other projects funded under AIP; (16) land, paving, drainage, aircraft deicing equipment and structures for centralized deicing areas; and (17) projects to comply with the Americans with Disabilities Act of 1990, Clean Air Act, and Federal Water Pollution Control. Grants may not be made for the construction of hangars, most automobile parking facilities, buildings not related to the safety of persons on the airport, decorative landscaping or artwork, or routine maintenance and repair. Technical advisory services are also provided. Formula funds are available to primary commercial service airports and to cargo service airports. Discretionary funds may be used at any eligible facility.

**Applicant Eligibility:** States, counties, municipalities, U.S. Territories and possessions, and other public agencies including an Indian tribe or pueblo are eligible for airport development grants if the airport on which the development is required is listed in the National Plan of Integrated Airport Systems (NPIAS). Certain units of local government may be eligible for grants to implement noise compatibility projects. Private owners of public-use reliever airports or airports having at least 2,500 passenger boarding annually and receiving scheduled passenger aircraft service are eligible.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Persons are encouraged to contact the Federal Aviation Administration Regional Offices listed in your local telephone directory.

**Headquarters Office:** Federal Aviation Administration, Office of Airport Planning and Programming, Airports Financial Assistance Division, APP-500, 800 Independence Avenue, SW, Washington, DC 20591. Telephone: (202) 267-3831.

**EXAMPLES OF FUNDED PROJECTS:** Construct new public airports; improve and rehabilitate existing public airports; extend runways at existing public airports; purchase fire fighting, rescue, security, snow removal and noise suppressing equipment; acquire land; and install navigation aids. Planning at individual airports includes demand/capacity analysis, airport noise control and land use compatibility analysis, environmental studies, and system plans for states, regions, and metropolitan areas.

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### **20.205 HIGHWAY PLANNING AND CONSTRUCTION (Federal-Aid Highway Program)**

**FEDERAL AGENCY: FEDERAL HIGHWAY  
ADMINISTRATION, DEPARTMENT OF  
TRANSPORTATION**

**OBJECTIVES:** To assist State transportation agencies in the planning and development of an integrated, interconnected transportation system important to interstate commerce and travel by constructing and rehabilitating the National Highway System, including the Interstate System; and for transportation improvements to all public roads except those classified as local or rural minor collectors; to provide aid for the repair of Federal-aid roads following disasters; to foster safe highway design; to replace or rehabilitate deficient or obsolete bridges; and to provide for other special purposes. Also provides for the improvement of roads in Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Northern Mariana Islands.

**TYPES OF ASSISTANCE:** Formula Grants; Project Grants.  
**USES AND USE RESTRICTIONS:** Federal-aid highway funds are generally apportioned by statutory formulas to the States and restricted to roads open to the public and not functionally classified as local or rural minor collectors. Exceptions to this include planning and research activities, bridge and safety improvements which may be done on any public road, and the Federal Lands Highway Program. Federal-aid funds may be used for surveying, engineering, right-of-way acquisition, and relocation assistance for capital improvement projects classified as new construction, reconstruction, improvements for functional, geometric, or safety reasons, and 4R projects (restoration, rehabilitation, resurfacing, and reconstruction); planning; research, development, and technology transfer; roadside beautification; wetland mitigation, traffic management and control improvements; improvements necessary to accommodate other transportation modes, development and establishment of transportation management systems; billboard removal; construction of bicycle facilities and pedestrian walkways; fringe and corridor parking; car pool and van pool projects; and transportation enhancements such as scenic and historic highway improvements. These funds generally cannot be used for routine highway operational activities, such as police patrols, mowing, or snow plowing. Also, authorizations for several categories, including the Surface Transportation Program (STP) and Congestion Mitigation and Air Quality (CMAQ) Improvement Program, may be used for transportation improvements such as mass transit, traffic flow, bicycle/pedestrian, ridesharing, demand management programs, and others. CMAQ funds are limited to ozone, carbon monoxide and, in some cases, small particulate matter air-quality non-attainment areas in a State until all areas achieve attainment. Eligibility criteria for the programs differ, so program guidance should be consulted. Projects in metropolitan areas (urbanized areas over 50,000 population) must be based on a transportation planning process carried out by the Metropolitan Planning Organization (MPO) in cooperation with the State and transit operators, and be included in metropolitan plans and programs. These projects must also be included in the

approved statewide transportation improvement program (STIP), which also includes non-metropolitan projects.

**Applicant Eligibility:** Most projects are administered by or through State transportation agencies or Federal agencies. Projects related to Indian Reservation Roads may be proposed by Tribal governments and the Bureau of Indian Affairs. Projects related to Park Roads and Parkways may be proposed by the National Park Service. Funding for public lands highways, which include forest highways, may be proposed by counties and other political subdivisions or agencies through the State transportation agencies or by Federal agencies. Territorial highway projects are funded in the same manner as other Federal-aid highway projects, with the territorial highway/transportation agency functioning as the State transportation agency.

#### **INFORMATION CONTACTS:**

**Regional or Local Office:** Regional or State-level offices of the Federal Highway Administration (as listed in your local telephone directory) or State transportation agencies.

**Headquarters Office:** Director, Office of Engineering, Federal Highway Administration, 400 Seventh Street, SW., Washington, DC 20590. Telephone: (202) 366-4853. Director, Office of Environment and Planning, 400 Seventh Street, SW., Washington, DC 20590. Telephone: (202) 366-2951. For Forest Highways, Indian Reservation Roads, and Park Roads and Parkways, Federal Lands Highway Administrator, 400 Seventh Street, SW, Washington, DC 20590. Telephone (202) 366-9494.

**EXAMPLES OF FUNDED PROJECTS:** (A) On the Interstate System, 11 new miles were opened to traffic in the 12 months ending December 31, 1996, with construction underway on new segments totaling 14 miles. A total of 42,777 miles or 99.95 percent of the mileage eligible under the Interstate Highway Construction Program is open to traffic. (B) In fiscal year 1995, \$485 million in Emergency Relief funding was obligated for the repair and reconstruction of Federal-aid highways and bridges damaged by natural disasters or catastrophic failures. During the year, emergency assistance was extended to 29 new disasters in 19 States, and the Virgin Islands. (C) The replacement or rehabilitation of approximately 2,900 additional deficient bridges was initiated in fiscal year 1996. (D) Over \$416 million were obligated for Forest Highways, Public Lands Highways, Indian Reservation Roads, and Park Roads and Parkways.

### **20.219 RECREATIONAL TRAILS PROGRAM FEDERAL AGENCY: FEDERAL HIGHWAY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION**

**OBJECTIVES:** To provide funds to the States to develop and maintain recreational trails and trail-related facilities for both nonmotorized and motorized recreational trail uses.

**TYPES OF ASSISTANCE:** Formula Grants; Project Grants.

**USES AND USE RESTRICTIONS:** Funds from this program may be used for: (1) Maintenance and restoration of existing trails; (2) development and rehabilitation of trailside and trailhead facilities and trail linkages; (3) purchase and lease of trail construction and maintenance equipment; (4) construction of new trails (with restrictions for new trails on Federal lands); (5) acquisition of easements or property for trails; (6) State administrative costs related to this program

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(limited to 7 percent of a State's funds); and (7) operation of educational programs to promote safety and environmental protection related to trails (limited to 5 percent of a State's funds). Funds may not be used for: property condemnation; constructing new trails for motorized use on National Forest or Bureau of Land Management lands unless the project is consistent with resource management plans; or facilitating motorized access on otherwise nonmotorized trails.

**Applicant Eligibility:** The Governor of each State must designate the State agency or agencies responsible for administering this program. The State must have a State recreational trail advisory committee that represents both motorized and nonmotorized recreational trail users, which shall meet not less than once per fiscal year. The State agency may accept project proposals from private organizations, or from municipal, county, State, or Federal government entities, and other government entities. The projects must satisfy one or more of the permissible uses.

### INFORMATION CONTACTS:

**Regional or Local Office:** State-level offices of the Federal Highway Administration (as in your local telephone directory) or the State agency designated by the Governor to administer this program.

**Headquarters Office:** Office of Planning and Environment, Federal Highway Administration, 400 Seventh St. SW., Washington DC 20590. Telephone: 202- 366-5013. Fax: 202-366-7660. **Web site:** [www.fhwa.dot.gov](http://www.fhwa.dot.gov).

**EXAMPLES OF FUNDED PROJECTS:** Trail projects to develop and maintain recreational trails and trail-related facilities for both nonmotorized and motorized recreational trail uses. Examples include maintenance and construction of trails for hiking, bicycling, in-line skating, equestrian use, cross-country skiing, snowmobiling, off-road motorcycling, all-terrain vehicle riding, four-wheel driving, or using other off-road motorized vehicles; development and rehabilitation of trailside and trailhead facilities; operation of educational projects and programs to promote safety and environmental protection related to recreational trails; State administrative costs related to this program.

### 20.500 FEDERAL TRANSIT CAPITAL

#### IMPROVEMENT GRANTS (Capital Grants)

#### FEDERAL AGENCY: FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

**OBJECTIVES:** To assist in financing the acquisition, construction, reconstruction, and improvement of facilities, rolling stock and equipment for use, by operation, lease, or otherwise, in public transportation service in urban areas and in coordinating service with highway and other transportation in such areas.

**TYPES OF ASSISTANCE:** Formula Grants; Project Grants.

**USES AND USE RESTRICTIONS:** The Secretary of Transportation may make grants and loans under this section to assist State and local governmental authorities in financing: capital projects for new fixed guideway systems, and extensions to existing fixed guideway systems, including the acquisition of real property, the initial acquisition of rolling stock for the systems, alternatives analysis related to the development of the systems, and the acquisition of rights of way, and relocation, for fixed guideway corridor development

for projects in the advanced stages of alternatives analysis or preliminary engineering; capital projects, including property and improvements needed for an efficient and coordinated mass transportation system; the capital costs of coordinating transit with other transportation; and the introduction of new technology, through innovative and improved transportation; and the introduction of new technology, through innovative and improved products. Consideration may also be given for projects which enhance urban economic development; establish new or enhanced coordination between transit and other transportation; or enhance the effectiveness of a transit project and are related physically or functionally to that transit project. It could also include financing for transit projects planned, designed, and carried out to meet the special needs of elderly individuals and individuals with disabilities; for the development of corridors to support fixed guideway systems, including protecting rights of way through acquisition, construction of dedicated bus and high occupancy vehicle lanes and park-and-ride lots, and other non-vehicular capital improvements that the Secretary may decide would result in increased transit usage in the corridor.

**Applicant Eligibility:** Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project and maintain facilities and equipment purchased with Federal assistance.

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory.

**Headquarters Office:** Federal Transit Administration, Department of Transportation, 400 Seventh Street, SW., Washington, DC 20590. FTA Office of Program Management, (202) 366-1660.

**EXAMPLES OF FUNDED PROJECTS:** (1) 13 Compressed Natural Gas buses in St. Louis; (2) Gateway Intermodal Center in Los Angeles; (3) constructed Portsmouth, VA ferry docking facility (Norfolk-Portsmouth), LRT security system and power substation in Sacramento; (4) Phase I of Interstate 405/State Route 55 freeway exclusive HOV/Transitway Connector Ramp project in Orange County, CA.

### 20.509 PUBLIC TRANSPORTATION FOR NONURBANIZED AREAS (Nonurbanized Area Formula Program)

#### FEDERAL AGENCY: FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

**OBJECTIVES:** To improve, initiate, or continue public transportation and intercity bus service in nonurbanized areas by providing financial assistance for the acquisition, construction, and improvement of facilities and equipment and the payment of operating expenses by operating contract, lease, or otherwise. Also, to provide technical assistance for rural transportation.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Section 5311 funding may be used for eligible capital expenses, project administration, and operating expenses needed to provide efficient and coordinated public transportation service in

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nonurbanized areas. Projects must provide for the maximum feasible coordination of public transportation sources assisted under this section with transportation services assisted by other Federal sources, and must provide for the maximum feasible participation of private operators. Fifteen percent of the State's annual apportionment must be spent to support rural intercity bus transportation, unless the governor certifies that such needs are adequately met. Rural Transit Assistance Program (RTAP) funds may be used for technical assistance, training, research, and related support services.

**Applicant Eligibility:** Eligible recipient may include State agencies, local public bodies and agencies thereof, nonprofit organizations, Indian tribes, and operators of public transportation services, including intercity bus service, in rural and small urban areas. Private for-profit operators of transit or paratransit services may participate in the program only through contracts with eligible recipients. Urbanized areas, as defined by the Bureau of the Census, are not eligible.

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory for the address of Federal Transit Administration Regional Offices. State Designated Agency:

**Headquarters Office:** Federal Transit Administration, Office of Program Management, Office of Capital and Formula Assistance, 400 Seventh Street, SW., Washington, DC 20590. Telephone: (202) 366-2053.

**EXAMPLES OF FUNDED PROJECTS:** A typical local recipient of Section 5311 operates a fleet of about six vans or small buses in demand responsive and fixed route service. Section 5311 provides replacement vehicles and covers about a quarter of the average \$316,000 annual operating budget. The State receives Federal funds for a statewide program of projects.

### 20.513 CAPITAL ASSISTANCE PROGRAM FOR ELDERLY PERSONS AND PERSONS WITH DISABILITIES (Elderly and Persons with Disabilities Program)

**FEDERAL AGENCY: FEDERAL TRANSIT  
ADMINISTRATION, DEPARTMENT OF  
TRANSPORTATION**

**OBJECTIVES:** To provide financial assistance in meeting the transportation needs of elderly persons and persons with disabilities where public transportation services are unavailable, insufficient or inappropriate.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Section 5310 funding may be used for eligible capital expenses needed to provide efficient and coordinated specialized transportation service for elderly persons and persons with disabilities. Projects must provide for the maximum feasible coordination of transportation services assisted under this section with transportation assisted by other Federal sources and must provide for the maximum feasible participation of private-for-profit operators.

**Applicant Eligibility:** Private nonprofit organizations. Public bodies approved by the State to coordinate services for elderly persons and persons with disabilities and public bodies which certify to governor that no nonprofit

corporations or associations are readily available in an area to provide the service.

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory for the address of Federal Transit Administration's Regional Offices. State-Designated Agency:

**Headquarters Office:** Federal Transit Administration, Office of Program Management, Office of Resource Management and State Programs, 400 7th Street, SW, Washington, DC 20590 (202) 366-2053. Program Coordinator, (202) 366-1630.

**EXAMPLES OF FUNDED PROJECTS:** A typical project in FY 1997 provided \$1 million to a State that used the funds to purchase 35 accessible vehicles for 39 local subrecipients throughout the State.

### 20.516 JOB ACCESS - REVERSE COMMUTE FEDERAL AGENCY: FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

**OBJECTIVES:** To provide competitive grants to local governments, nonprofit organizations, and designated recipients of Federal transit funding to develop transportation services to connect welfare recipients and low-income persons to employment and support services. Job Access grants will be for capital projects, to finance operating costs of equipment, facilities and associated support costs related to providing access to jobs. The Reverse Commute grants will assist in funding the costs associated with adding reverse commute bus, train, carpool or service from urban areas, urbanized areas, and areas other than urbanized areas to suburban work places.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** The funds are to be used for delivery of services. The funds are not to be used for planning.

**Applicant Eligibility:** State and local government agencies, nonprofit agencies, and transit providers.

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory for the address of Federal Transit Administration's Regional Offices. State-Designated Agency:

**Headquarters Office:** Office of Research, Demonstration and Innovation, Office of Research Management (TRI-30), Federal Transit Administration, Department of Transportation, 400 Seventh Street, SW., Room 4401, Washington, DC 20590. Telephone: (202) 366-4052. Office of Research, Demonstration and Innovation. Telephone: (202) 366-1666.

**EXAMPLES OF FUNDED PROJECTS:** Projects funded include transportation vouchers, fixed route extensions, emergency childcare transportation, guaranteed ride home programs, and additional bus lines.

### 20.518 CAPITAL AND TRAINING ASSISTANCE PROGRAM FOR OVER-THE- ROAD BUS ACCESSIBILITY FEDERAL AGENCY: FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

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**OBJECTIVES:** To make funds available to private operators of over-the-road buses to finance the incremental capital and training costs of complying with requirements of the Department of Transportation's over-the-road bus accessibility rule, "Transportation for Individuals with Disabilities".

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Program funds may be used to finance the incremental capital and training costs of complying with DOT's over-the-road bus accessibility rule. Capital projects eligible for funding include adding lifts and other accessibility components to new vehicle purchases, and purchasing lifts to retrofit existing vehicles. Eligible training costs include training in proper operation and maintenance of equipment, boarding assistance, and securement, handling and storage of mobility devices, and sensitivity training.

**Applicant Eligibility:** Private operators of over-the-road buses that provide intercity fixed route bus service, and other providers, including operators of local fixed-route service, commuter service, and charter or tour service.

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory for the address of Federal Transit Administration's Regional Offices. State-Designated Agency:

**Headquarters Office:** Federal Transit Administration, Office of Program Management, Office of Resource Management and State Programs, 400 7th Street SW., Washington DC 20590. Sue Masselink, Program Coordinator. Telephone: (202) 366-2053.

**EXAMPLES OF FUNDED PROJECTS:** First-year grants are still ongoing.

### 20.600 STATE AND COMMUNITY HIGHWAY

**FEDERAL AGENCY:** NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION/FEDERAL HIGHWAY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

**OBJECTIVES:** To provide a coordinated national highway safety program to reduce traffic accidents, deaths, injuries, and property damage.

**TYPES OF ASSISTANCE:** Formula Grants.

**USES AND USE RESTRICTIONS:** Formula grant funds may be used for problems identified within the nine national priority program areas of Alcohol and other Drug Countermeasures, Police Traffic Services, Occupant Protection, Traffic Records, Emergency Medical Services, Motorcycle Safety, Pedestrian/Bicycle Safety, Speed Control and Roadway Safety. Other program areas identified by a State as constituting a highway safety problem in that State, e.g., pupil transportation safety programs, may be eligible for Federal funding, as encompassing a major highway safety problem in the State and for which effective countermeasures have been identified. The law provides that at least 40 percent of these Federal funds apportioned to a State for any fiscal year will be expended by the political subdivisions of such State.

**Applicant Eligibility:** States, federally recognized Indian tribes, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Marianas, and the Virgin Islands.

### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory for a listing of National Highway Traffic Safety Administration regional office addresses.

**Headquarters Office:** State and Community Services, National Highway Traffic Safety Administration, Washington, DC 20590. Telephone: (202) 366-2121. Safety Technology Division, Office of Highway Safety, Federal Highway Administration, Washington, DC 20590. Telephone: (202) 366-6902

**EXAMPLES OF FUNDED PROJECTS:** Selective traffic enforcement programs, both at the State and local level - Funds were used for equipment (police vehicles, communications, speed detection devices, breath testing devices, etc.), training of police personnel, and overtime salaries. Upgrading of Emergency Medical Services (EMS) at the local level: Funds were used for training of emergency medical personnel improved ambulance medical equipment, survey of EMS needs, and salaries for statewide EMS coordinators. Programs to reduce alcohol-related accidents: Funds were used for studies to identify the magnitude of the problem, personnel services (police, investigators, and court personnel), equipment (breath testing devices, radar equipment), and training of involved personnel to detect the drinking driver and to use testing equipment. Traffic records system improvement projects: Funds were used for survey of needs and requirements, system designs, system implementation, ADP equipment and supplies, traffic records, personnel, and training. Occupant Protection programs: Funds were used to develop and distribute public information and education materials relating to the use of safety belts and infant/child safety seats, and the implementation of infant/child safety seat loaner programs. Networks of public and private agencies and groups were developed and assisted regarding programs to increase awareness of the benefits of occupant protection, and to increase overall use. Activities that directly support the identification of highway hazards and the scheduling and implementation of roadway improvements (construction, operational or otherwise) to improve their safety: Funds were used for personal services, training and equipment to establish accident data systems; conduct engineering studies and analyses of high accident locations; conduct workshops in street design and capacity and work zone safety; inventory skid resistance of pavement surfaces; and provide traffic engineering assistance to local jurisdictions.

### 20.801 DEVELOPMENT AND PROMOTION OF PORTS AND INTERMODAL TRANSPORTATION (Ports and Domestic Shipping and Intermodal Development)

**FEDERAL AGENCY:** MARITIME ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

**OBJECTIVES:** To promote and plan for the development and utilization of domestic waterways, ports, and port facilities, to provide technical advice and information to Government agencies, private industry and State and municipal governments; to support the laws reserving domestic waterborne commerce to U.S. built, owned, and registered vessels for reasons of national security and



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economic development, examine opportunities for expanding Maritime trade and service; to plan for the utilization and control of ports and port facilities under national mobilization conditions; to promote development and improved utilization of marine related intermodal transportation systems; to provide technical information and advice to other agencies and organizations concerned with intermodal development; to formulate national and regional policies and objectives for development and use of intermodal transportation systems including containerization and container size standards; to develop plans, coordinate pilot operations concerned with improved techniques in marine-related intermodal transportation; to assist in planning and development of intermodal transport systems under national mobilization conditions.

**TYPES OF ASSISTANCE:** Advisory Services and Counseling; Dissemination of Technical Information.

**USES AND USE RESTRICTIONS:** Federal personnel are used to conduct cost-shared studies with public ports, trade associations, State universities, National Maritime Enhancement Institutes, agencies, and private industry and to advise and consult at national, regional, and local levels with port authorities, marine terminals operators, intermodal transport interests including governmental units, trade associations and private industry.

**Applicant Eligibility:** State and local government agencies, metropolitan planning organizations (MPOs), public port and intermodal authorities, trade associations and private terminal and intermodal operators are eligible.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See Maritime Administration Regional Offices listed in your local telephone directory.

**Headquarters Office:** Office of Ports and Domestic Shipping, Maritime Administration, Department of Transportation, Washington, DC 20590. Telephone: (202) 366-4357. FAX 202-366-6988. Office of Intermodal Development, Maritime Administration, Department of Transportation, Washington, DC 20590. Telephone: (202) 366-8888. FAX (202) 366-6988.

**EXAMPLES OF FUNDED PROJECTS:** Financial aspects of port development (Usage Pricing for Public Marine Terminal Facilities, Public Port Financing in the United States, Port Risk Management Manual, Port Capital Expenditure Survey, Regional Port Economic Impact Model); and international activities (Inter-American Port and Harbor Conferences, Inter-American Port Safety and Security Seminars, Inter-American Water Pollution in Port Seminars). In the Port Operations Area: Projects and activities pertaining to vessel and terminal operations (Marine Container Terminal Productivity Study, Regional Barge Fleeting Assessment and Handbook, Container Transport by Inland Waterways); advanced terminal management systems (Marine Terminal Automated Management Control System, Port Community Trades Information Exchange System, U.S. Stevedoring and Terminal Operator Industry Study); port emergency planning (Port Emergency Operations Handbook for Port Controllers); port and terminal cargo protection and security (Lightweight Tug Firefighting Module, Multi-Purpose Harbor Service Craft Development and Demonstration Maritime Security Report); and port safety and environmental management (Port Vessel Emissions Model. Projects and activities pertaining to

intermodal transport systems and cargo handling capability: Automation of Inventory of American Intermodal Equipment; Maritime System of the Americas Study, Phases I-III; Intermodal Freight Transportation Video; Marine-Rail Intermodal System Study and Demonstration; projects initiated under the Cargo Handling Cooperative Program - Emergency Container Requirement Study; Tape Container Seal Project; Video Container Recognition System; The Assessment of Bar Code Technology; Land Transportation Access Outreach Program; Technical Intermodal transport, planning assistance to State and local government agencies, intermodal transport providers, shippers, and public and private intermodal port and terminal operators. Domestic Shipping Project Areas: In the promotion of increased utilization of the domestic waterways, projects related to expanding the knowledge base concerning modal environmental advantages and safe, efficient operations have been completed.

### **20.901 PAYMENTS FOR ESSENTIAL AIR SERVICES**

#### **FEDERAL AGENCY: OFFICE OF THE SECRETARY, DEPARTMENT OF TRANSPORTATION**

**OBJECTIVES:** To assure that air transportation is provided to eligible communities by subsidizing air carriers when necessary to provide service.

**TYPES OF ASSISTANCE:** Direct Payments for Specified Use.

**USES AND USE RESTRICTIONS:** When necessary subsidy payments are made to air carriers providing air services at certain points. Subsidy is paid to cover the carrier's operating loss, plus a profit element.

**Applicant Eligibility:** Air carrier must be found fit and be selected by the Department to perform the subsidized service.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Director, Office of Aviation Analysis, X-50, Department of Transportation, 400 Seventh Street, SW., Washington, DC 20590. Telephone: (202) 366-1030.

**EXAMPLES OF FUNDED PROJECTS:** (1) Great Lakes Aviation-Essential Air Service to Alliance, Chadron, Kearney, McCook, and Norfolk, Nebraska. (2) Big Sky Airlines-Essential Air Service to Glasgow, Glendive, Havre, Lewistown, Miles City, Sidney, and Wolf Point, Montana. (3) Alaska Airlines-Essential Air Service to Cordova, Gustavus, Petersburg, Wrangell, and Yakutat, Alaska. (4) Aspen Mountain Air-Essential Air Service at El Dorado/Camden, Harrison, Hot Springs, and Jonesboro, Arkansas, Enid and Ponca City, Oklahoma, and Brownwood, Texas.

### **20.905 DISADVANTAGED BUSINESS ENTERPRISES SHORT TERM LENDING PROGRAM (Short Term Lending Program)**

#### **FEDERAL AGENCY: OFFICE OF THE SECRETARY, DEPARTMENT OF TRANSPORTATION**

**OBJECTIVES:** To enhance and further the opportunity of Disadvantaged Business Enterprises (DBEs) to obtain accounts receivable financing for the performance of transportation-related contracts emanating from the DOT, its grantees, recipients, their contractors and subcontractors.

**TYPES OF ASSISTANCE:** Direct Loans.

**USES AND USE RESTRICTIONS:** The lines of credit are

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issued in support of transportation related contracts. Transportation related means a contract for the maintenance, rehabilitation, restructuring, improvement, or revitalization of any of the Nation's modes of transportation with any public or commercial provider of transportation or any Federal, State or local transportation agency.

**Applicant Eligibility:** The recipient of a line of credit must be a certified DBE, minority-owned business enterprise or women-owned business enterprise. These certifications must be performed under DOT guidelines, 49 CFR Part 23. All firms certified by the Small Business Administration as 8(a) firms are eligible to participate in the Lending program.

### INFORMATION CONTACTS:

**Headquarters Office:** Office of Small and Disadvantaged Business Utilization, S-40, Office of the Secretary, Department of Transportation, 400 Seventh Street, SW., Washington, DC 20590 (202) 366-2852; **Toll-free (800) 532-1169.**

### 20.907 HISTORICALLY BLACK COLLEGES AND UNIVERSITIES ENTREPRENEURIAL TRAINING AND TECHNICAL ASSISTANCE (ETTAP)

**FEDERAL AGENCY: OFFICE OF THE SECRETARY, DEPARTMENT OF TRANSPORTATION**

**OBJECTIVES:** To support the efforts of Historically Black Colleges and Universities (HBCUs) to achieve their principal mission; utilize the resources of HBCUs to increase ability of small and disadvantaged businesses (S/DBEs) to participate in transportation-related projects; and assist S/DBEs to obtain information on DOT procurement opportunities and provide training to enhance DBEs and small businesses opportunity to successfully compete on DOT contracts and DOT-funded projects. ETTAP is intended to increase collaboration and establish partnerships among HBCUs, other minority educational institutions, LOSP organizations, SBDCs, MBDCs, State supportive services contractors, local transportation agencies; and DOT, its grantees, recipients, contractors, subcontractors and S/DBEs.

**TYPES OF ASSISTANCE:** Project Grants (Cooperative Agreements).

**USES AND USE RESTRICTIONS:** Financial assistance under this section may be used by educational institutions to design and carry out programs to encourage, promote and assist minority entrepreneurs and small businesses to compete on transportation-related contracts, subcontracts and projects. Funds may also be used to develop educational programs designed to enhance DBEs' and small business entrepreneurs' knowledge of the transportation field, and, thus, increase their capability to successfully compete on transportation-related contracts. In addition, this project will attract more of the Nation's young talent into transportation-related careers through academic studies and practical experience through internships.

**Applicant Eligibility:** As defined in Department of Education, Code of Federal Regulations, revised as of July 1, 1992, Pages 258-260, Section 608.3: Historically Black Colleges and Universities (HBCUs) are those institutions that have been established before 1964; have a principal mission that was and is the education of Black Americans; and are and have been for five academic years preceding the academic

year for which it seeks a grant under this part--legally authorized by the State in which it is located to be a junior or community college or to provide an educational program for which it awards a bachelor's degree; and accredited or pre-accredited by a nationally recognized accrediting agency or association that the Secretary of Education has determined to be a reliable authority as to the quality of education or training offered.

### INFORMATION CONTACTS:

**Headquarters Office:** Office of Small and Disadvantaged Business Utilization, S-40, Office of the Secretary, 400 Seventh Street, SW., Room 9414, Washington, DC 20590, or **toll free (800) 532-1169** (202) 366-2852.

**EXAMPLES OF FUNDED PROJECTS:** Cooperative agreements will have four major activities including: establish an internet use and access capability; train and provide technical assistance to small, disadvantaged and women-owned business entrepreneurs in the areas and access of Electronic Commerce (EC), application of electronic communication technology, status of the Federal implementation of EC; provide funding for transportation-related student internships to support the outreach and training activities; provide information dissemination and outreach activities regarding the Presidential initiative to hire individuals off the welfare rolls and support the DOT Garrett A. Morgan Technology and Transportation Futures Program.

### 20.908 TRIBAL COLLEGES - ENTREPRENEURIAL TRAINING AND TECHNICAL ASSISTANCE (ETTAP)

**FEDERAL AGENCY: OFFICE OF THE SECRETARY, DEPARTMENT OF TRANSPORTATION**

**OBJECTIVES:** To support Tribal Colleges and Universities (TCUs) in advancing the development of human potential by providing quality educational experiences, training and employment opportunities to minority students interested in transportation-related careers, support the design and implementation of educational programs, and to encourage, promote and assist minority entrepreneurs. These projects will strengthen TCU efforts to promote the full diversification of the work force by developing curricula programs. In addition, TCU projects provide training opportunities for Disadvantaged Business Enterprises (DBEs) to learn how to compete for the many contracting opportunities available at the Department of Transportation (DOT) and on DOT funded projects throughout the nation.

**TYPES OF ASSISTANCE:** Project Grants

**USES AND USE RESTRICTIONS:** Financial assistance under this section may be used by educational institutions to design and carry out programs to encourage, promote and assist minority entrepreneurs and small businesses to compete on transportation-related contracts, subcontracts and projects. Funds may also be used to develop educational programs designed to enhance DBEs' and small business entrepreneurs' knowledge of the transportation field, and, thus, increase their capability to successfully compete on transportation-related contracts. In addition, this project will attract more of the nation's young talent into transportation-related careers

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through academic studies and practical experience through internships.

**Applicant Eligibility:** Public and private nonprofit accredited institutions of higher education which, at the time of application, have an enrollment of undergraduate full-time equivalent students that is at least 25 percent Native American.

### **INFORMATION CONTACTS:**

**Headquarters Office:** Program Coordinator, Office of Small and Disadvantaged Business Utilization, S-40, Office of the Secretary, 400 Seventh Street, SW., Room 9414, Washington, DC 20590, or toll free (800) 532-1169. Telephone: (202) 366-2852. E-mail: Patricia.Martin@ost.dot.gov.

**EXAMPLES OF FUNDED PROJECTS:** Cooperative agreements will have four major activities including: establish an internet use and access capability; train and provide technical assistance to small, disadvantaged and women-owned business entrepreneurs in the areas and access of Electronic Commerce (EC), application of electronic communication technology, status of the Federal implementation of EC; provide funding for transportation-related student internships to support the outreach and training activities; provide information dissemination and outreach activities regarding the Presidential initiative to hire individuals off the welfare rolls and support the DOT Garrett A. Morgan Technology and Transportation Futures Program.

## Federal Funding Sources For Rural Areas

### CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

#### 94.004 LEARN AND SERVE AMERICA SCHOOL AND COMMUNITY BASED PROGRAMS

##### FEDERAL AGENCY: CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

**OBJECTIVES:** To encourage elementary and secondary schools and community-based agencies to create, develop, and offer service learning opportunities for school-age youth; educate teachers about service learning and incorporate service learning opportunities into classrooms to enhance academic learning; coordinate adult volunteers in schools; and introduce young people to a broad range of careers and encourage them to pursue further education and training.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Grant funds may be used for a wide range of activities including: planning and capacity building; operating and expanding service-learning programs through grants to local partnerships; implementing and expanding school-based programs involving adult volunteers to use service-learning to improve the education of students; and providing training and technical assistance to qualified organizations. Corporation assistance may not be used to provide religious instruction, conduct worship services or engage in any form of proselytization; to assist, promote, or deter union organizing; to finance, directly or indirectly, any activity designed to influence the outcome of an election to any public office; or to impair existing contracts for service of collective bargaining agreements.

**Applicant Eligibility:** State education agencies, State Commissions on National Service, U.S. Territories, Indian tribes, and public or private nonprofit entities may apply. To ensure the quality of Learn and Serve America: School and Community based programs, the Corporation will provide guidance and technical assistance to funded agencies and organizations, and others interested in expanding service learning opportunities.

##### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory.

**Headquarters Office:** Corporation for National Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-5000, Ext. 136.

**EXAMPLES OF FUNDED PROJECTS:** In a Minnesota service learning program, high school students visit the St. Louis River as part of a chemistry class to test water quality and initiate local pollution control. Third graders, in an Indiana program, spend time with seniors in nursing homes. Information is gathered and friends are made of long-time residents of their community as part of an English Project for a book on local history.

#### 94.005 LEARN AND SERVE AMERICA HIGHER EDUCATION

##### FEDERAL AGENCY: CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

**OBJECTIVES:** This grant program has two main objectives: (1) To support high quality service learning projects that engage students in meeting community needs with demonstrable results, while enhancing students' academic and civic learning; and (2) to support efforts to build capacity and strengthen the service infrastructure with institutions of higher education.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** To strengthen the service-learning infrastructure and support high quality programs.

**Applicant Eligibility:** Entities eligible to receive a grant are limited to individual institutions of higher education (as defined by the Higher Education Act of 1965), consortia of institutions of higher education, and nonprofit organizations or public agencies, including States, working in partnership with one or more institutions of higher education.

##### INFORMATION CONTACTS:

**Regional or Local Office:** See your local telephone directory for State Program Offices.

**Headquarters Office:** Corporation for National and Community Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: 202 606-5000, Ext. 117.

**EXAMPLES OF FUNDED PROJECTS:** (1) Over 150 teacher education students at three State colleges are learning how to use service-learning in K-12 classrooms, while working alongside K-12 students in service projects assisting the homebound elderly. (2) As part of a credit bearing clinical program, over 35 law students at a private university are providing legal assistance to low-income communities in order to reduce toxic exposure and work place environmental hazards. (3) As part of their clinical rotations, over 250 nursing and allied health professions students from one private and one community college are providing community-based health education and primary care to senior citizens and low-income people. (4) A national organization of community colleges is providing subgrants, technical assistance, and networking opportunities to eight member colleges engaged in developing service learning projects and infrastructure.

#### 94.006 AMERICORPS

##### FEDERAL AGENCY: CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

**OBJECTIVES:** AmeriCorps is the cornerstone of the Corporation's national service programs. AmeriCorps addresses pressing education, public safety, human, and environmental needs of our Nation's communities by encouraging Americans to serve either part or full-time. AmeriCorps programs have four goals: 1) Getting things done by providing services with direct and demonstrable results; 2) strengthen communities and uniting individuals of different

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backgrounds in a common effort to improve their communities); 3) encourage responsibility through service and civic education (AmeriCorps enables members to see themselves as leaders and problem solvers and fulfill their responsibilities to their communities); and 4) expand opportunities in return for devoting a year of their lives to national service. AmeriCorps members may receive education awards for qualified post secondary education expenses or to pay off qualified student loans.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Funds must be used to operate or plan national and community service programs.

**Applicant Eligibility:** States, District of Columbia, Puerto Rico, Tribes, Territories, national nonprofit organizations, professional corps, and multi-State organizations may apply.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See your local telephone directory. State commissions should also be contacted.

**Headquarters Office:** Corporation for National and Community Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-5000, Ext. 474.

**EXAMPLES OF FUNDED PROJECTS:** AmeriCorps members serve in area elementary schools as teaching assistants, tutors, mentors, and role models. AmeriCorps members develop and lead after school programs, work with community volunteers to develop community service projects, assist in immunization projects, renovate housing in low-income areas, tutor elementary children, and staff a crisis help line for parents of at-risk children; work to improve relationships between community youth and local law enforcement officials by performing outreach, developing public safety instructional materials, conducting conflict resolution classes, and developing crime watch programs. AmeriCorps members have worked to transform a city sludge farm into a nature preserve, restore a polluted swamp to a thriving natural lake, test area drinking water, and create environmental public service announcements.

### **94.007 PLANNING AND PROGRAM DEVELOPMENT GRANTS**

#### **FEDERAL AGENCY: CORPORATION FOR NATIONAL AND COMMUNITY SERVICE**

**OBJECTIVES:** Planning and program development grants have three major objectives: (1) demonstration grants support demonstration community service programs that may not be eligible under other subtitles of the Trust Act; (2) disability grants support reasonable accommodation needs of individuals with disabilities to ensure their participation of AmeriCorps members; and (3) disaster grants support recovery efforts after natural disasters.

**TYPES OF ASSISTANCE:** Project Grants.

**USES AND USE RESTRICTIONS:** Disability grants are restricted to providing reasonable accommodation to individuals with disabilities to facilitate their participation in

AmeriCorps or for funds to conduct outreach to individuals with disabilities, provide technical assistance to programs on disability issues, and to operate demonstration programs. Disaster grants are limited to areas that the President has declared as a national disaster area.

**Applicant Eligibility:** State, local governments, and nonprofit organizations are eligible for demonstration grants. State commissions, national nonprofit organizations, and operating AmeriCorps programs are eligible for disability grants.

### **INFORMATION CONTACTS:**

**Regional or Local Office:** See your local telephone directory.

**Headquarters Office:** Corporation for National Service, 1201 New York Avenue, NW., Washington, DC 20525. Telephone: (202) 606-5000, Ext. 154.

**EXAMPLES OF FUNDED PROJECTS:** In Colorado, ten AmeriCorps members serve in local child care resource and referral agencies to increase the quality and availability of child care in Colorado. Members recruit child care providers; identify their training needs and link them to appropriate training; and provide existing child care providers with information about training opportunities and new licensing requirements. This is connected to the Governor's plan to build a volunteer component into the child care system. In Kansas, twenty-two AmeriCorps members serve as mentors to connect welfare families to services they need; such as counseling, health care, or appropriate child care in order to become more self-sufficient. They also assist with education or employment problems. This program is connected to the governor's welfare reform efforts. In Washington, fifteen AmeriCorps members address the development needs of foster youth, ages 15 to 18. The members are matched with 100 foster care youth and combat the low self-esteem, low school performance, and social maladjustment that is associated with foster care. Activities include after-school assistance with homework, group functions, weekend, and athletic activities. This is the only State program proposing to work with youth who are aging out of the foster care system. It is an important experience for youth in foster care to have. This model will provide valuable experience and information on best practices for service programs involving foster care youth.

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